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*In The Name of God The Most  
Compassionate, The Most Merciful*



# Linear Control Systems





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# Chapter 5

## Frequency Response Analysis

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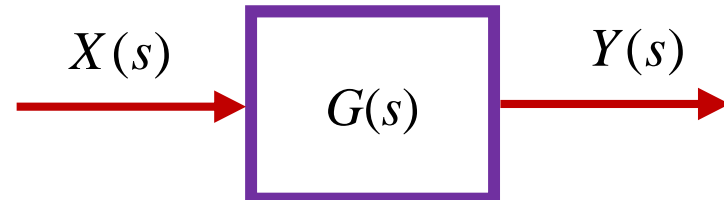
5.6. Stability Analysis



# Introduction

- By the term *frequency response*, we mean the steady-state response of a system to a **sinusoidal** input.
- In frequency-response methods, we **vary** the **frequency** of the input signal over a certain range and **study** the resulting **response**.
- Assume the following system, if the input is sinusoidal

$$x(t) = A \sin(\omega t)$$



The steady state output is

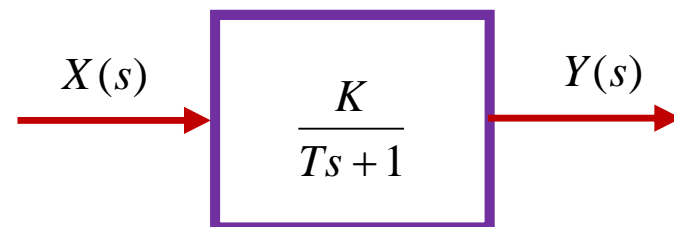
$$y_{ss}(t) = A |G(j\omega)| \sin(\omega t + \angle G(j\omega))$$

where  $G(j\omega)$  is called the sinusoidal transfer function.



# Introduction

- Example 1:** Find the steady-state output of the following system in response to  $x(t) = A\sin(\omega t)$



$$G(s) = \frac{K}{Ts+1}$$

$$\rightarrow G(j\omega) = \frac{K}{jT\omega+1} \left\{ \begin{array}{l} |G(j\omega)| = \frac{K}{\sqrt{1+T^2\omega^2}} \\ \angle G(j\omega) = -\tan^{-1} T\omega \end{array} \right.$$

$$y_{ss}(t) = A|G(j\omega)|\sin(\omega t + \angle G(j\omega))$$

$$\rightarrow y_{ss}(t) = \frac{AK}{\sqrt{1+T^2\omega^2}}\sin(\omega t - \tan^{-1} T\omega)$$

# Presenting Frequency-Response Characteristics in Graphical Forms



- The **sinusoidal transfer function**, a complex function of the frequency  $\omega$ , is characterized by its **magnitude** and **phase** angle, with **frequency** as the parameter.
- There are **three commonly used representations** of **sinusoidal transfer functions**:

1. **Bode diagram or logarithmic plot**

$$|G(j\omega)| \text{ vs. } \omega$$
$$\angle G(j\omega) \text{ vs. } \omega$$

2. **Nyquist plot or polar plot**

$$\text{Im}[G(j\omega)] \text{ vs. } \text{Re}[G(j\omega)]$$

3. **Log-magnitude-versus-phase plot (Nichols plots)**

$$|G(j\omega)| \text{ vs. } \angle G(j\omega)$$



# Bode Diagrams

A **Bode diagram** consists of two graphs:

1. One is a plot of the **logarithm of the magnitude** of a sinusoidal transfer function;
2. The other is a plot of the **phase angle**; Both are plotted against the frequency on a logarithmic scale.

The standard representation of the logarithmic magnitude of  $G(j\omega)$  is  $20 \log |G(j\omega)|$ , where the base of the logarithm is 10. The unit used in this representation of the magnitude is the decibel (dB).



# Basic Factors

The **basic factors** that very frequently occur in an arbitrary transfer function  $G(j\omega)H(j\omega)$  are

1. **Gain**  $K$
2. **Integral** and **derivative** factors  $(j\omega)^{\pm 1}$
3. **First-order** factors  $(1 + j\omega)^{\pm 1}$
4. **Quadratic** factors  $\left[1 + 2\zeta(j\omega/\omega_n) + (j\omega/\omega_n)^2\right]^{\pm 1}$

Note that **adding the logarithms** of the gains corresponds to **multiplying** them together.



# Basic Factors

## 1. Gain $K$

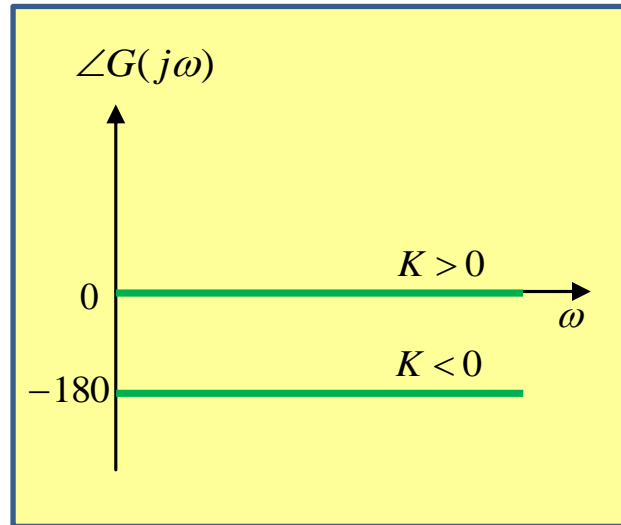
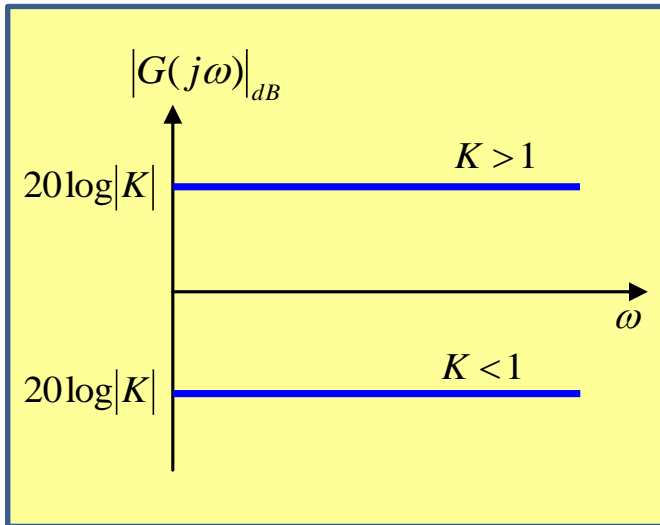
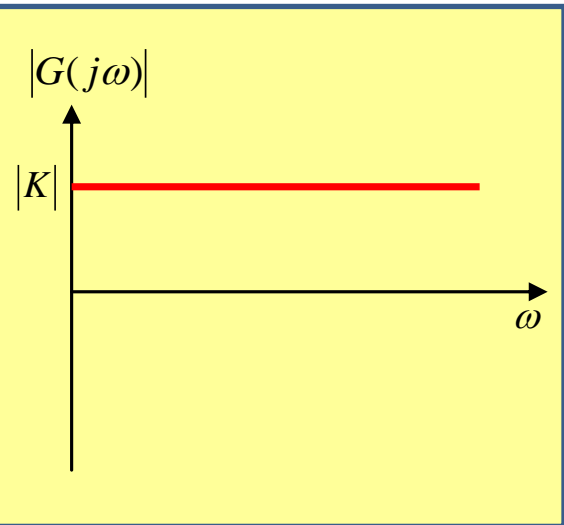
$$G(s) = K \rightarrow$$

$$G(j\omega) = K$$

$$|G(j\omega)| = |K|$$

$$|G(j\omega)|_{dB} = 20 \log |K|$$

$$\angle G(j\omega) = \begin{cases} 0 & K \geq 0 \\ -180^\circ & K < 0 \end{cases}$$



# Basic Factors

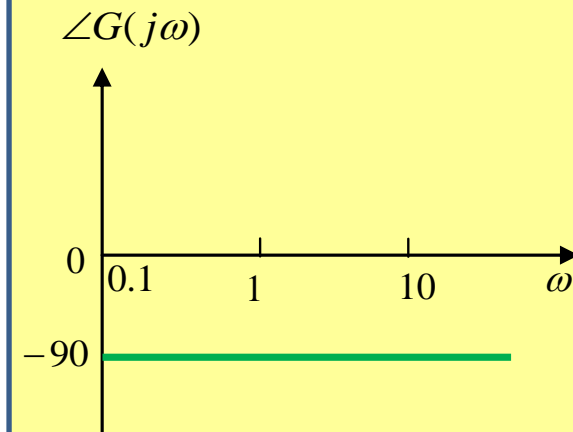
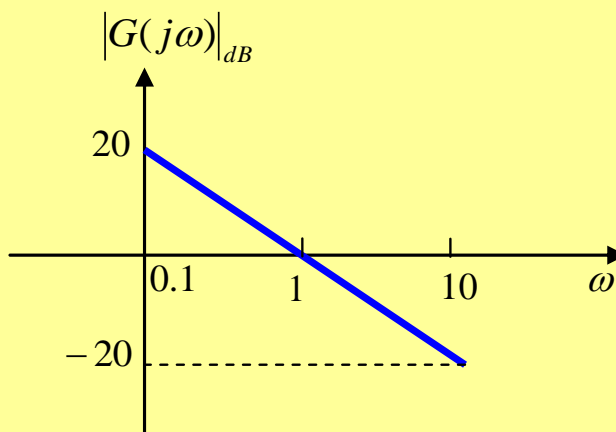
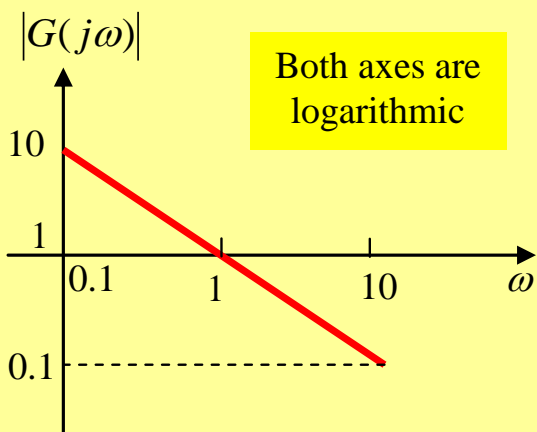
## 2. Integral $(j\omega)^{-1}$

$$G(s) = \frac{1}{s} \rightarrow G(j\omega) = \frac{1}{j\omega}$$

$$|G(j\omega)| = \frac{1}{\omega}$$

$$|G(j\omega)|_{dB} = -20 \log \omega$$

$$\angle G(j\omega) = -90^\circ$$



# Basic Factors

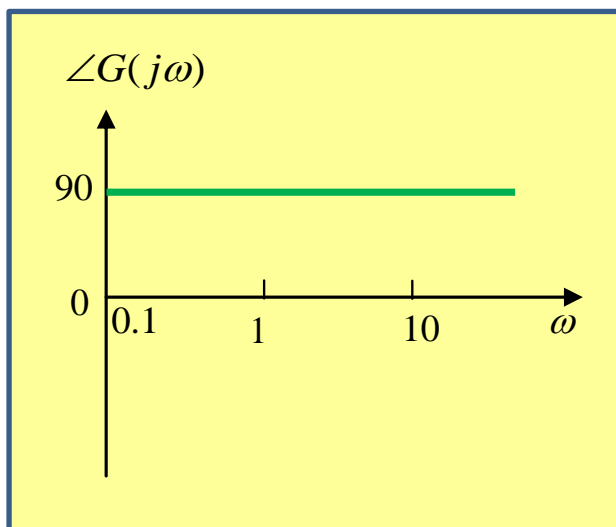
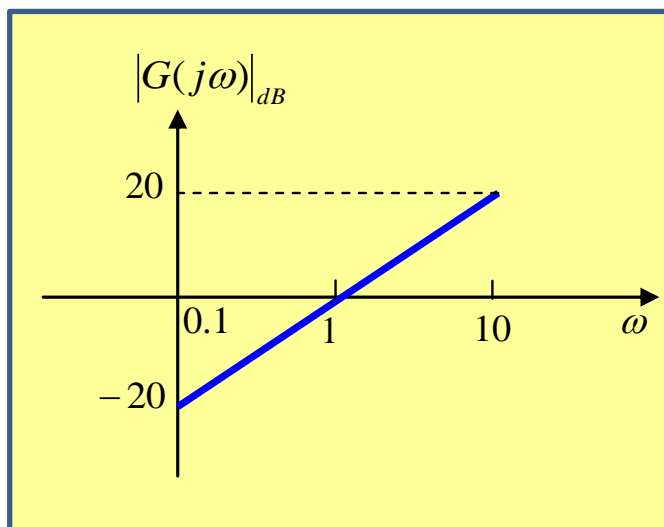
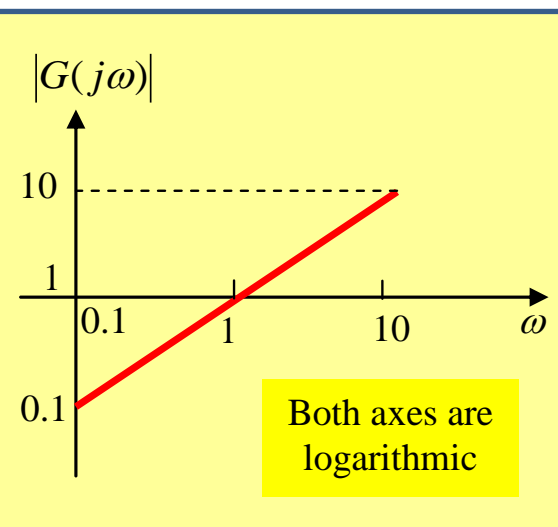
## 3. Derivative ( $j\omega$ )

$$G(s) = s \rightarrow G(j\omega) = j\omega$$

$$|G(j\omega)| = \omega$$

$$|G(j\omega)|_{dB} = 20 \log \omega$$

$$\angle G(j\omega) = +90^\circ$$





# Basic Factors

## 4. First-order $(1 + j\omega T)^{-1}$

$$G(s) = \frac{1}{1 + Ts}$$



$$G(j\omega) = \frac{1}{1 + jT\omega}$$

$$|G(j\omega)| = \frac{1}{\sqrt{1 + T^2\omega^2}} \quad (1)$$

$$\angle G(j\omega) = -\tan^{-1}(T\omega) \quad (2)$$

(1)

$$|G(j\omega)| = \begin{cases} \frac{1}{T\omega} & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$



$$|G(j\omega)|_{dB} = \begin{cases} -20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$

$$|G(j\omega)|_{\omega=\frac{1}{T}} = \frac{1}{\sqrt{2}}$$

$$|G(j\omega)|_{dB} \bigg|_{\omega=\frac{1}{T}} = -20 \log \sqrt{2} = -3 \text{ dB}$$

# Basic Factors

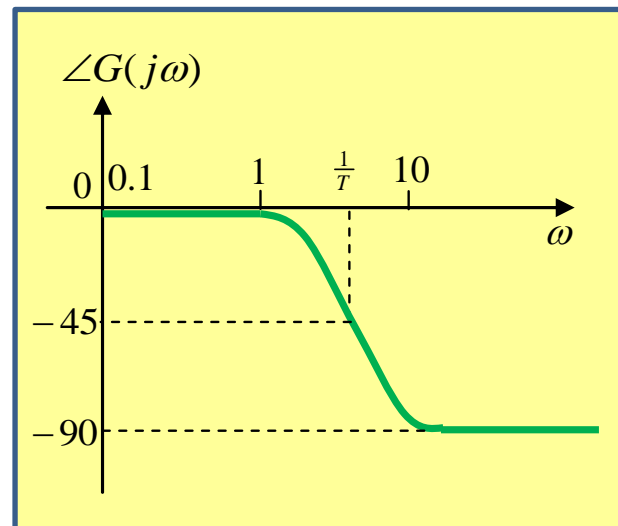
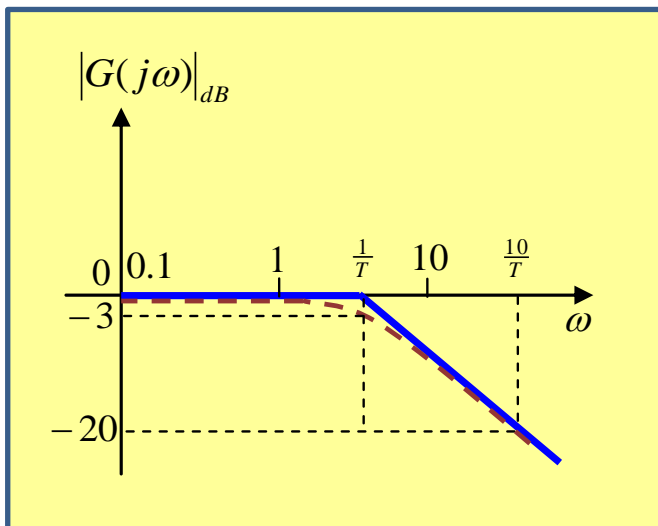
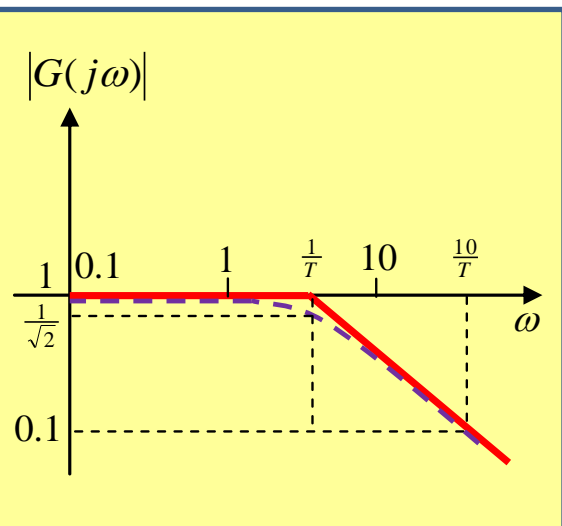
## 4. First-order $(1 + j\omega T)^{-1}$

$$G(s) = \frac{1}{1 + Ts}$$

$$|G(j\omega)| = \begin{cases} \frac{1}{T\omega} & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$

$$\angle G(j\omega) = -\tan^{-1}(T\omega)$$

$$|G(j\omega)|_{dB} = \begin{cases} -20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$



# Basic Factors

## 5. First-order $(1 + j\omega T)$

$$G(s) = 1 + Ts \quad \rightarrow \quad G(j\omega) = 1 + jT\omega$$

$$|G(j\omega)| = \sqrt{1 + T^2\omega^2} \quad (1)$$

$$\angle G(j\omega) = \tan^{-1}(T\omega) \quad (2)$$

(1)  $\rightarrow$

$$|G(j\omega)| = \begin{cases} T\omega & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$

$$|G(j\omega)|_{dB} = \begin{cases} 20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$

$$|G(j\omega)|_{\omega=\frac{1}{T}} = \sqrt{2}$$

$$|G(j\omega)|_{dB} \omega=\frac{1}{T} = 20 \log \sqrt{2} = 3 \text{ dB}$$



# Basic Factors

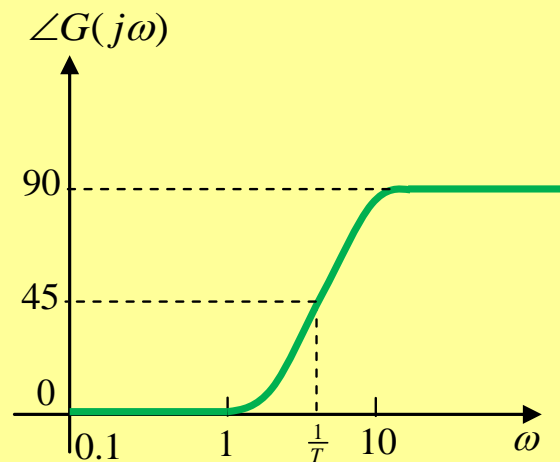
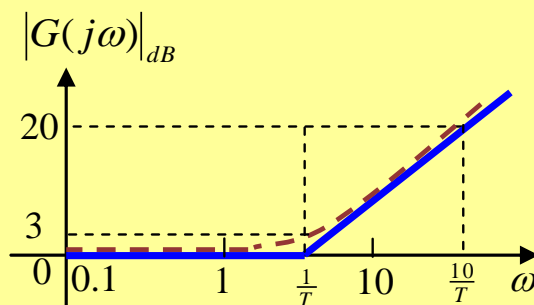
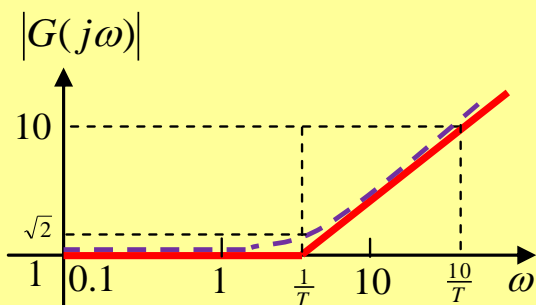
## 5. First-order $(1 + j\omega T)$

$$G(s) = 1 + Ts$$

$$|G(j\omega)| = \begin{cases} T\omega & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$

$$\angle G(j\omega) = \tan^{-1}(T\omega)$$

$$|G(j\omega)|_{dB} = \begin{cases} 20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$



# Basic Factors

## 6. First-order $(-1 + j\omega T)$

$$G(s) = -1 + Ts \quad \rightarrow \quad G(j\omega) = -1 + jT\omega$$

$$|G(j\omega)| = \sqrt{1 + T^2\omega^2} \quad (1)$$

$$\angle G(j\omega) = \tan^{-1}\left(\frac{T\omega}{-1}\right) \quad (2)$$

(1)  $\rightarrow$

$$|G(j\omega)| = \begin{cases} T\omega & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$

$$|G(j\omega)|_{dB} = \begin{cases} 20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$

$$|G(j\omega)|_{\omega=\frac{1}{T}} = \sqrt{2}$$

$$|G(j\omega)|_{dB} \omega=\frac{1}{T} = 20 \log \sqrt{2} = 3 \text{ dB}$$





# Basic Factors

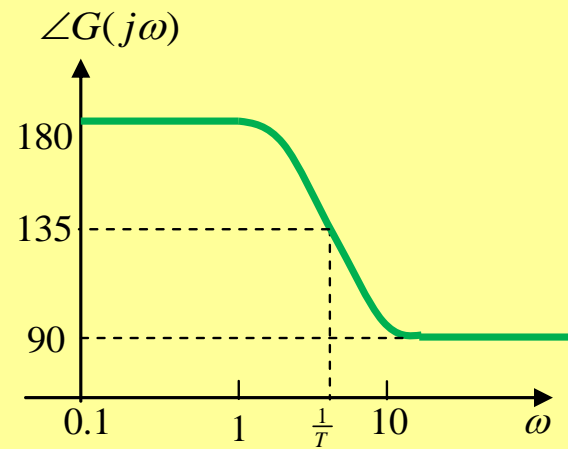
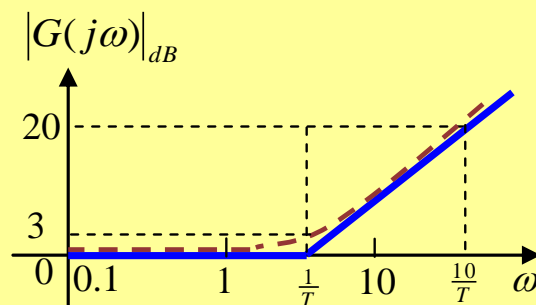
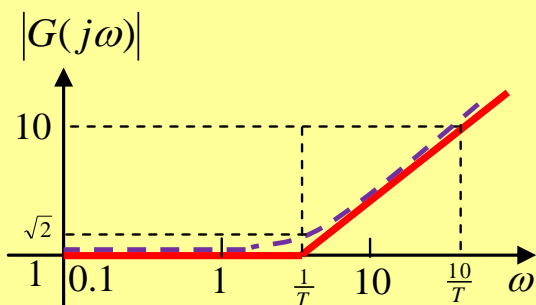
## 6. First-order $(-1 + j\omega T)$

$$G(s) = -1 + Ts$$

$$|G(j\omega)| = \begin{cases} T\omega & \omega \gg \frac{1}{T} \\ 1 & \omega \ll \frac{1}{T} \end{cases}$$

$$\angle G(j\omega) = \tan^{-1}\left(\frac{T\omega}{-1}\right)$$

$$|G(j\omega)|_{dB} = \begin{cases} 20 \log T\omega & \omega \gg \frac{1}{T} \\ 0 & \omega \ll \frac{1}{T} \end{cases}$$



# Basic Factors

## 7. Second-order

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$



$$G(s) = \frac{1}{\frac{s^2}{\omega_n^2} + \frac{2\zeta}{\omega_n} s + 1}$$

$$G(j\omega) = \frac{1}{\left(1 - \frac{\omega^2}{\omega_n^2}\right) + j2\zeta \frac{\omega}{\omega_n}}$$



$$|G(j\omega)| = \frac{1}{\sqrt{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + \left(2\zeta \frac{\omega}{\omega_n}\right)^2}}$$

$$\angle G(j\omega) = -\tan^{-1}\left(\frac{2\zeta \frac{\omega}{\omega_n}}{1 - \frac{\omega^2}{\omega_n^2}}\right)$$

# Basic Factors

## 7. Second-order

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$



$$|G(j\omega)| = \frac{1}{\sqrt{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + \left(2\zeta \frac{\omega}{\omega_n}\right)^2}}$$

$$\angle G(j\omega) = -\tan^{-1}\left(\frac{2\zeta \frac{\omega}{\omega_n}}{1 - \frac{\omega^2}{\omega_n^2}}\right)$$

$$|G(j\omega)| = \begin{cases} \left(\frac{\omega}{\omega_n}\right)^{-2} & \omega \gg \omega_n \\ 1 & \omega \ll \omega_n \end{cases}$$

$$|G(j\omega)|_{dB} = \begin{cases} -40 \log \frac{\omega}{\omega_n} & \omega \gg \omega_n \\ 0 & \omega \ll \omega_n \end{cases}$$

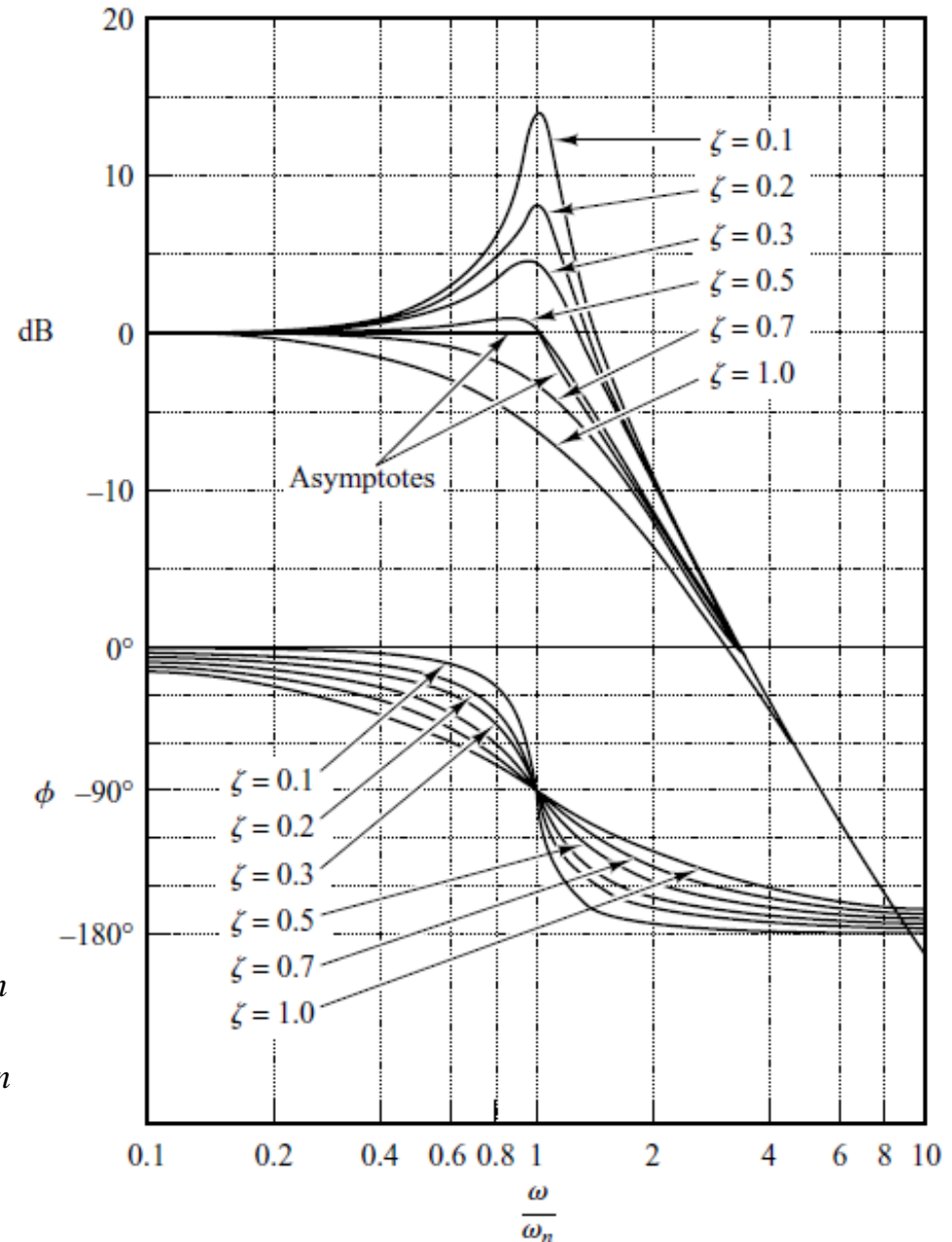
# Basic Factors

## 7. Second-order

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$\angle G(j\omega) = -\tan^{-1} \left( \frac{2\zeta \frac{\omega}{\omega_n}}{1 - \frac{\omega^2}{\omega_n^2}} \right)$$

$$|G(j\omega)|_{dB} = \begin{cases} -40 \log \frac{\omega}{\omega_n} & \omega \gg \omega_n \\ 0 & \omega \ll \omega_n \end{cases}$$





# Basic Factors

## 7. Second-order

The **Resonant Frequency**  $\omega_r$  and the **Resonant Peak Value**  $M_r$

The peak value of  $|G(j\omega)|$  occurs when the denominator,  $g(\omega)$ , minimizes

$$g(\omega) = \sqrt{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + \left(2\zeta \frac{\omega}{\omega_n}\right)^2}$$

$$\frac{dg(\omega)}{d\omega} = 0 \quad \rightarrow$$

$$\omega_r = \omega_n \sqrt{1 - 2\zeta^2}$$

$$0 \leq \zeta < \frac{1}{\sqrt{2}}$$

$$M_r = |G(j\omega)|_{\max} = |G(j\omega_r)| = \frac{1}{2\zeta \sqrt{1 - \zeta^2}}$$

$$0 \leq \zeta < \frac{1}{\sqrt{2}}$$



# Basic Factors

## Corner frequency

- In the **first-order** system of the following form,

$$G(s) = \frac{K}{Ts + 1}$$

the corner frequency is  $\omega_c = \frac{1}{T}$

- In the **second-order** system of the following form

$$G(s) = \frac{K}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

the corner frequency is  $\omega_c = \omega_n$



# Basic Factors

## Corner frequency

- **Example 1:**

$$G(s) = \frac{4}{3s + 2} \quad \rightarrow \quad G(s) = \frac{2}{\frac{3}{2}s + 1}$$

the corner frequency is  $\omega_c = \frac{1}{T} = \frac{2}{3}$

- **Example 2:**

$$G(s) = \frac{6}{2s^2 + 2s + 4} \quad G(s) = \frac{3}{s^2 + s + 2}$$

the corner frequency is  $\omega_c = \omega_n = \sqrt{2}$



# Bode Diagrams

**Example:** Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)} \quad \rightarrow \quad G(j\omega) = \frac{1000}{j\omega(j\omega+5)(j\omega+50)}$$

$$\rightarrow G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

The **corner frequencies** are  $\omega_{c1} = \frac{1}{T_1} = 5$  and  $\omega_{c2} = \frac{1}{T_2} = 50$

$$|G(j\omega)|_{dB} = 20\log 4 + 20\log\left|\frac{1}{j\omega}\right| + 20\log\left|\frac{1}{j\frac{\omega}{5}+1}\right| + 20\log\left|\frac{1}{j\frac{\omega}{50}+1}\right|$$

$$\angle G(j\omega) = 0 + \angle\frac{1}{j\omega} + \angle\frac{1}{j\frac{\omega}{5}+1} + \angle\frac{1}{j\frac{\omega}{50}+1}$$



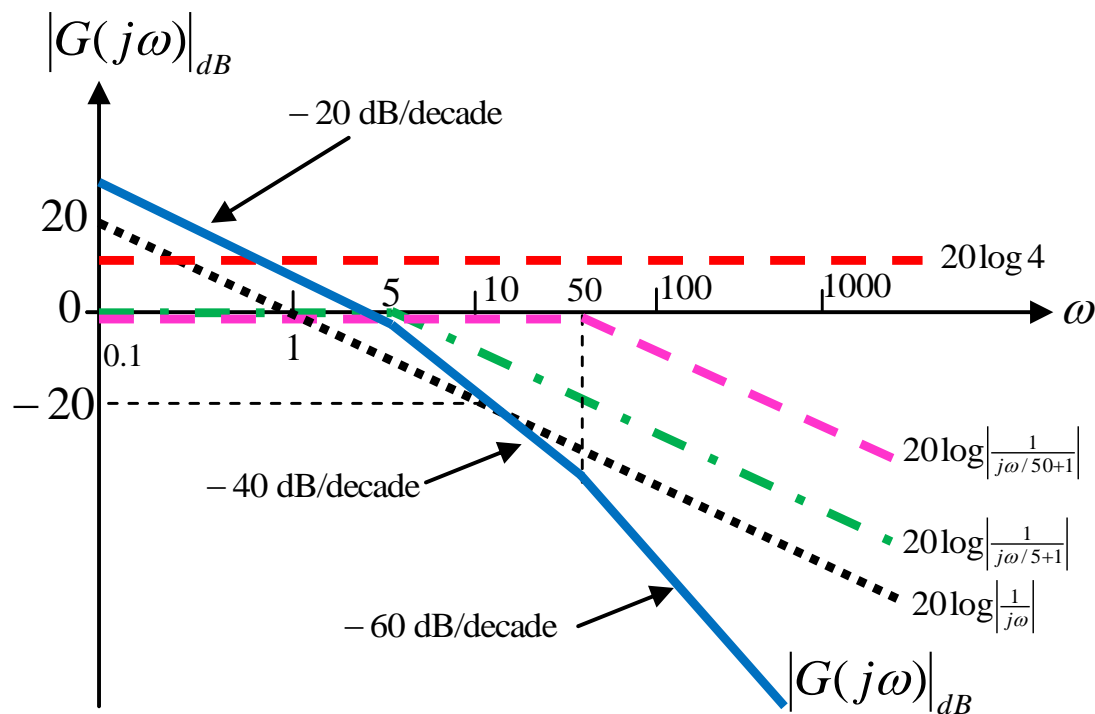


# Bode Diagrams (Magnitude)

**Example:** Plot the bode diagrams of the following system

The **corner frequencies** are  $\omega_{c1} = \frac{1}{T_1} = 5$  and  $\omega_{c2} = \frac{1}{T_2} = 50$

$$|G(j\omega)|_{dB} = 20\log 4 + 20\log\left|\frac{1}{j\omega}\right| + 20\log\left|\frac{1}{j\frac{\omega}{5}+1}\right| + 20\log\left|\frac{1}{j\frac{\omega}{50}+1}\right|$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

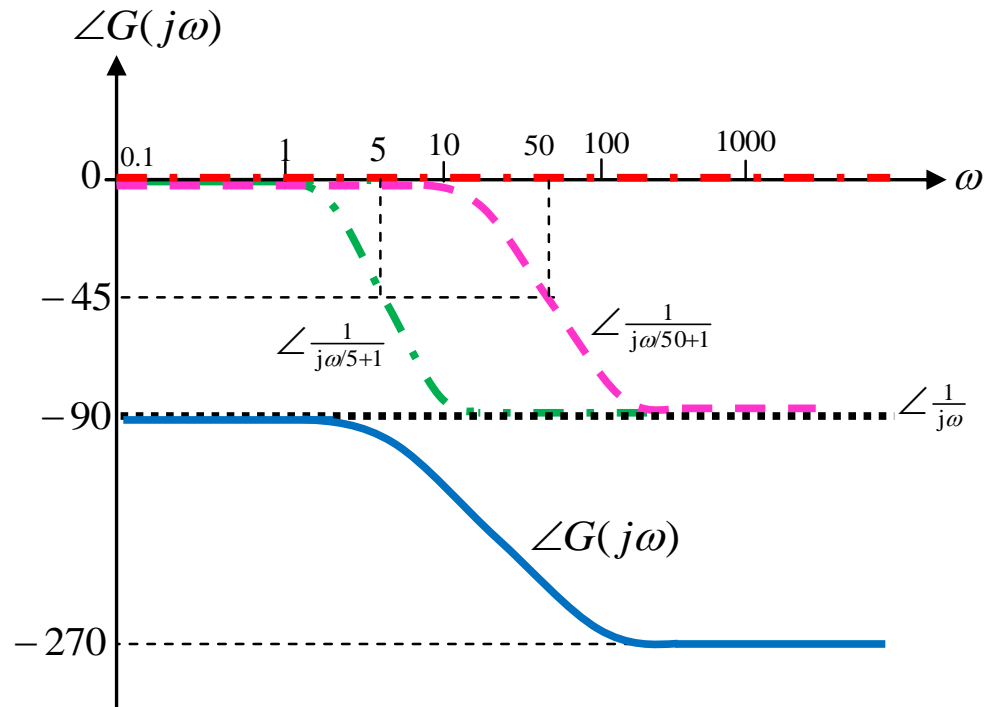
# Bode Diagrams (Phase)

**Example:** Plot the bode diagrams of the following system

The **corner frequencies** are  $\omega_{c1} = \frac{1}{T_1} = 5$  and  $\omega_{c2} = \frac{1}{T_2} = 50$

$$\angle G(j\omega) = 0 + \angle \frac{1}{j\omega} + \angle \frac{1}{j\frac{\omega}{5} + 1} + \angle \frac{1}{j\frac{\omega}{50} + 1}$$

$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5} + 1)(j\frac{\omega}{50} + 1)}$$





# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

```
w=logspace(-1,3,100);
```

```
numT=1000;
```

```
denT=[1 55 250 0];
```

```
num1=4;
```

```
den1=1;
```

```
num2=1;
```

```
den2=[1 0];
```

```
num3=1;
```

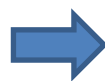
```
den3=[1/5 1];
```



# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

```
num4=1;
```

```
den4=[1/50 1];
```

```
[mag,phase]=bode(numT,denT,w);
```

```
[mag1,phase1]=bode(num1,den1,w);
```

```
[mag2,phase2]=bode(num2,den2,w);
```

```
[mag3,phase3]=bode(num3,den3,w);
```

```
[mag4,phase4]=bode(num4,den4,w);
```



# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$

$$\Rightarrow G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

figure(1)

```
loglog(w,mag,'b','linewidth',3)
```

```
hold on
```

```
loglog(w,mag1,'r--','linewidth',2)
```

```
loglog(w,mag2,'k:','linewidth',2)
```

```
loglog(w,mag3,'g-','linewidth',2)
```

```
loglog(w,mag4,'m--','linewidth',2)
```

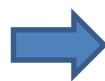
```
legend('G(j\omega)','K=4','1/j\omega','1/(j\omega/5+1)','1/(j\omega/50+1)')
```



# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$

figure(2)

```
semilogx(w,phase,'b','linewidth',3)
```

hold on

```
semilogx(w,phase1,'r--','linewidth',2)
```

```
semilogx(w,phase2,'k:','linewidth',2)
```

```
semilogx(w,phase3,'g-','linewidth',2)
```

```
semilogx(w,phase4,'m--','linewidth',2)
```

```
legend('G(j\omega)','K=4','1/j\omega','1/(j\omega/5+1)','1/(j\omega/50+1)')
```

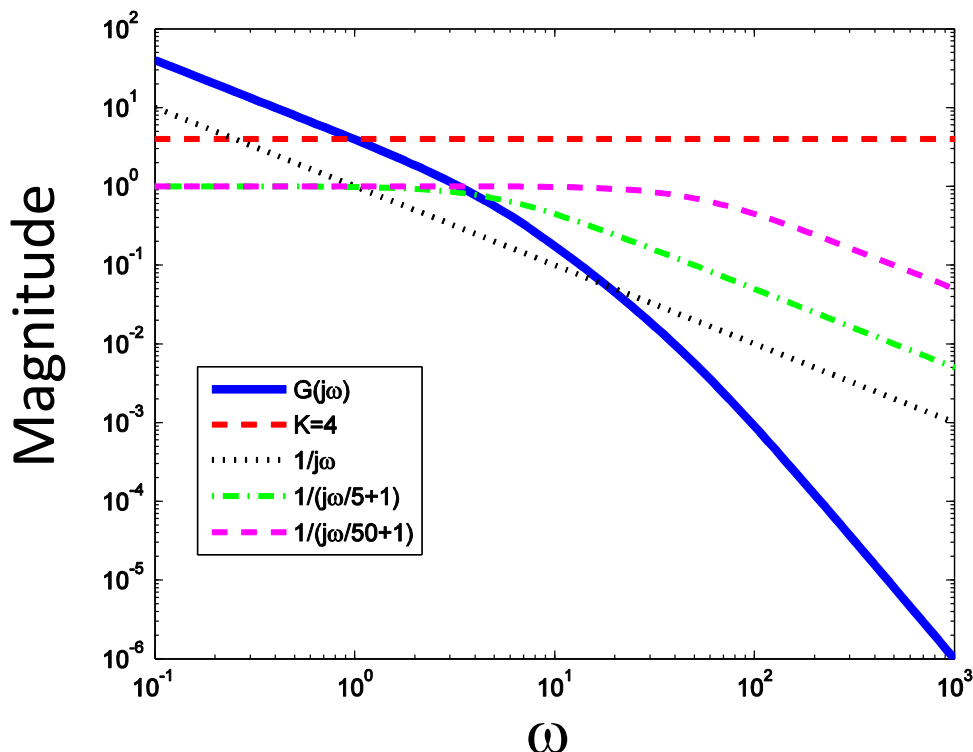
# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$



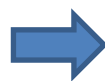
Note that the magnitude is in logarithmic scale.

Magnitude in NOT in dB

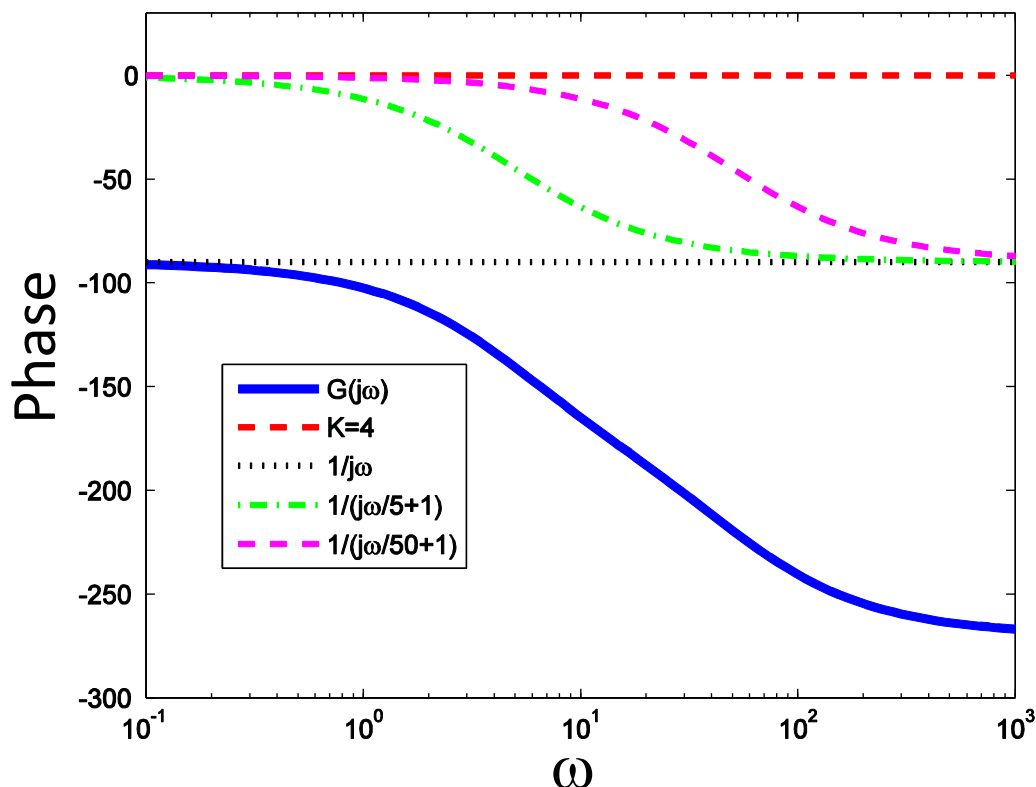
# Bode Diagrams Using MATLAB

**Example:** Using MATLAB Plot the bode diagrams of the following system

$$G(s) = \frac{1000}{s(s+5)(s+50)}$$



$$G(j\omega) = \frac{4}{j\omega(j\frac{\omega}{5}+1)(j\frac{\omega}{50}+1)}$$





# Minimum-Phase Systems and Nonminimum-Phase Systems



- Transfer functions having **neither poles nor zeros** in the **right-half**  $s$  plane are **minimum-phase** transfer functions,
- Whereas those having **poles and/or zeros** in the **right-half**  $s$  plane are **nonminimum-phase** transfer functions.
- Systems with **minimum-phase** transfer functions are called ***minimum-phase*** systems,
- whereas those with **nonminimum-phase** transfer functions are called ***nonminimum-phase*** systems.



# Transport Lag

- **Transport lag**, which is also called **dead time**, is of non-minimum phase behavior and has an excessive phase lag with no attenuation at high frequencies.
- Such transport lags normally exist in **thermal**, **hydraulic**, and **pneumatic** systems.
- Consider the transport lag given by  $G(j\omega) = e^{-j\omega T}$
- The magnitude is always equal to unity, since

$$|G(j\omega)| = |\cos \omega T - j \sin \omega T| = 1$$



$$|G(j\omega)|_{dB} = 0$$

# Transport Lag

- Consider the transport lag given by  $G(j\omega) = e^{-j\omega T}$

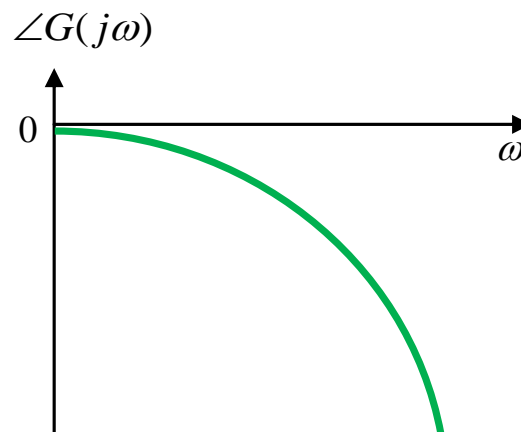
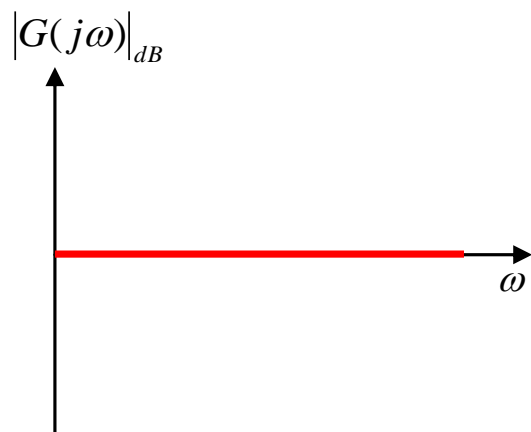
- The **magnitude** is always equal to unity, since

$$|G(j\omega)| = |\cos \omega T - j \sin \omega T| = 1 \quad \Rightarrow \quad |G(j\omega)|_{dB} = 0$$

- The **phase** angle is

$$\angle G(j\omega) = -\omega T \quad (\text{radians})$$

$$\angle G(j\omega) = -57.3\omega T \quad (\text{degrees})$$





# Bode Diagrams

- Consider the following system

$$G(s) = \frac{(T_a s + 1)(T_b s + 1) \cdots (T_m s + 1)}{s^N (T_1 s + 1)(T_2 s + 1) \cdots (T_{n-N} s + 1)}$$

- Where the system is of type  $N$ , the order of the numerator is  $m$  and the order of the denominator is  $n$ .
- The relation between the **start- and end-slopes** of the magnitude Bode diagrams with the **system-Type and order** are as follows

$$\text{Start slope} = -20N \text{ dB/decade}$$

$$\text{End slope} = -20(n - m) \text{ dB/decade}$$



# Bode Diagrams

- Consider the following **minimum-phase** system

$$G(s) = \frac{(T_a s + 1)(T_b s + 1) \cdots (T_m s + 1)}{s^N (T_1 s + 1)(T_2 s + 1) \cdots (T_{n-N} s + 1)}$$

- Where the system is of type  $N$ , the order of the numerator is  $m$  and the order of the denominator is  $n$ .
- The relations between the **start- and end-phase** of the phase Bode diagrams with the **system-Type and order** in **minimum-phase systems** are as follows

Start phase =  $-90N$  degrees

End phase =  $-90(n - m)$  degrees

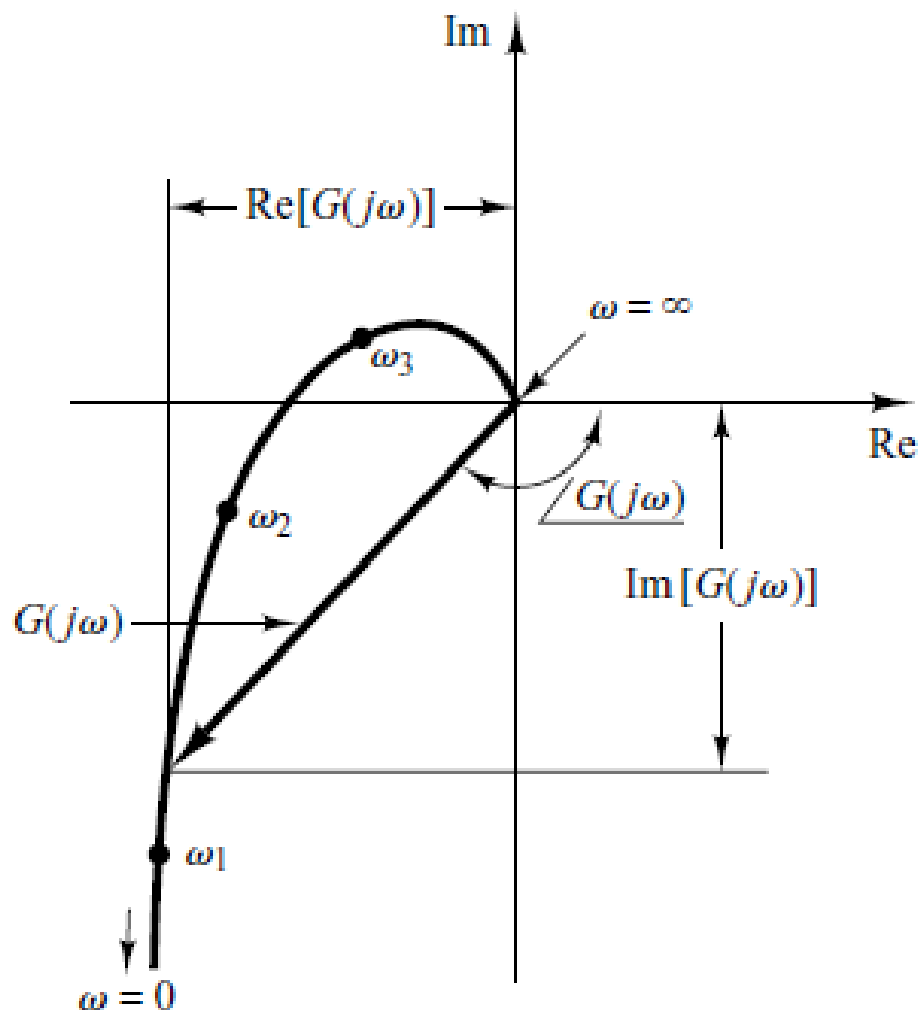
**ONLY** for minimum-phase systems



# Polar Plots (Nyquist)

- The polar plot of a sinusoidal transfer function  $G(j\omega)$  is a plot of the **magnitude of  $G(j\omega)$**  versus the **phase angle of  $G(j\omega)$**  on polar coordinates as  $\omega$  is varied from zero to infinity.
- Thus, the polar plot is the **locus of vectors**  $|G(j\omega)|\angle G(j\omega)$  as  $\omega$  is varied from zero to infinity.
- Note that in polar plots, a **positive** (negative) phase angle is measured **counter-clockwise** (clockwise) from the positive real axis.
- The **polar plot** is often called the **Nyquist plot**.

# Polar Plots (Nyquist)



# Polar Plots (Nyquist)

**Integrator:** Draw the polar plot of the following transfer function

$$G(s) = \frac{1}{s}$$

$$G(j\omega) = \frac{1}{j\omega}$$



$$G(j\omega) = 0 - j\frac{1}{\omega}$$

$$\text{Re}[G(j\omega)] = 0$$

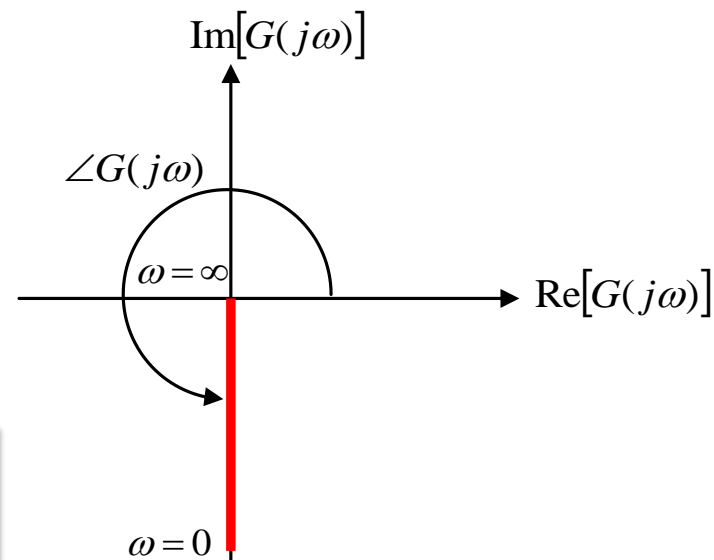
&

$$\text{Im}[G(j\omega)] = \frac{-1}{\omega}$$



$$\begin{cases} \omega \rightarrow 0 & \text{Im} \rightarrow -\infty \\ \omega \rightarrow \infty & \text{Im} \rightarrow 0 \end{cases}$$

**Phase is  
always -90**







# Polar Plots (Nyquist)

**First order:** Draw the polar plot of the following transfer function

$$G(s) = \frac{1}{s+1}$$

$$G(j\omega) = \frac{1}{j\omega+1}$$



$$G(j\omega) = \frac{1-j\omega}{1+\omega^2}$$



$$G(j\omega) = \frac{1}{1+\omega^2} - j \frac{\omega}{1+\omega^2}$$

$$\text{Re}[G(j\omega)] = \frac{1}{1+\omega^2}$$



$$\begin{cases} \omega \rightarrow 0 & \text{Re} \rightarrow 1 \\ \omega \rightarrow \infty & \text{Re} \rightarrow 0 \end{cases}$$

$$\text{Im}[G(j\omega)] = \frac{-\omega}{1+\omega^2}$$



$$\begin{cases} \omega \rightarrow 0 & \text{Im} \rightarrow 0 \\ \omega \rightarrow \infty & \text{Im} \rightarrow 0 \end{cases}$$

Note that for all  $\omega$ ,  
 $\text{Re} > 0$  and  $\text{Im} < 0$

# Polar Plots (Nyquist)

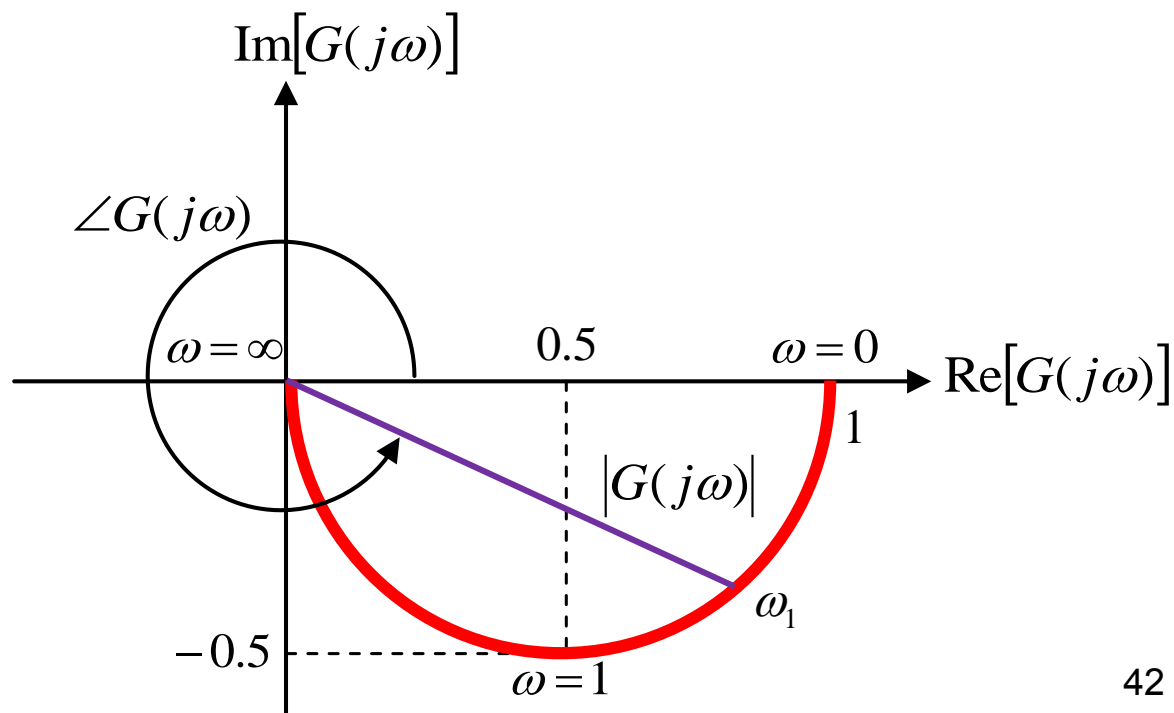
## First order

$$G(s) = \frac{1}{s+1}$$

$$\text{Re}[G(j\omega)] = \frac{1}{1+\omega^2}$$

$$\text{Im}[G(j\omega)] = \frac{-\omega}{1+\omega^2}$$

$$\omega = 1 \Rightarrow \begin{cases} \text{Re} \rightarrow 0.5 \\ \text{Im} \rightarrow -0.5 \end{cases}$$



# Polar Plots (Nyquist)

**Second order:** Draw the polar plot of the following transfer function

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$



$$G(j\omega) = \frac{1}{\left(1 - \frac{\omega^2}{\omega_n^2}\right) + j2\zeta \frac{\omega}{\omega_n}}$$



$$G(j\omega) = \frac{\left(1 - \frac{\omega^2}{\omega_n^2}\right)}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}} - j \frac{2\zeta \frac{\omega}{\omega_n}}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}}$$

# Polar Plots (Nyquist)

Second order:

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$\text{Re}[G(j\omega)] = \frac{\left(1 - \frac{\omega^2}{\omega_n^2}\right)}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}}$$



$$\text{Re}[G(j\omega)] = \begin{cases} 1 & \omega \rightarrow 0 \\ 0 & \omega = \omega_n \\ 0 & \omega \rightarrow \infty \end{cases}$$

$$\text{Im}[G(j\omega)] = -\frac{2\zeta \frac{\omega}{\omega_n}}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}}$$



$$\text{Im}[G(j\omega)] = \begin{cases} 0 & \omega \rightarrow 0 \\ \frac{1}{2\zeta} & \omega = \omega_n \\ 0 & \omega \rightarrow \infty \end{cases}$$

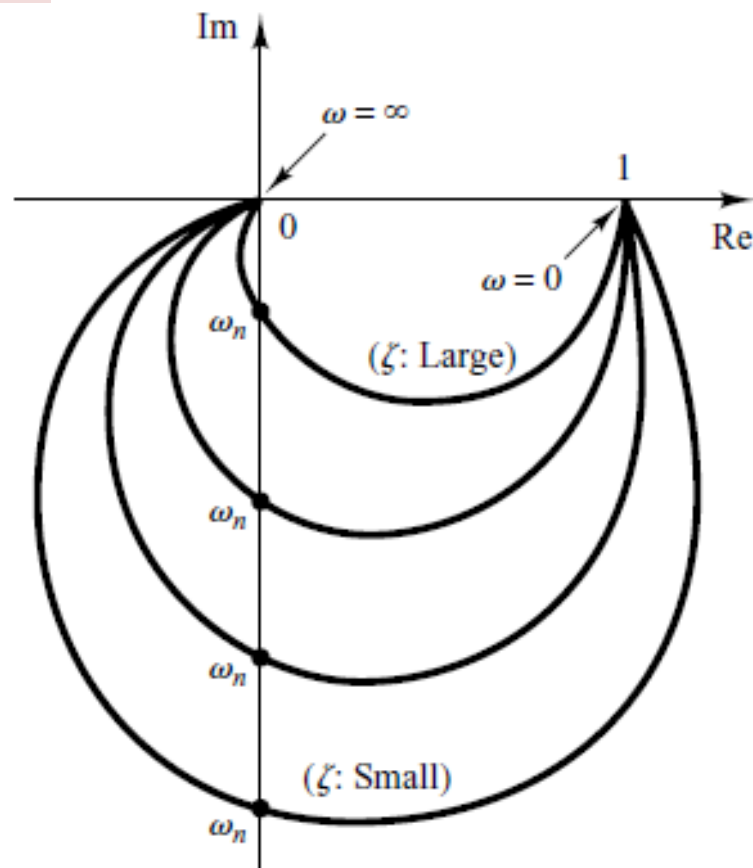
# Polar Plots (Nyquist)

Second order:

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$\text{Re}[G(j\omega)] = \frac{\left(1 - \frac{\omega^2}{\omega_n^2}\right)}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}}$$

$$\text{Im}[G(j\omega)] = -\frac{2\zeta \frac{\omega}{\omega_n}}{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + 4\zeta^2 \frac{\omega^2}{\omega_n^2}}$$



# Polar Plots (Nyquist)

**Transport lag:** Draw the polar plot of the following transfer function

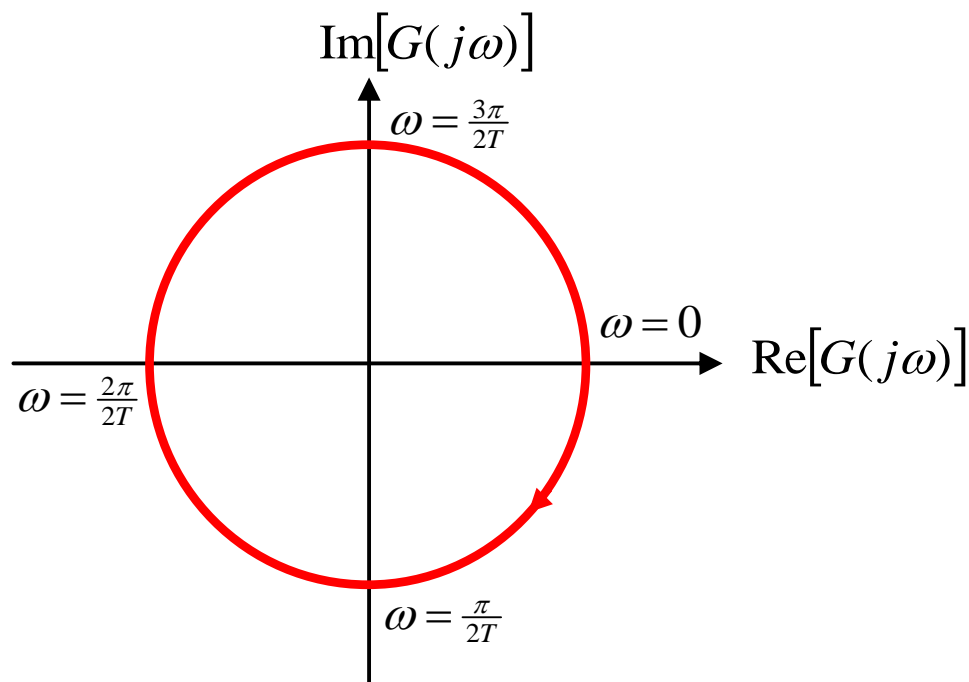
$$G(s) = e^{-Ts}$$



$$G(j\omega) = e^{-jT\omega}$$



$$G(j\omega) = \cos(T\omega) - j \sin(T\omega)$$



# Polar Plots (Nyquist)

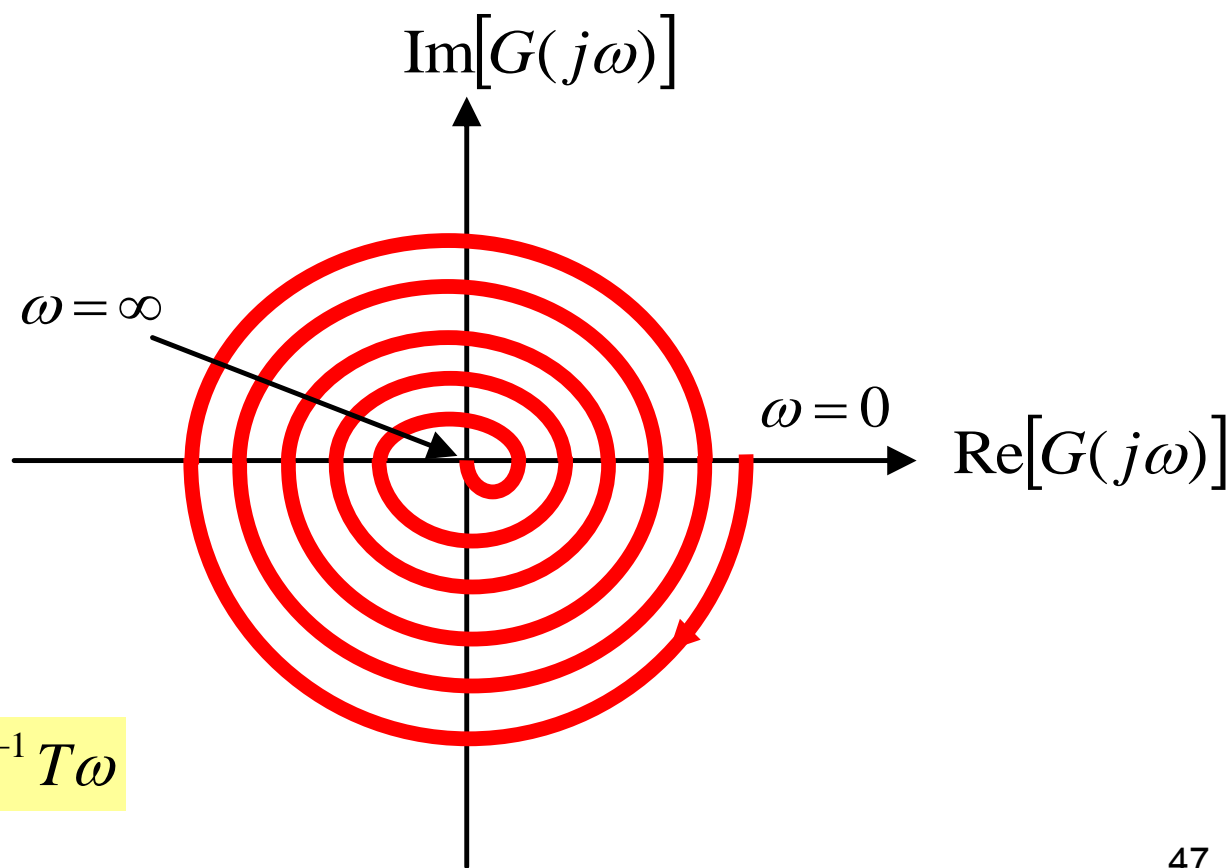
**Example:** Draw the polar plot of the following transfer function

$$G(s) = \frac{e^{-ks}}{1+Ts}$$

$$G(j\omega) = \frac{e^{-jk\omega}}{1+jT\omega}$$

$$|G(j\omega)| = \frac{1}{\sqrt{1+T^2\omega^2}}$$

$$\angle G(j\omega) = -k\omega - \tan^{-1} T\omega$$



# Polar Plots (Nyquist)

**Example:** Draw the polar plot of the following transfer function

$$G(s) = \frac{1}{s(Ts + 1)}$$

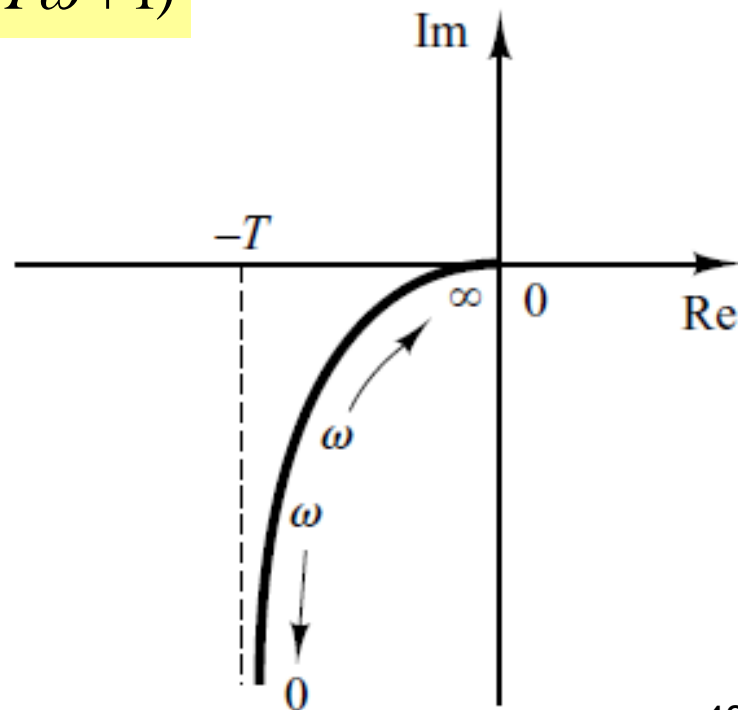


$$G(j\omega) = \frac{1}{j\omega (jT\omega + 1)}$$

$$G(j\omega) = -\frac{T}{1+T^2\omega^2} - j\frac{1}{\omega(1+T^2\omega^2)}$$

$$\lim_{\omega \rightarrow 0} G(j\omega) = -T - j\infty$$

$$\lim_{\omega \rightarrow \infty} G(j\omega) = 0 - j0$$





# General Shapes of Polar Plots

The polar plot of the following transfer function

$$G(j\omega) = \frac{(1 + j\omega T_a)(1 + j\omega T_b) \cdots}{(j\omega)^\lambda (1 + j\omega T_1)(1 + j\omega T_2) \cdots}$$



$$G(j\omega) = \frac{b_0(j\omega)^m + b_1(j\omega)^{m-1} + \cdots}{a_0(j\omega)^n + a_1(j\omega)^{n-1} + \cdots}$$

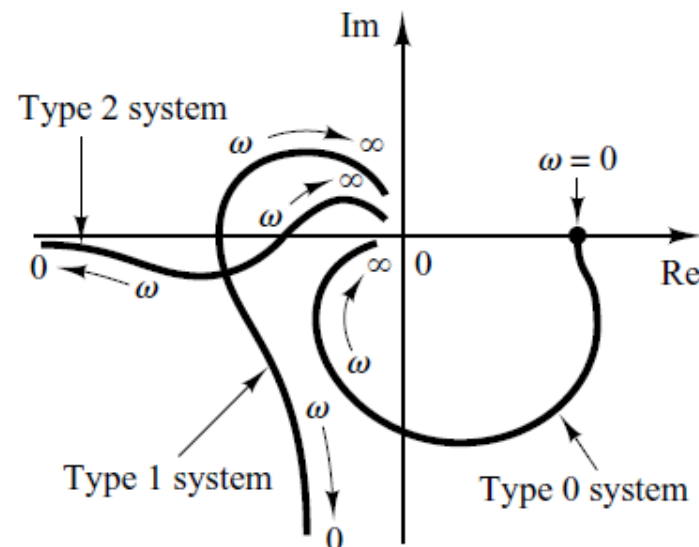
where  $n > m$ , will have the following general shapes:

## 1. For $\lambda=0$ or type 0 systems:

The **starting point** of the polar plot (which corresponds to  $\omega=0$ ) is **finite** and is on the **positive real** axis.

The **tangent** to the polar plot at  $\omega=0$  is **perpendicular** to the real axis.

The **terminal point**, which corresponds to  $\omega = \infty$ , is at the **origin**, and the curve is tangent to one of the axes.



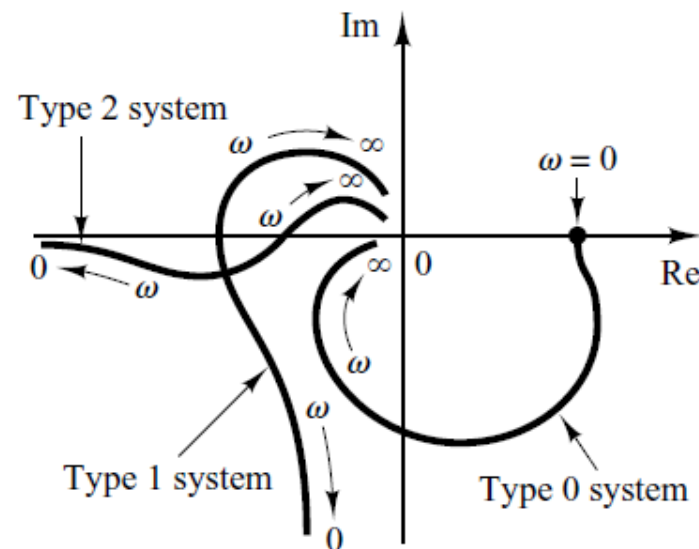
# General Shapes of Polar Plots

## 2. For $\lambda=1$ or type 1 systems:

At  $\omega=0$ , the magnitude of  $G(j\omega)$  is **infinity**, and the **phase angle** becomes  $-90^\circ$ .

At **low frequencies**, the polar plot is **asymptotic** to a line parallel to the negative imaginary axis.

At  $\omega = \infty$ , the magnitude becomes **zero**, and the curve converges to the **origin** and is tangent to one of the axes.



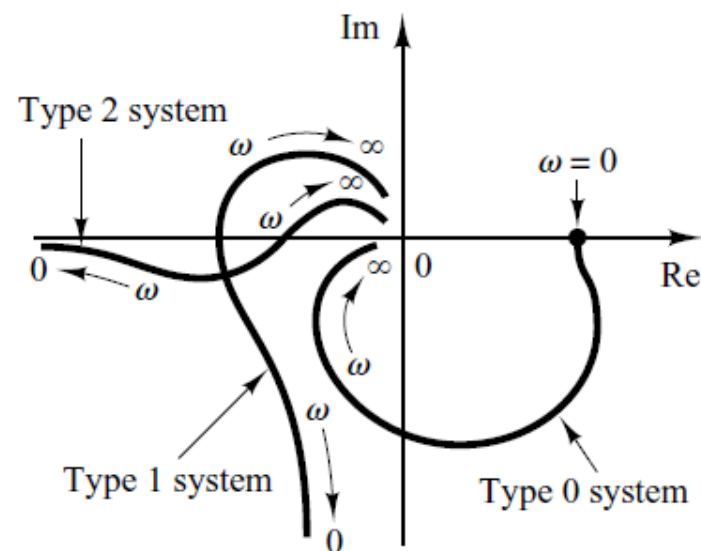
# General Shapes of Polar Plots

## 2. For $\lambda=2$ or type 2 systems:

At  $\omega=0$ , the magnitude of  $G(j\omega)$  is **infinity**, and the **phase angle** becomes  $-180^\circ$ .

At **low frequencies**, the polar plot may be **asymptotic** to the negative real axis.

At  $\omega = \infty$ , the magnitude becomes **zero**, and the curve converges to the **origin** and is tangent to one of the axes.





# Drawing Nyquist Plots with MATLAB

Consider a transfer function as

$$G(s) = \frac{\text{num}(s)}{\text{den}(s)}$$

The **Nyquist plot in MATLAB** is obtained using the following command:

**nyquist(num,den,w)**

Where **num** is the vector corresponding to the coefficients of the numerator, **den** is the vector corresponding to the coefficients of the denominator and **w** is the user-specified frequency vector.



# Drawing Nyquist Plots with MATLAB

**Example:** Consider a transfer function as

$$G(s) = \frac{1}{s^2 + 0.8s + 1}$$

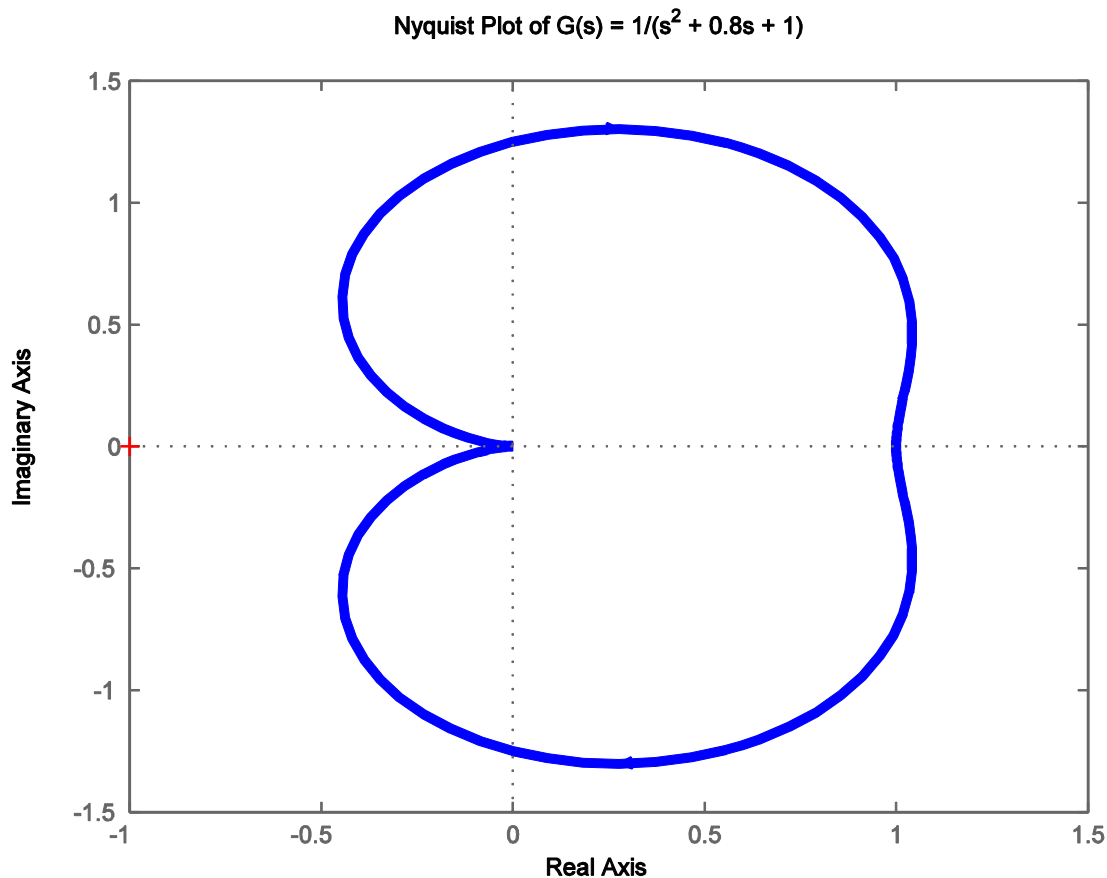
The **Nyquist plot in MATLAB** is obtained using the following command:

```
num=[1];  
den=[1 0.8 1];  
nyquist(num,den)  
title('Nyquist Plot of G(s) = 1/(s^2 + 0.8s + 1)')
```



# Drawing Nyquist Plots with MATLAB

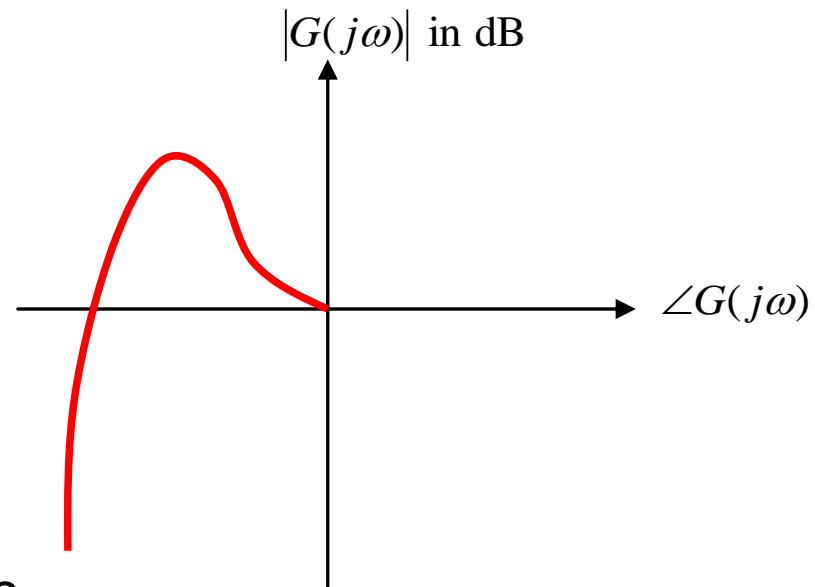
**Example:** Consider a transfer function as  $G(s) = \frac{1}{s^2 + 0.8s + 1}$



# Log-Magnitude versus Phase Plots (Nichols Plots)



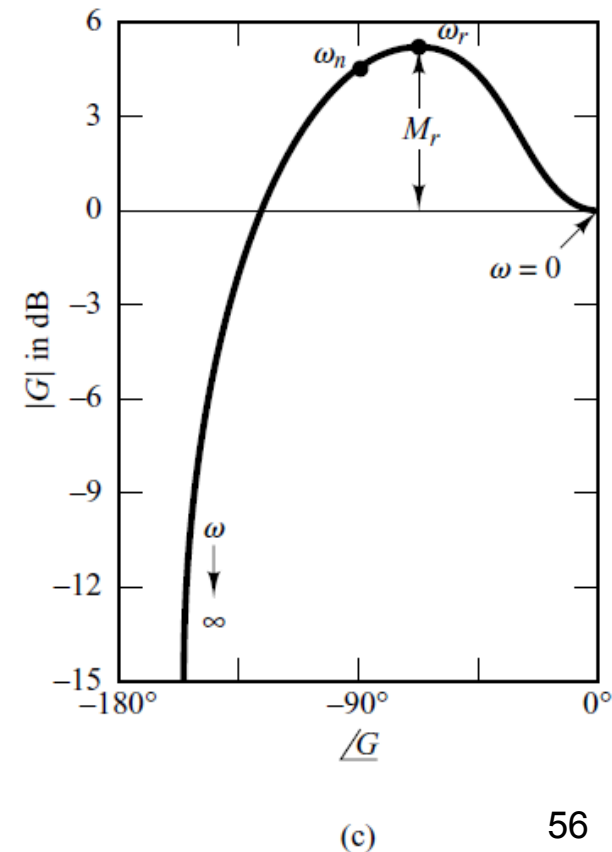
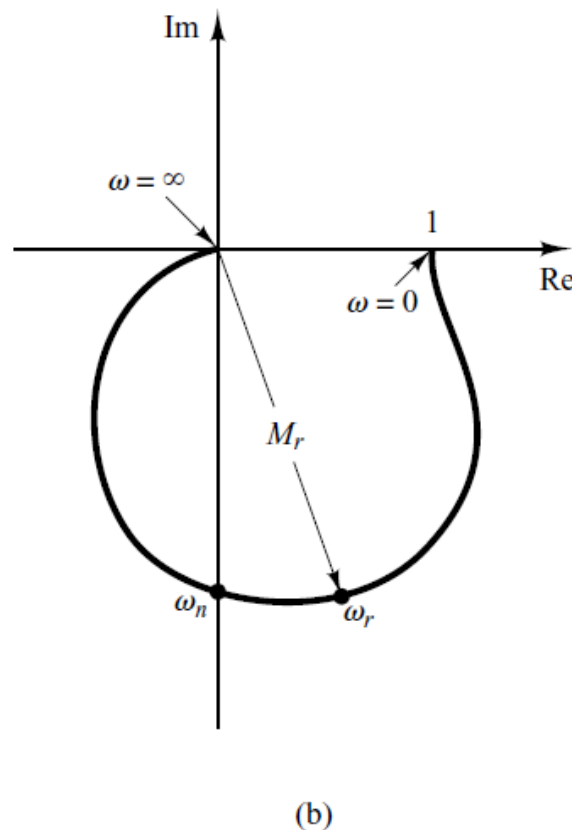
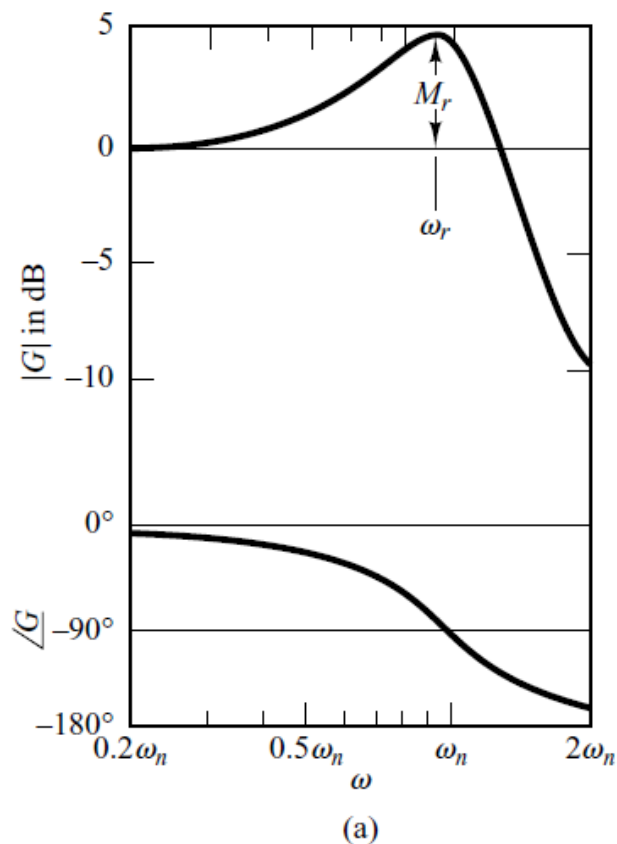
- Another approach to graphically portraying the frequency-response characteristics is to use the **log-magnitude-versus-phase plot**,
- which is a plot of the **logarithmic magnitude in decibels** versus the **phase angle**.
- In the log-magnitude-versus-phase plot, the **two curves** in the **Bode diagram** are **combined** into one.



# Log-Magnitude versus Phase Plots (Nichols Plots)



(a) Bode diagram; (b) polar plot; (c) log-magnitude-versus-phase plot of a second order system.







# Nyquist Stability Criterion

- The **Nyquist stability criterion** determines the **stability** of a **closed-loop system** from its **open-loop frequency response and open-loop poles**.
- Consider the following closed-loop transfer function

$$\frac{C(s)}{R(s)} = \frac{G(s)}{1 + G(s)H(s)}$$

- For stability, all roots of the characteristic equation must lie in the left-half  $s$  plane.  $1 + G(s)H(s) = 0$
- The **Nyquist stability criterion** **relates** the **open-loop frequency** response  $G(j\omega)H(j\omega)$  to the **number of zeros and poles** of  $1 + G(s)H(s)$  that lie in the **right-half  $s$  plane**.



# Conformal Mapping

- Consider the following open-loop transfer function

$$G(s)H(s) = \frac{2}{s-1}$$

- The characteristic equation is

$$F(s) = 1 + G(s)H(s) = 1 + \frac{2}{s-1} = \frac{s+1}{s-1} = 0$$

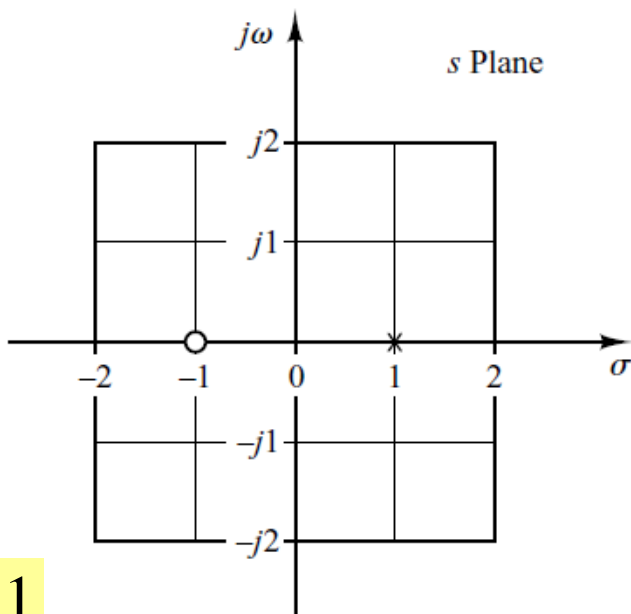
- The function  $F(s)$  is analytic everywhere in the  $s$  plane except at its singular points.
- For each point of analyticity in the  $s$  plane, there corresponds a point in the  $F(s)$  plane.
- For example, if  $s=2+j1$ , then  $F(s)$  becomes

$$F(2+j1) = \frac{2+j1+1}{2+j1-1} = 2-j1$$

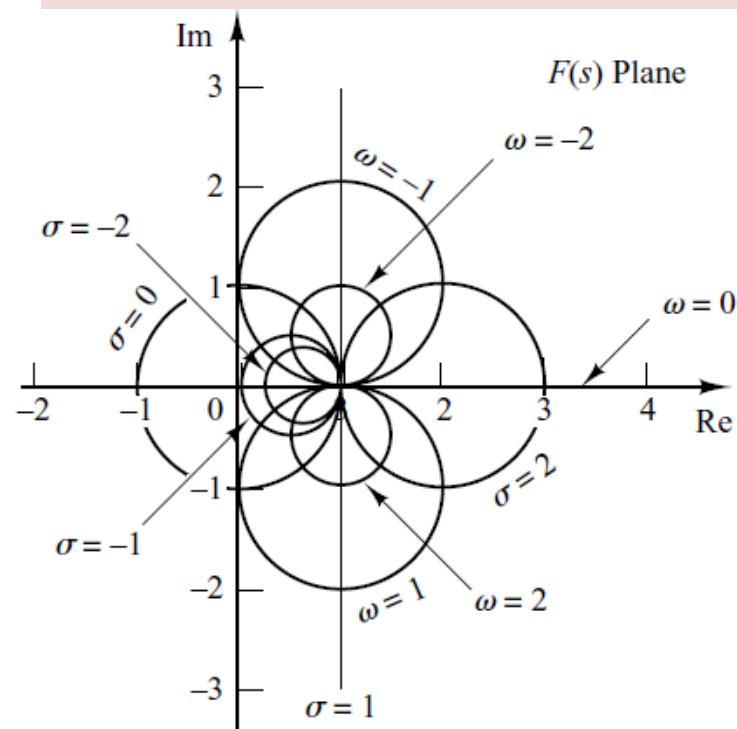
# Conformal Mapping

For a given continuous closed path in the  $s$  plane, which does not go through any singular points, there corresponds a closed curve in the  $F(s)$  plane.

$$s = \sigma + j\omega \xrightarrow{\text{Mapping}} F(s) = \text{Re}[F(s)] + j \text{Im}[F(s)]$$



(a)

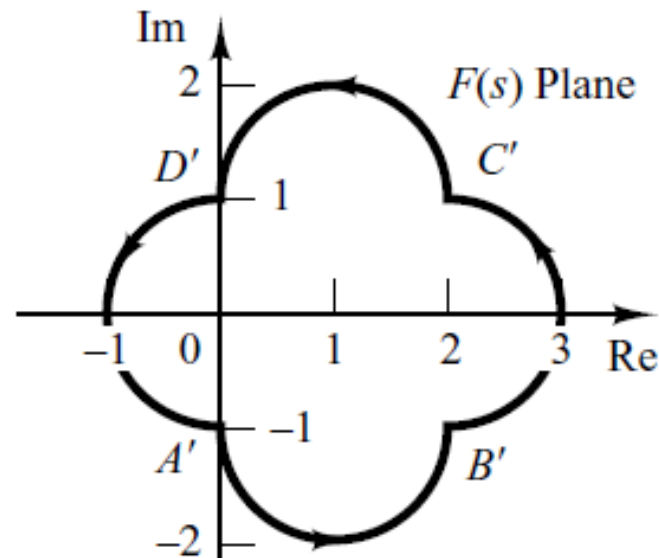
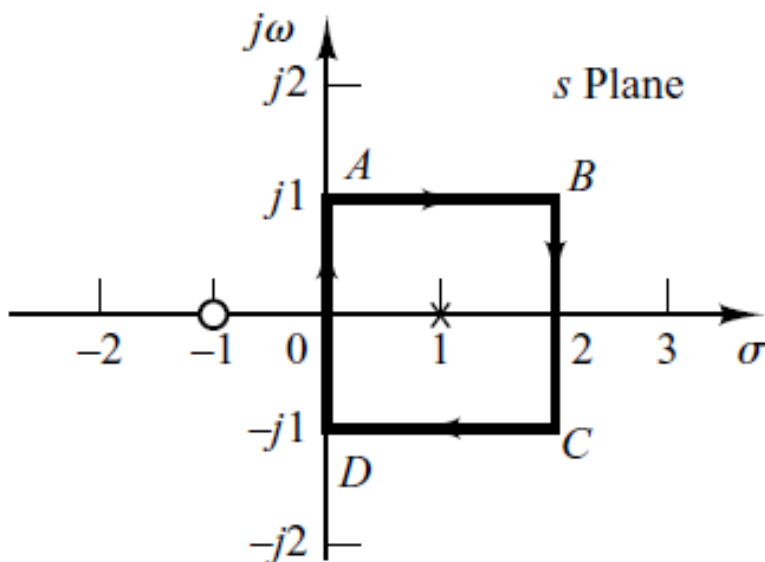


(b)

$$F(s) = \frac{s+1}{s-1}$$

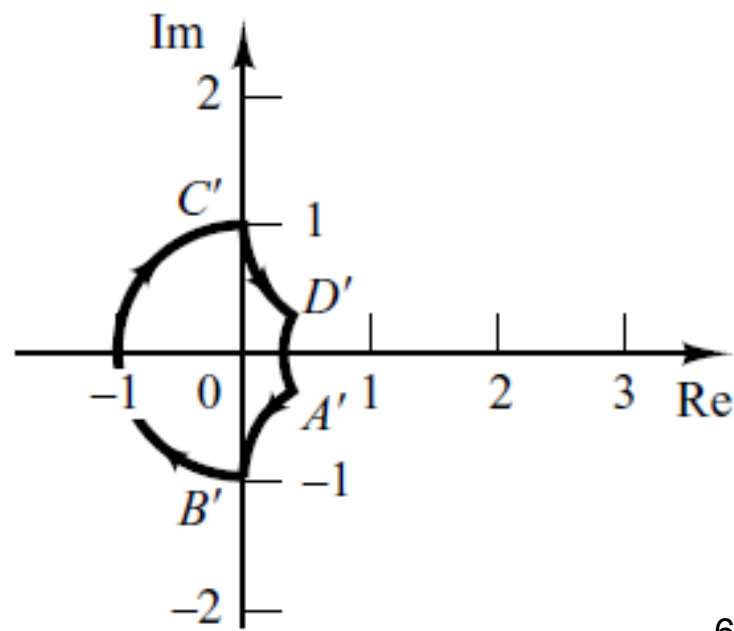
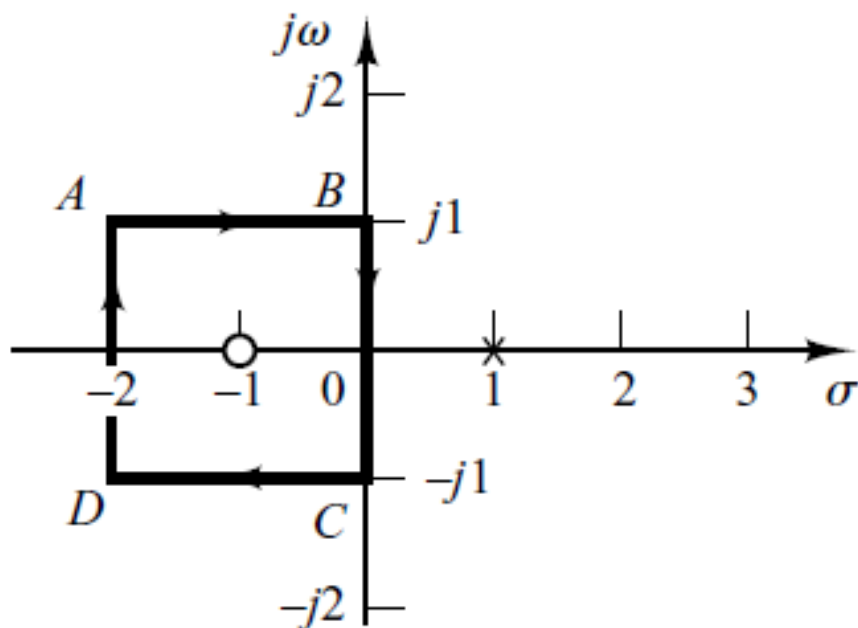
# Encirclement of the Origin

- Suppose that **representative point  $s$**  **traces out** a **contour** in the  $s$  plane in the **clockwise** direction.
- If the contour in the  $s$  plane **encloses the pole** of  $F(s)$ , there is **one encirclement of the origin** of the  $F(s)$  plane by the locus of  $F(s)$  in the **counter-clockwise** direction.



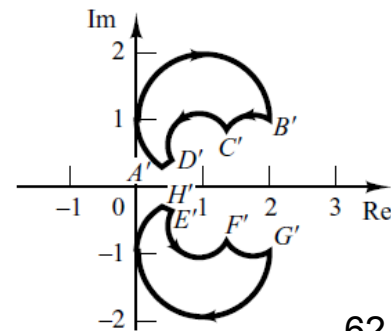
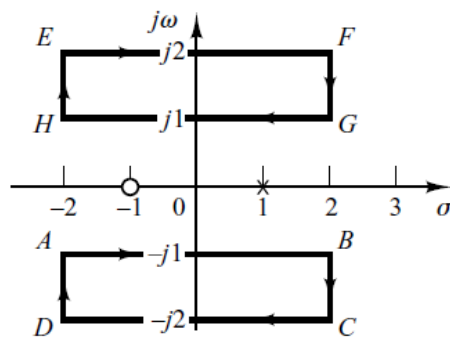
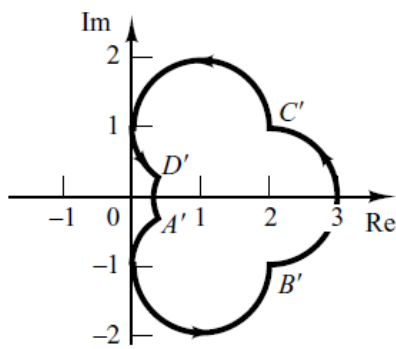
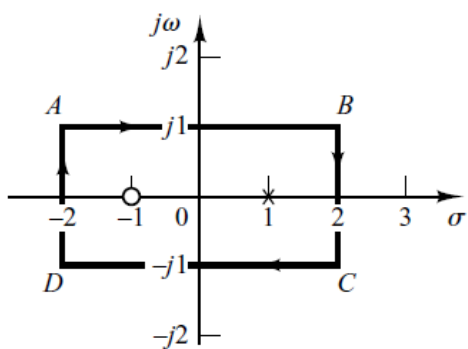
# Encirclement of the Origin

- Suppose that **representative point  $s$  traces out** a **contour** in the  $s$  plane in the **clockwise** direction.
- If the contour in the  $s$  plane **encloses the zero** of  $F(s)$ , there is **one encirclement of the origin** of the  $F(s)$  plane by the locus of  $F(s)$  in the **clockwise** direction.



# Encirclement of the Origin

- Suppose that representative point  $s$  traces out a contour in the  $s$  plane in the clockwise direction.
3. If the contour in the  $s$  plane encloses both the zero and the pole of  $F(s)$ , then there is **no encirclement of the origin** of the  $F(s)$  plane by the locus of  $F(s)$ .





# Encirclement of the Origin

- The **direction of encirclement of the origin** of the  $F(s)$  plane by the locus of  $F(s)$  depends on whether the contour in the  $s$  plane **encloses a pole or a zero**.
- If the contour in the  $s$  plane **encloses equal numbers of poles and zeros**, then the corresponding closed curve in the  $F(s)$  plane **does not encircle the origin** of the  $F(s)$  plane.



# Mapping

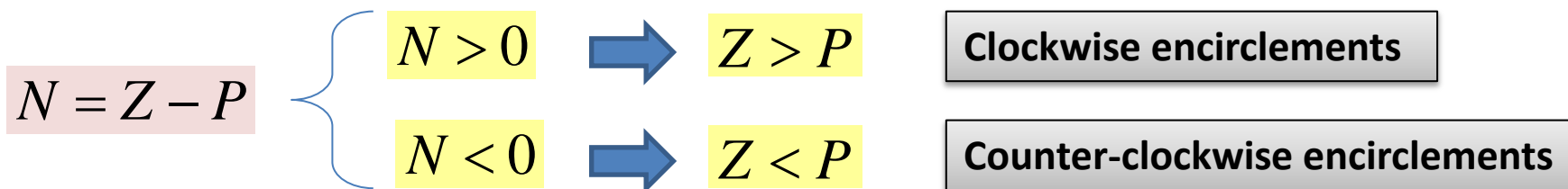
- Let  $F(s)$  be a **ratio** of **two polynomials** in  $s$ .
- Let  $P$  be the **number of poles of  $F(s)$**  and  $Z$  be the **number of zeros of  $F(s)$**  that lie inside some closed contour in the  $s$  plane, with multiplicity of poles and zeros accounted for.
- Let the contour be such that it **does not pass** through any **poles or zeros** of  $F(s)$ .
- This closed contour in the  $s$  plane is then **mapped** into the  $F(s)$  plane as a closed curve.
- The total number  $N$  of **clockwise encirclements of the origin** of the  $F(s)$  plane, as a representative point  $s$  traces out the entire contour in the clockwise direction, is equal to  $Z-P$ .

$$N = Z - P$$

The mapping just gives the **difference of Z and P**,  
**NOT P and Z**



# Mapping



- The number  $P$  can be readily determined for  $F(s) = 1 + G(s)H(s)$  from the function  $G(s)H(s)$ .
- Therefore  $Z$  (**the number of poles of the closed-loop system lie inside some closed contour in the  $s$  plane**) can be found from  $P$  and  $N$ .



## An Important Note

- Instead of mapping into  $F(s) = 1 + G(s)H(s)$  the mapping is performed into  $\Gamma(s) = G(s)H(s)$ .
- Therefore, instead of counting the **number of clockwise encirclements of the origin**, the **number clockwise encirclements of the -1 point** is counted.

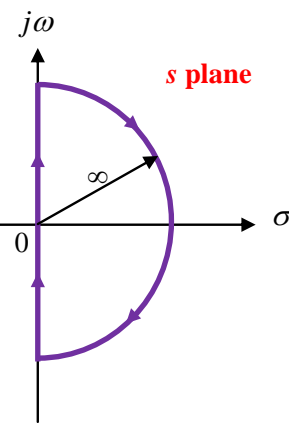


# Procedure of Nyquist Stability Criterion

1. Form **loop transfer function**  $G(s)H(s)$ .
2. Form a semi-circle closed **contour** in the **right-half of  $s$  plane** that does not pass through the poles or zeros of  $G(s)H(s)$ .

The direction of the semicircle is **clockwise**.

3. **Map** the contour in  $s$  plane into  $\Gamma(s) = G(s)H(s)$ .
4. Find the number of poles of  $G(s)H(s)$  in the right-half  $s$  plane, i.e.  **$P$** .



5. Count the number of clockwise encirclements of -1 point, i.e.  **$N$** .
6. Find  **$Z = N + P$**  which is the number of closed-loop poles in the right-half  $s$  plane.
7. If  $Z=0$ , the closed-loop system is **stable**.



# Summary of Nyquist Stability Criterion

$$Z = N + P$$

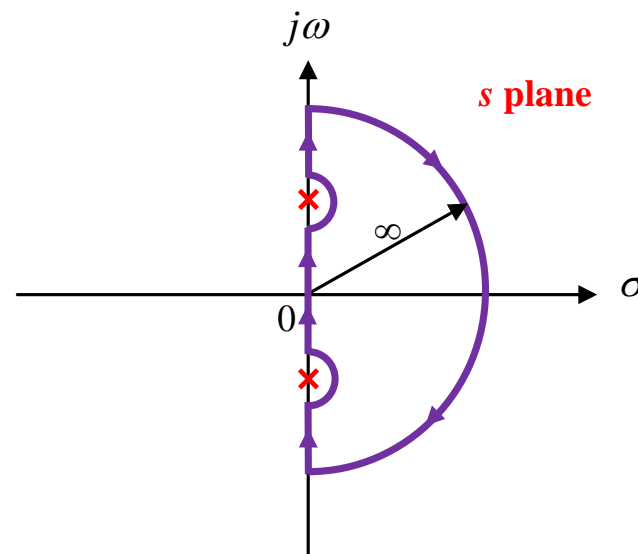
where

- $Z$  number of zeros of  $1+G(s)H(s)$  in the right-half  $s$  plane  
 $N$  number of clockwise encirclements of the  $-1+j0$  point  
 $P$  number of poles of  $G(s)H(s)$  in the right-half  $s$  plane

If  $Z=0$  , the closed-loop system is **stable**.

# Some Points

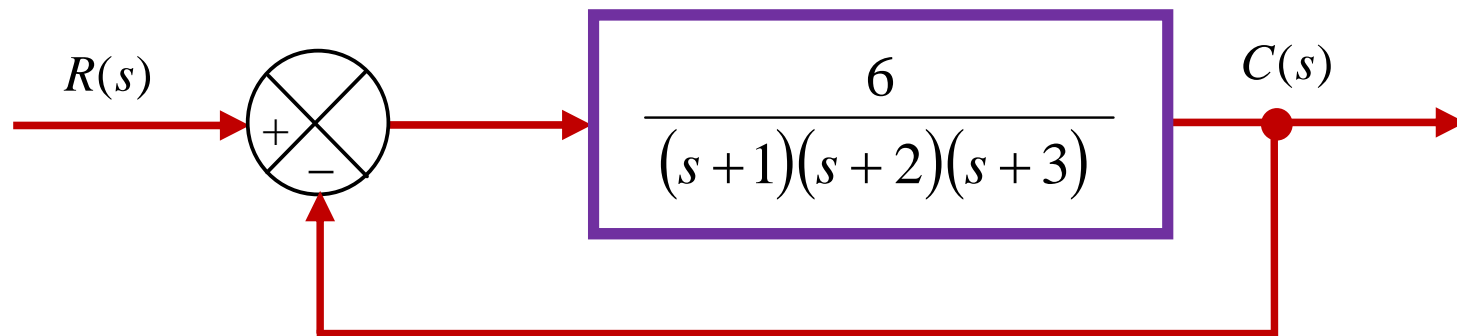
If there is any poles or zeros of  $G(s)H(s)$  on the imaginary axis, the semi-circle in right-half of  $s$  plane should encircle them



If the locus of  $G(j\omega)H(j\omega)$  passes through the  $-1 + j0$  point, then zeros of the characteristic equation, or closed-loop poles, are located on the  $j\omega$  axis.

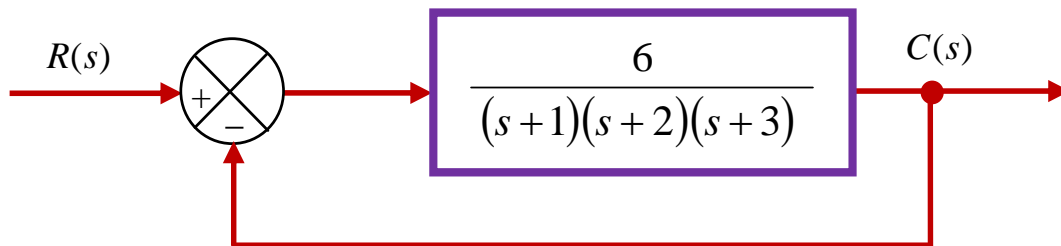
# Nyquist Stability Criterion

- Example:** Discuss on the stability of the following system using Nyquist stability criterion



# Nyquist Stability Criterion

**Solution:**



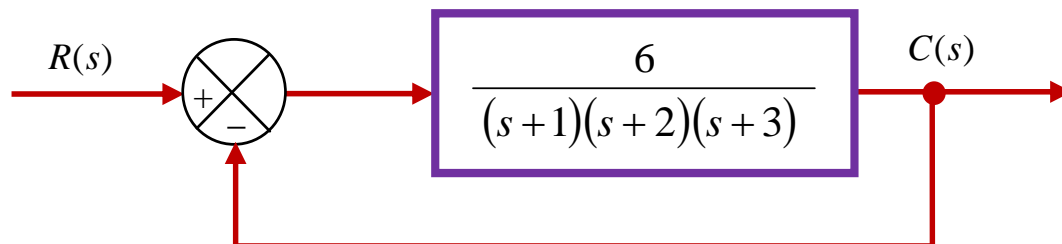
1. Form **loop transfer function**  $G(s)H(s)$ .

$$G(s)H(s) = \frac{6}{(s+1)(s+2)(s+3)}$$

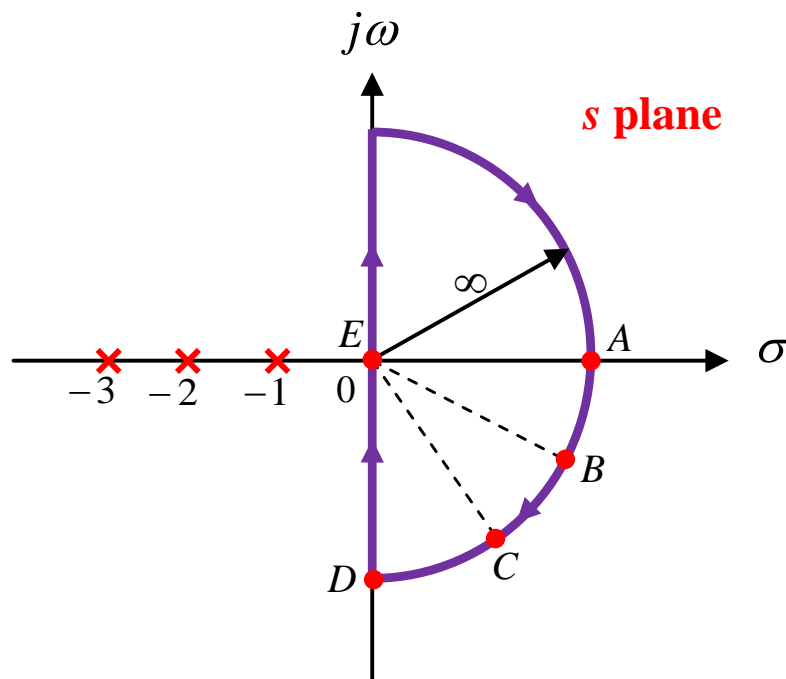
- The poles of  $G(s)H(s)$  are  $s = -1$        $s = -2$        $s = -3$
- $G(s)H(s)$  has no zero.

# Nyquist Stability Criterion

**Solution:**



- Form a semi-circle closed **contour** in the **right-half of  $s$  plane** that does not pass through the poles or zeros of  $G(s)H(s)$ .





# Nyquist Stability Criterion

**Solution:**

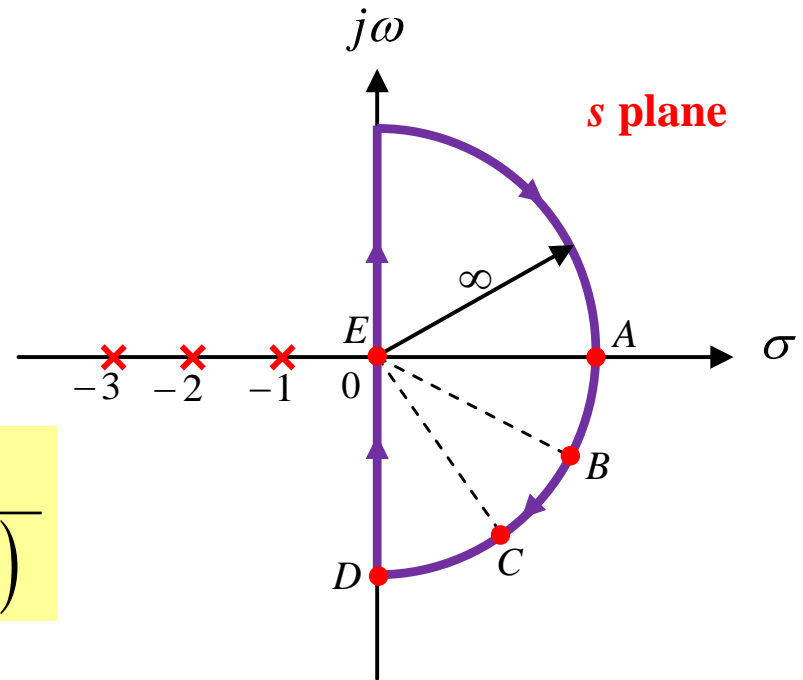
3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section AD:**  $s = R e^{j\theta}$   
 $R \rightarrow \infty$

$$\Gamma(s) = G(s)H(s) = \frac{6}{(s+1)(s+2)(s+3)}$$

$$\Gamma(R e^{j\theta}) = \frac{6}{(R e^{j\theta} + 1)(R e^{j\theta} + 2)(R e^{j\theta} + 3)}$$

$$\Gamma(R e^{j\theta}) = \frac{6}{R^3 e^{j3\theta}} = \varepsilon e^{-j3\theta}$$





# Nyquist Stability Criterion

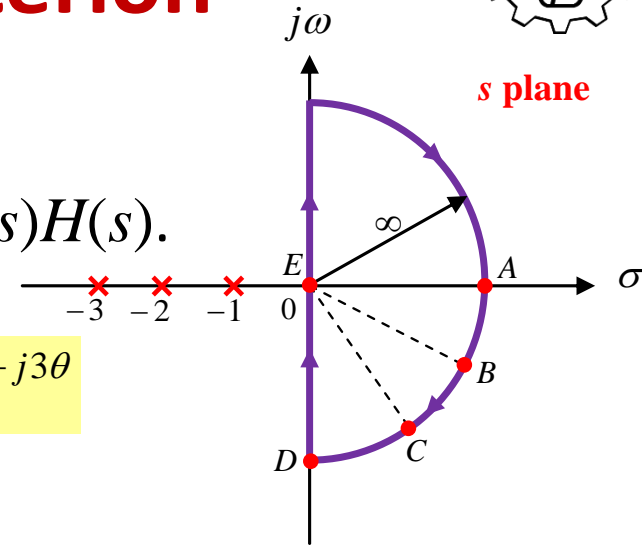
**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section AD:**

$$s = R e^{j\theta} \quad R \rightarrow \infty$$

$$\Gamma(R e^{j\theta}) = \varepsilon e^{-j3\theta}$$

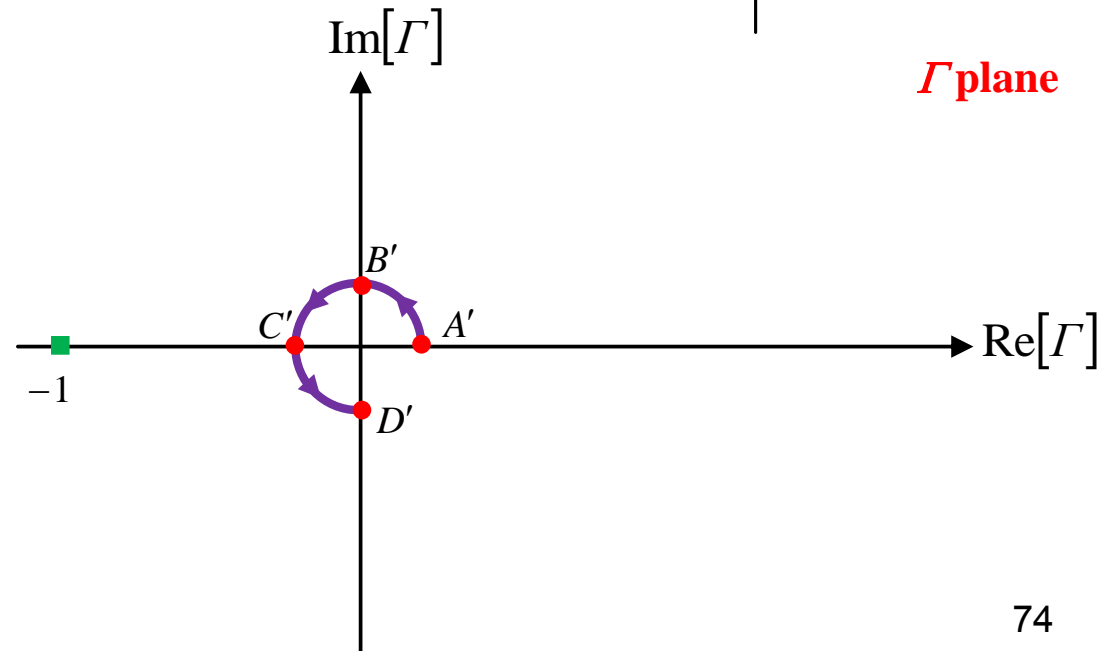


$$A \rightarrow A' \quad \Gamma = \varepsilon e^{j0}$$

$$B \rightarrow B' \quad \Gamma = \varepsilon e^{j\pi/2}$$

$$C \rightarrow C' \quad \Gamma = \varepsilon e^{j\pi}$$

$$D \rightarrow D' \quad \Gamma = \varepsilon e^{j3\pi/2}$$



# Nyquist Stability Criterion

**Solution:**

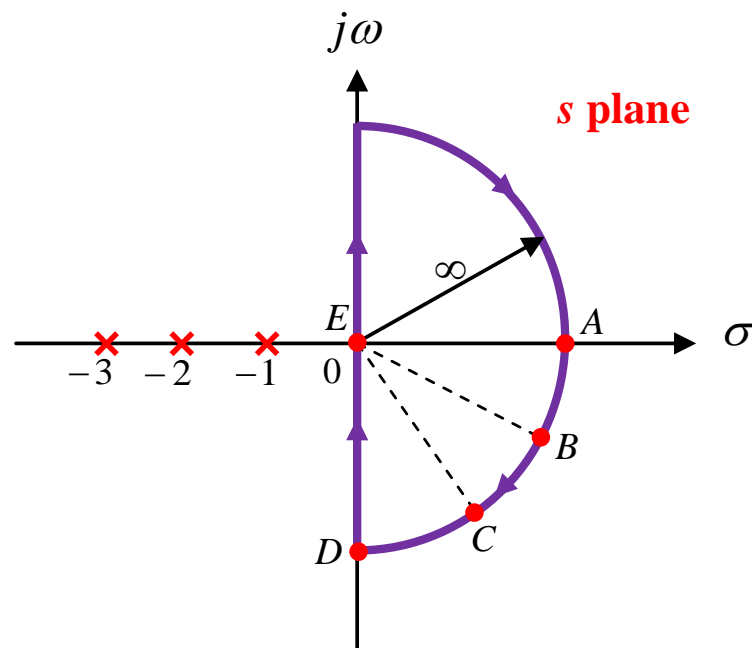
3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section DE:**  $s = -j\omega$

$$\Gamma(s) = G(s)H(s) = \frac{6}{(s+1)(s+2)(s+3)}$$

$$\Gamma(j\omega) = \frac{6}{(-j\omega+1)(-j\omega+2)(-j\omega+3)}$$

$$\Gamma(j\omega) = \frac{6}{6(1-\omega^2) - j\omega(11-\omega^2)}$$



# Nyquist Stability Criterion

**Solution:**

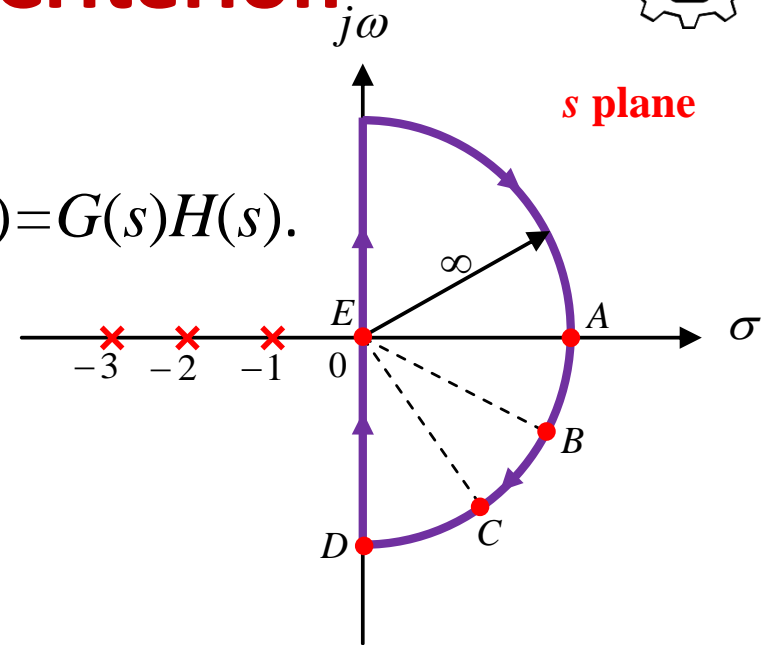
3. **Map** the contour in  $s$  plane into  $\Gamma(s) = G(s)H(s)$ .

**Section DE:**  $s = -j\omega$

$$\Gamma(j\omega) = \frac{6}{6(1-\omega^2) - j\omega(11-\omega^2)}$$

$$\text{Re}[\Gamma(j\omega)] = \frac{36(1-\omega^2)}{36(1-\omega^2)^2 + \omega^2(11-\omega^2)^2}$$

$$\text{Im}[\Gamma(j\omega)] = \frac{6\omega(11-\omega^2)}{36(1-\omega^2)^2 + \omega^2(11-\omega^2)^2}$$





# Nyquist Stability Criterion

**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section DE:**

$$s = -j\omega$$

$$\operatorname{Re}[\Gamma(j\omega)] = \frac{36(1-\omega^2)}{36(1-\omega^2)^2 + \omega^2(11-\omega^2)^2} = \begin{cases} 0 & \omega \rightarrow \infty \\ -0.1 & \omega = \sqrt{11} \\ 0 & \omega = 1 \\ 1 & \omega = 0 \end{cases}$$

$$\operatorname{Im}[\Gamma(j\omega)] = \frac{6\omega(11-\omega^2)}{36(1-\omega^2)^2 + \omega^2(11-\omega^2)^2} = \begin{cases} 0 & \omega \rightarrow \infty \\ 0 & \omega = \sqrt{11} \\ 0.6 & \omega = 1 \\ 0 & \omega = 0 \end{cases}$$

# Nyquist Stability Criterion

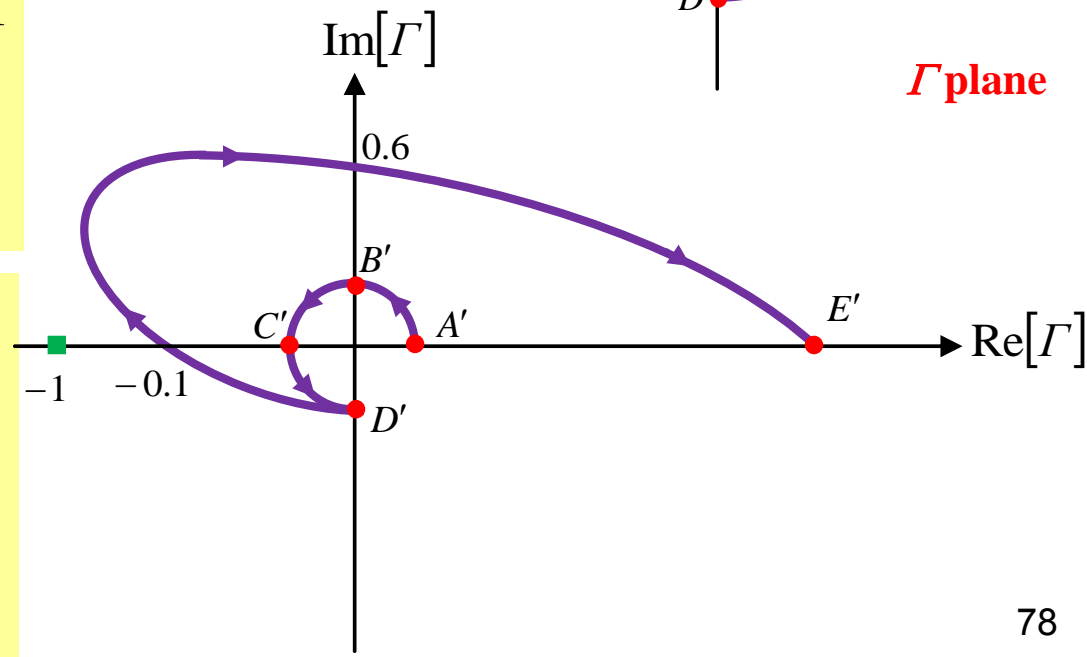
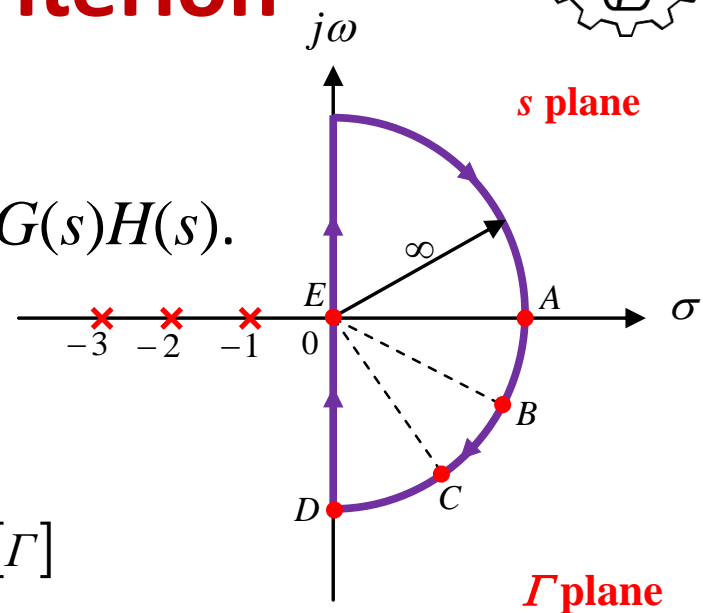
**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s) = G(s)H(s)$ .

**Section DE:**  $s = -j\omega$

$$\text{Re}[\Gamma(j\omega)] = \begin{cases} 0 & \omega \rightarrow \infty \\ -0.1 & \omega = \sqrt{11} \\ 0 & \omega = 1 \\ 1 & \omega = 0 \end{cases}$$

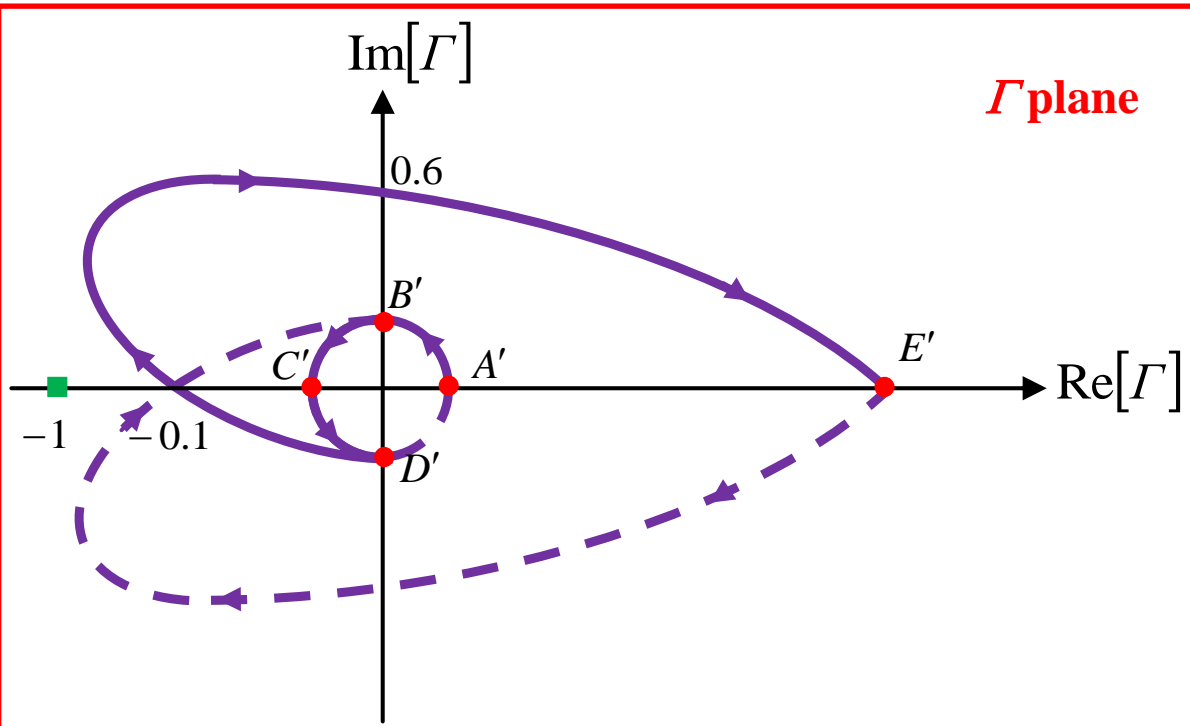
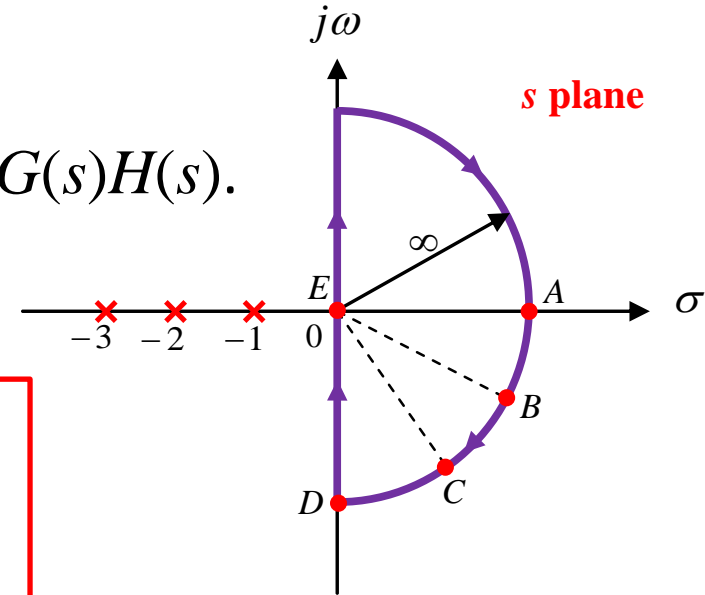
$$\text{Im}[\Gamma(j\omega)] = \begin{cases} 0 & \omega \rightarrow \infty \\ 0 & \omega = \sqrt{11} \\ 0.6 & \omega = 1 \\ 0 & \omega = 0 \end{cases}$$



# Nyquist Stability Criterion

**Solution:**

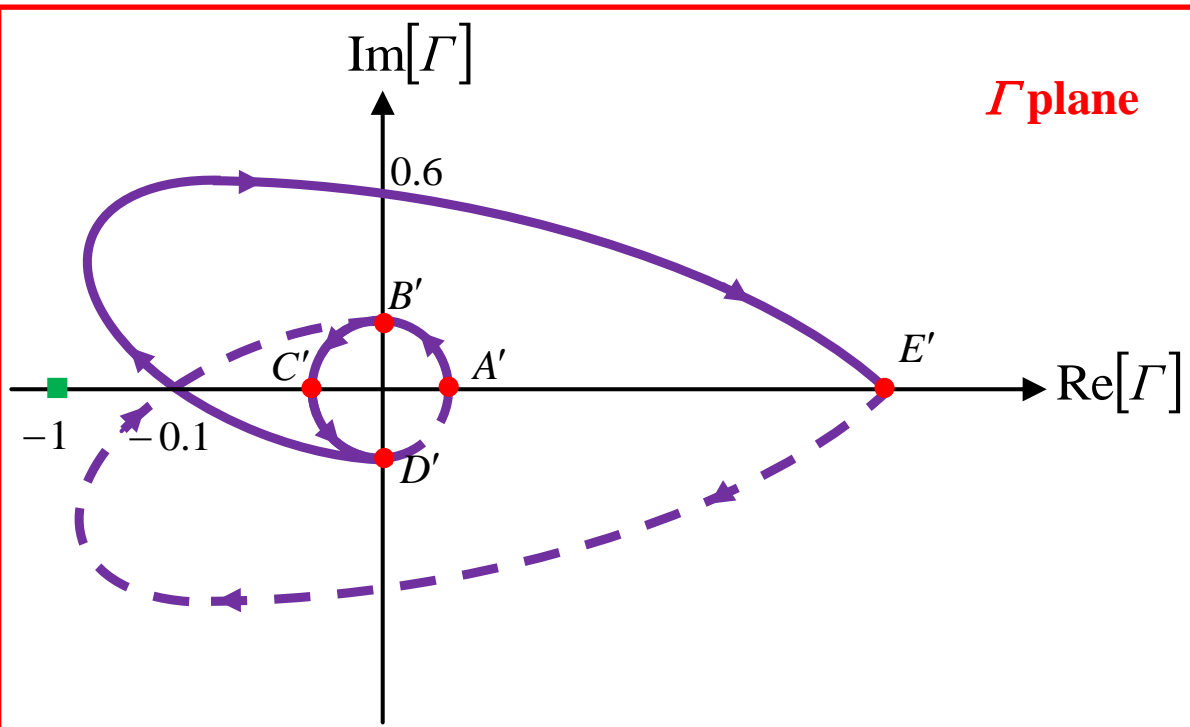
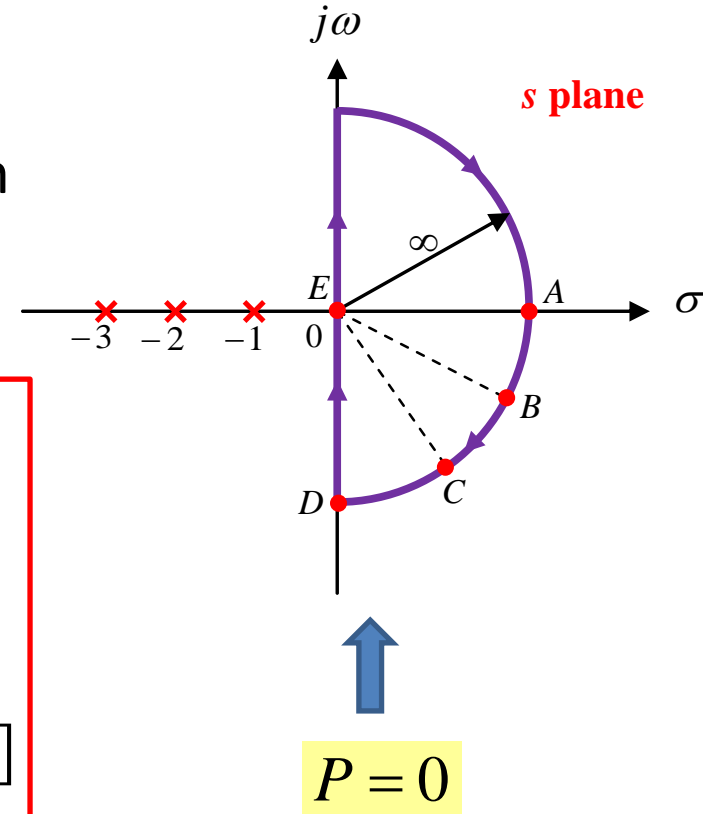
3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .



# Nyquist Stability Criterion

## Solution:

4. Find the number of poles of  $G(s)H(s)$  in the right-half  $s$  plane, i.e.  $P$ .

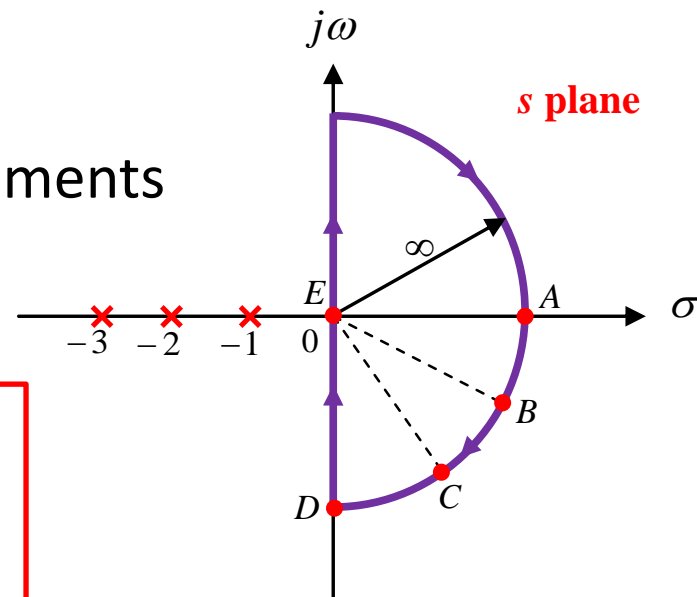




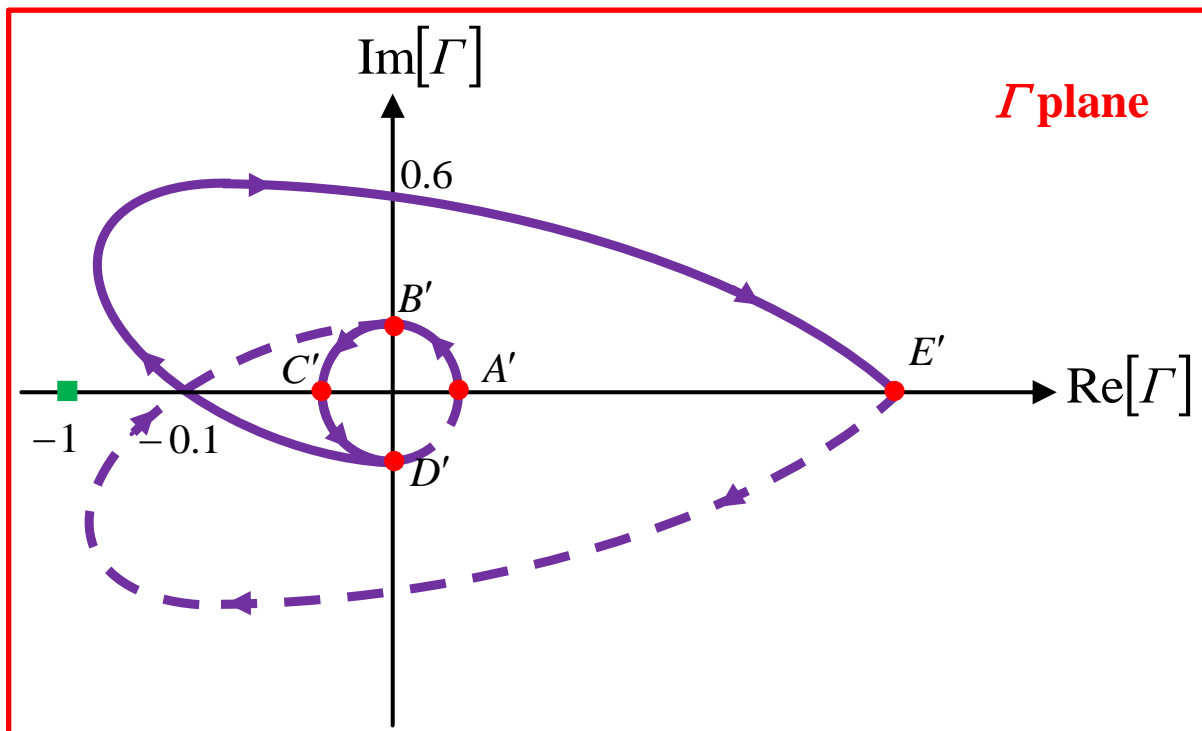
# Nyquist Stability Criterion

## Solution:

- Count the number of clockwise encirclements of -1 point, i.e.  $N$ .



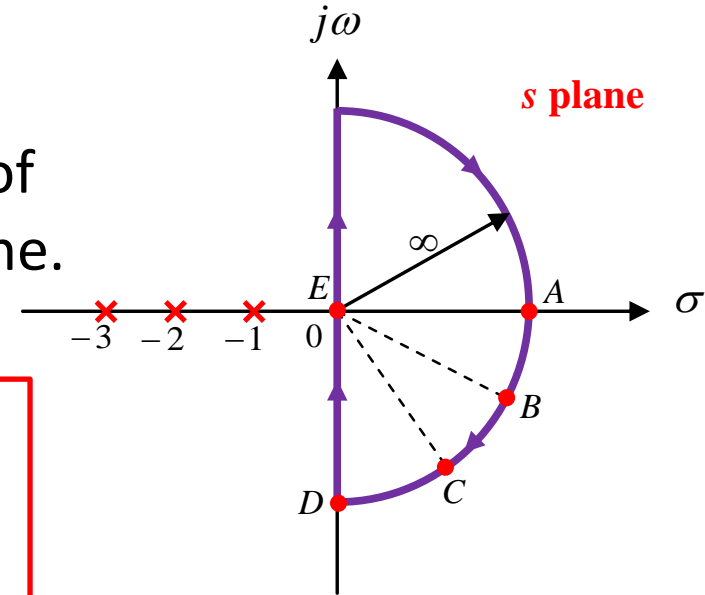
$N = 0$



# Nyquist Stability Criterion

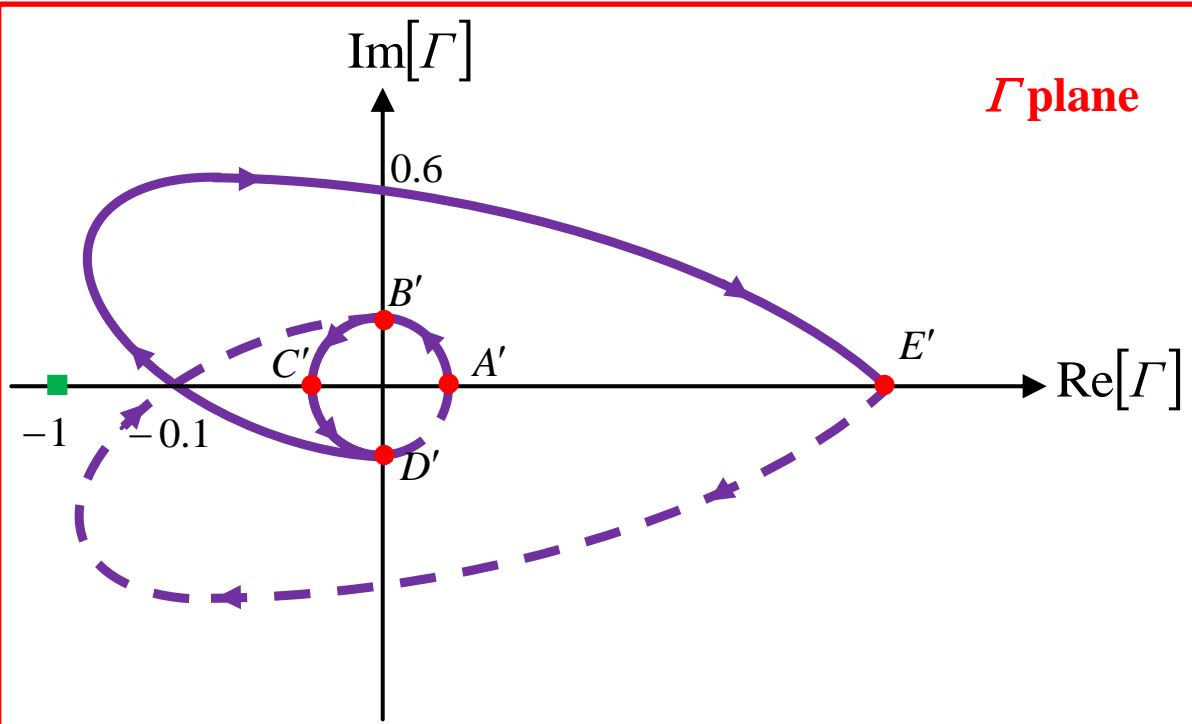
**Solution:**

6. Find  $Z = N + P$  which is the number of closed-loop poles in the right-half  $s$  plane.



$$Z = 0$$

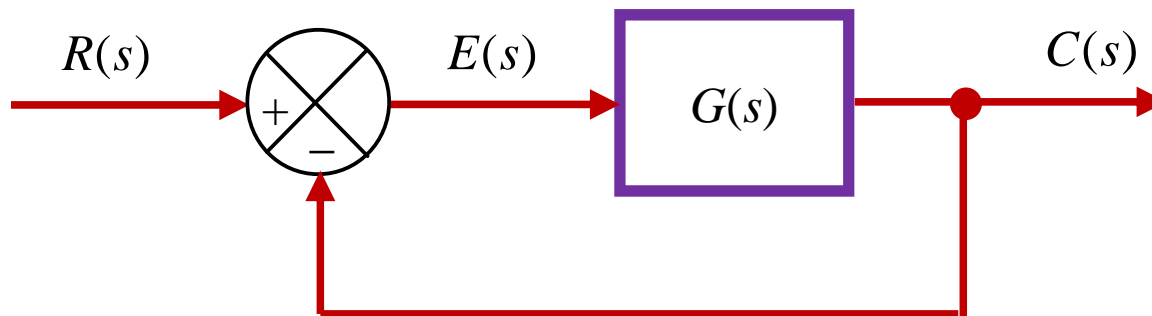
**The system is stable.**



# Nyquist Stability Criterion

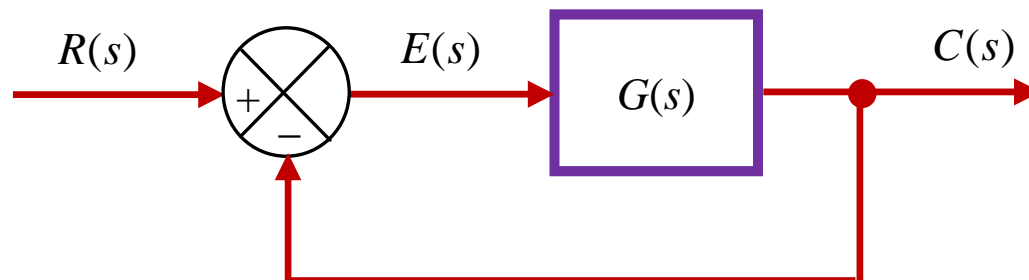
- Example:** Discuss on the stability of the unity feedback system with the following forward path transfer function using Nyquist stability criterion

$$G(s) = \frac{s-1}{s(s+1)}$$



# Nyquist Stability Criterion

**Solution:**



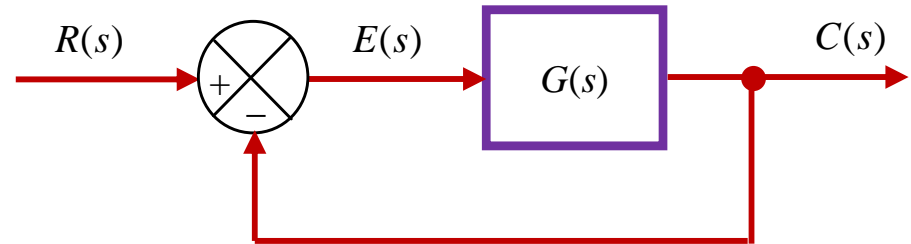
1. Form **loop transfer function**  $G(s)H(s)$ .

$$G(s)H(s) = \frac{s-1}{s(s+1)}$$

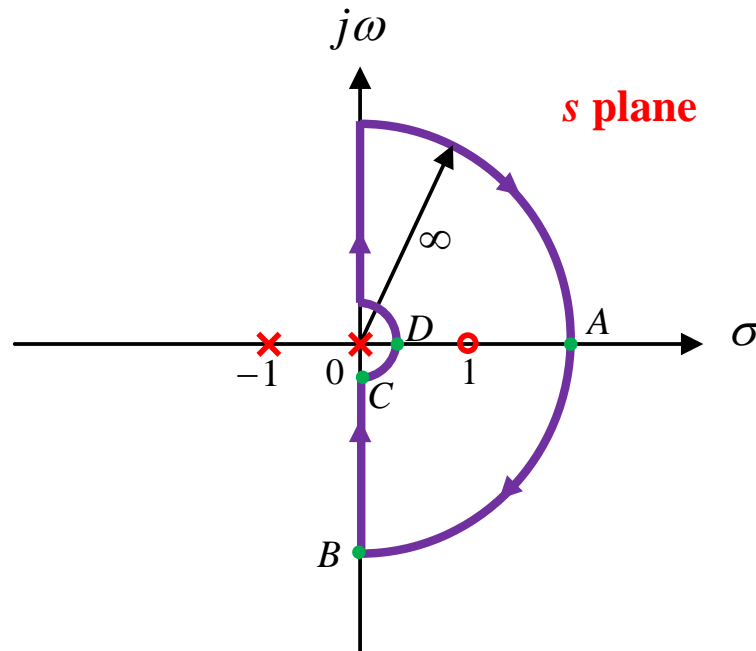
- The poles of  $G(s)H(s)$  are  $s = 0$   $s = -1$
- The zero of  $G(s)H(s)$  is  $s = 1$

# Nyquist Stability Criterion

Solution:



- Form a semi-circle closed **contour** in the **right-half of  $s$  plane** that does not pass through the poles or zeros of  $G(s)H(s)$ .



# Nyquist Stability Criterion

**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

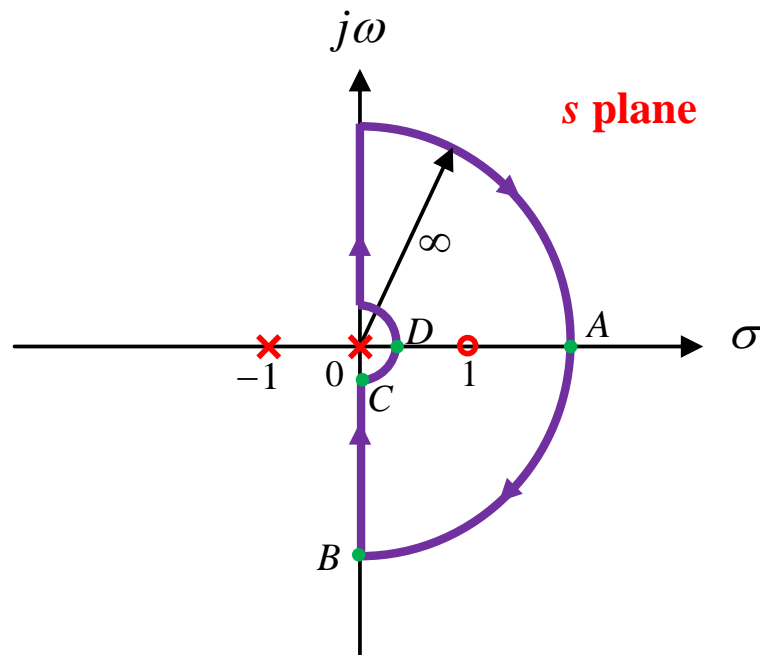
**Section AB:**

$$s = R e^{j\theta} \quad R \rightarrow \infty$$

$$\Gamma(s) = G(s)H(s) = \frac{(s-1)}{s(s+1)}$$

$$\Gamma(R e^{j\theta}) = \frac{(R e^{j\theta} - 1)}{R e^{j\theta} (R e^{j\theta} + 1)}$$

$$\Gamma(R e^{j\theta}) = \varepsilon e^{-j\theta}$$



# Nyquist Stability Criterion

**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s) = G(s)H(s)$ .

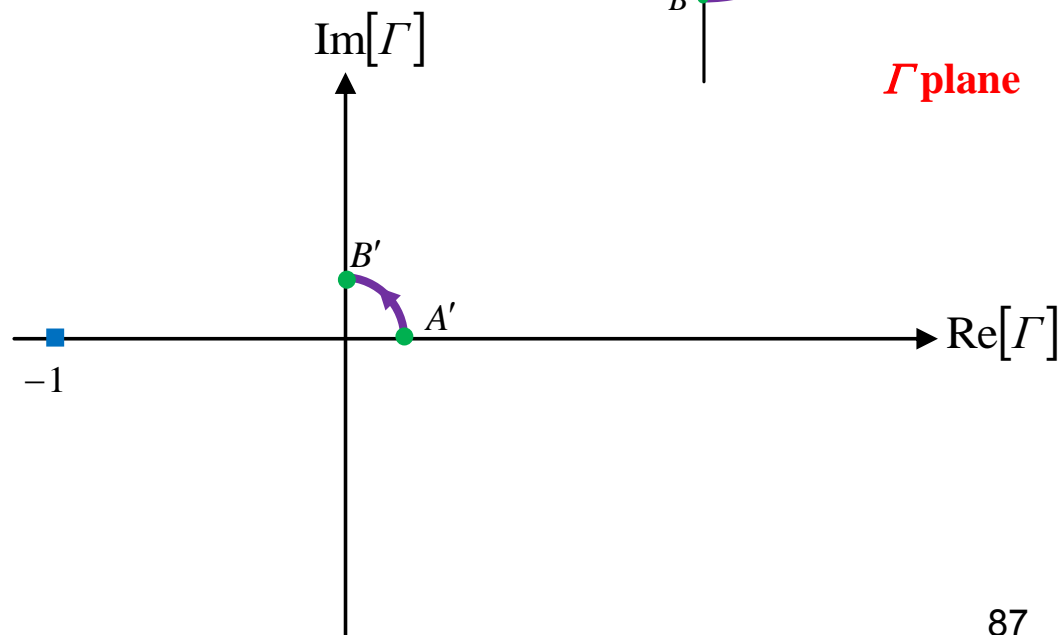
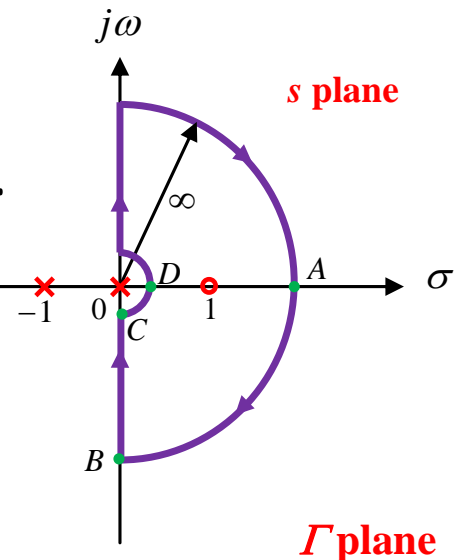
**Section AB:**

$$s = R e^{j\theta} \quad R \rightarrow \infty$$

$$\Gamma(R e^{j\theta}) = \varepsilon e^{-j\theta}$$

$$A \rightarrow A' \quad \Gamma = \varepsilon e^{j0}$$

$$B \rightarrow B' \quad \Gamma = \varepsilon e^{j\pi/2}$$



# Nyquist Stability Criterion

**Solution:**

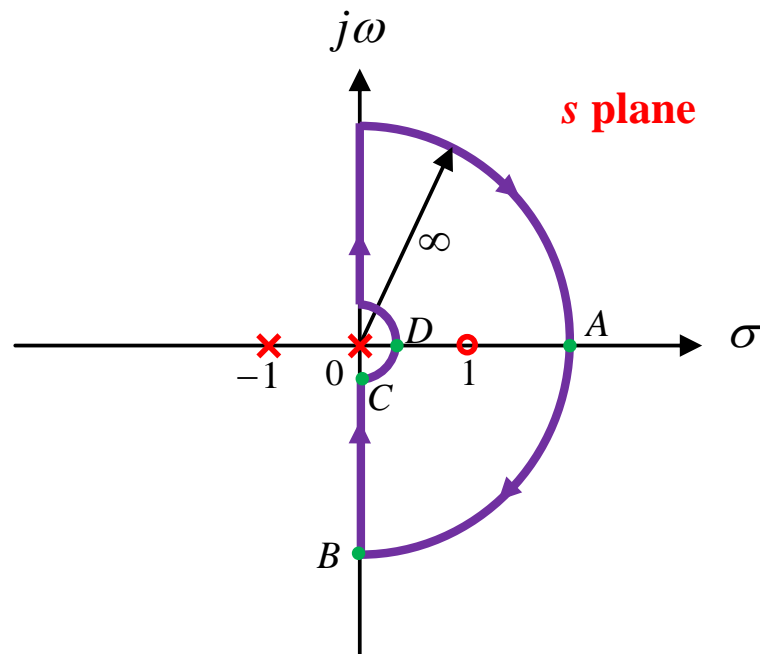
3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section BC:**  $s = -j\omega$

$$\Gamma(s) = G(s)H(s) = \frac{(s-1)}{s(s+1)}$$

$$\Gamma(j\omega) = \frac{(-j\omega-1)}{-j\omega(-j\omega+1)}$$

$$\Gamma(j\omega) = \frac{2\omega + j(\omega^2 - 1)}{\omega(\omega^2 + 1)}$$





# Nyquist Stability Criterion

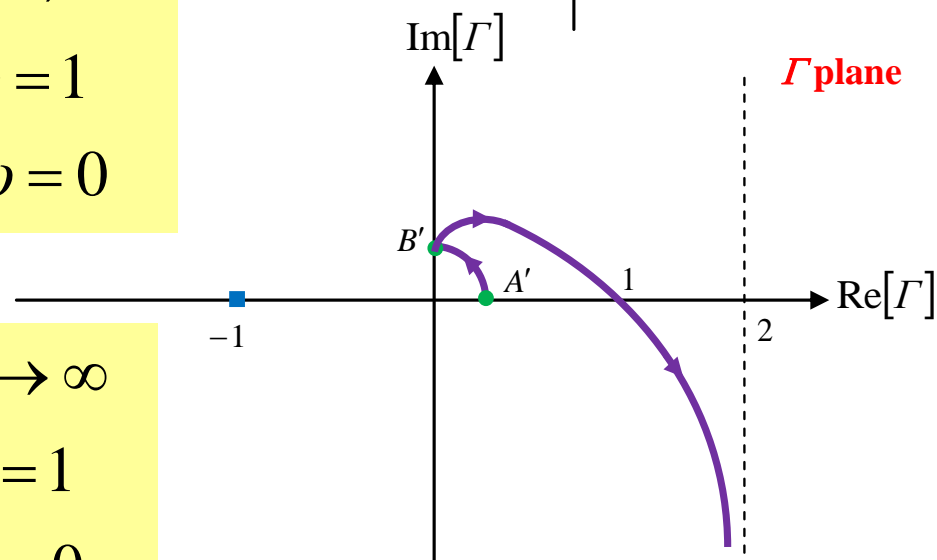
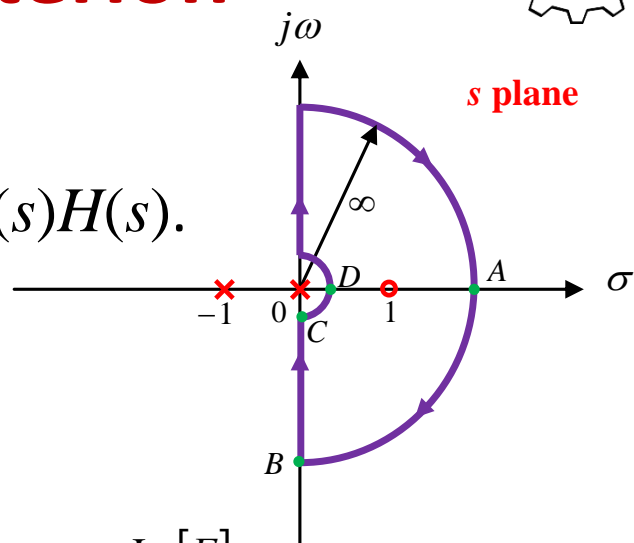
**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s) = G(s)H(s)$ .

**Section BC:**  $s = -j\omega$

$$\text{Re}[\Gamma(j\omega)] = \frac{2\omega}{\omega(\omega^2 + 1)} = \begin{cases} 0 & \omega \rightarrow \infty \\ 1 & \omega = 1 \\ 2 & \omega = 0 \end{cases}$$

$$\text{Im}[\Gamma(j\omega)] = \frac{(\omega^2 - 1)}{\omega(\omega^2 + 1)} = \begin{cases} 0 & \omega \rightarrow \infty \\ 0 & \omega = 1 \\ -\infty & \omega = 0 \end{cases}$$



# Nyquist Stability Criterion

**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .

**Section CD:**

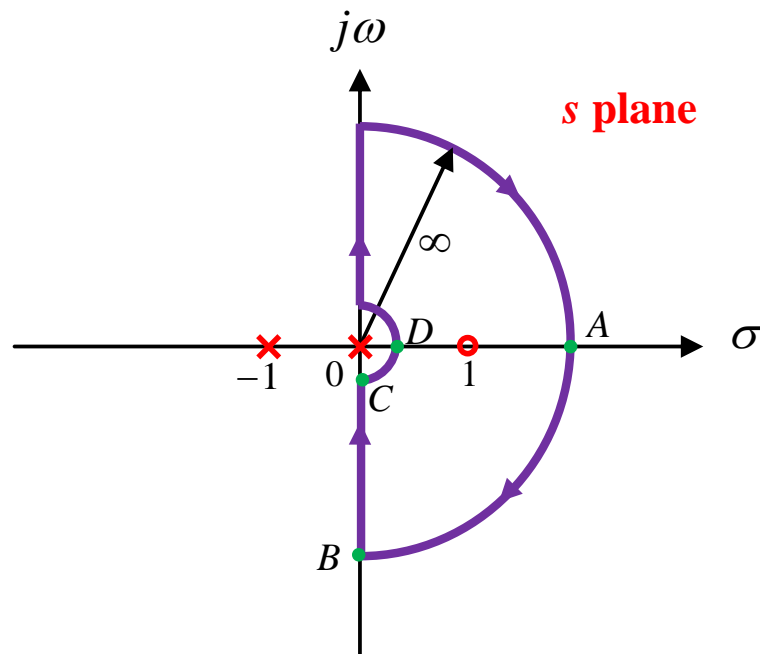
$$s = \varepsilon e^{j\theta} \quad \varepsilon \rightarrow 0$$

$$\Gamma(s) = G(s)H(s) = \frac{(s-1)}{s(s+1)}$$

$$\Gamma(\varepsilon e^{j\theta}) = \frac{(\varepsilon e^{j\theta} - 1)}{\varepsilon e^{j\theta} (\varepsilon e^{j\theta} + 1)}$$

$$\Gamma(\varepsilon e^{j\theta}) = R e^{j(\pi-\theta)}$$

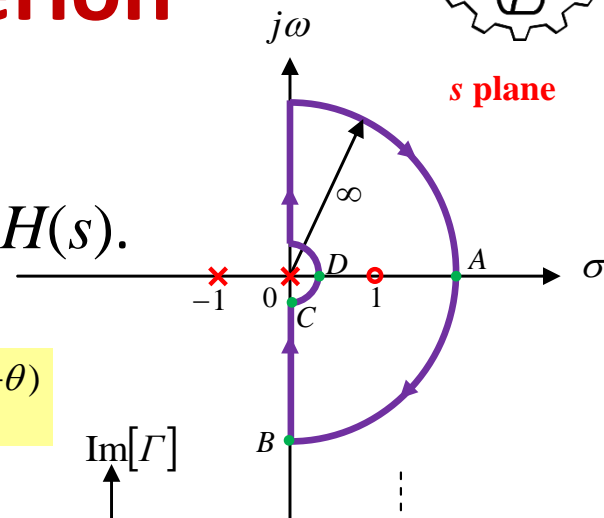
$$-\frac{\pi}{2} \leq \theta \leq 0$$



# Nyquist Stability Criterion

**Solution:**

3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .



**Section CD:**

$$s = \varepsilon e^{j\theta}$$

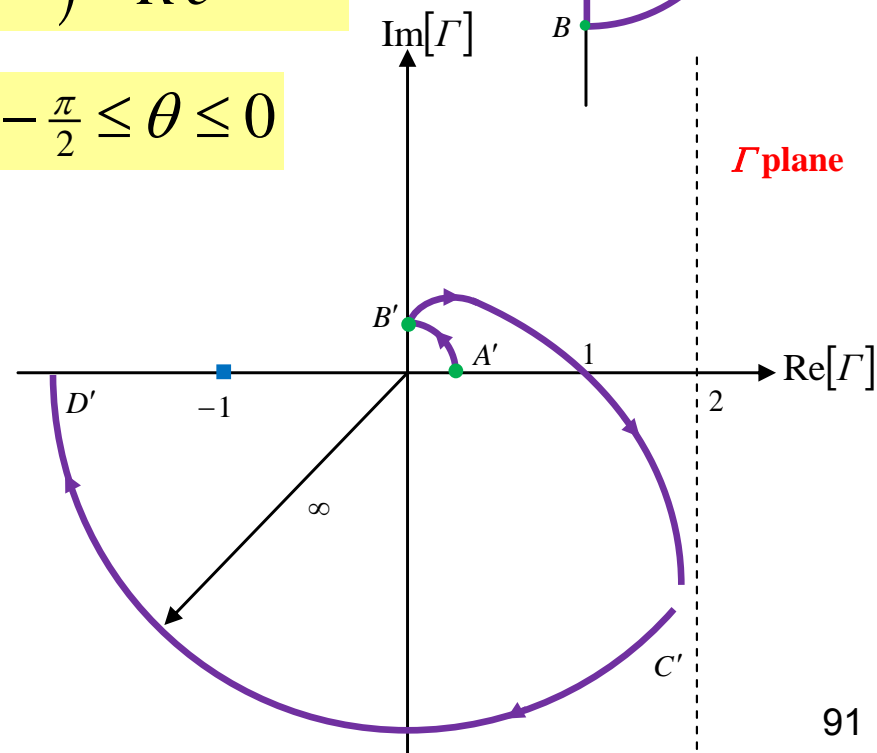
$\varepsilon \rightarrow 0$

$$\Gamma(\varepsilon e^{j\theta}) = R e^{j(\pi-\theta)}$$

$$-\frac{\pi}{2} \leq \theta \leq 0$$

$$C \rightarrow C' \quad \theta = -\frac{\pi}{2} \quad \Gamma = R e^{j3\pi/2}$$

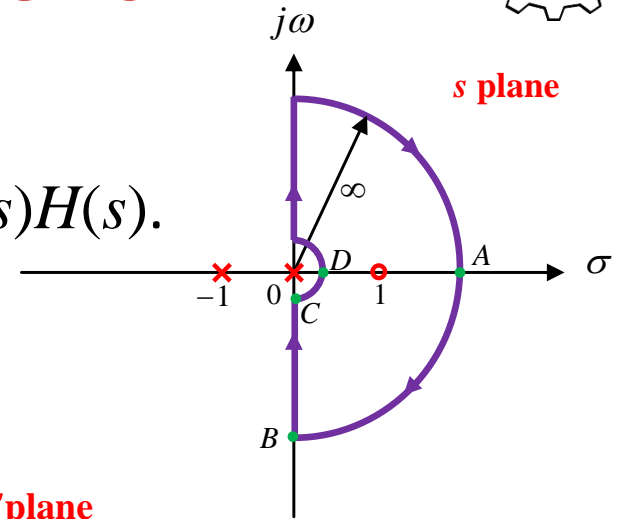
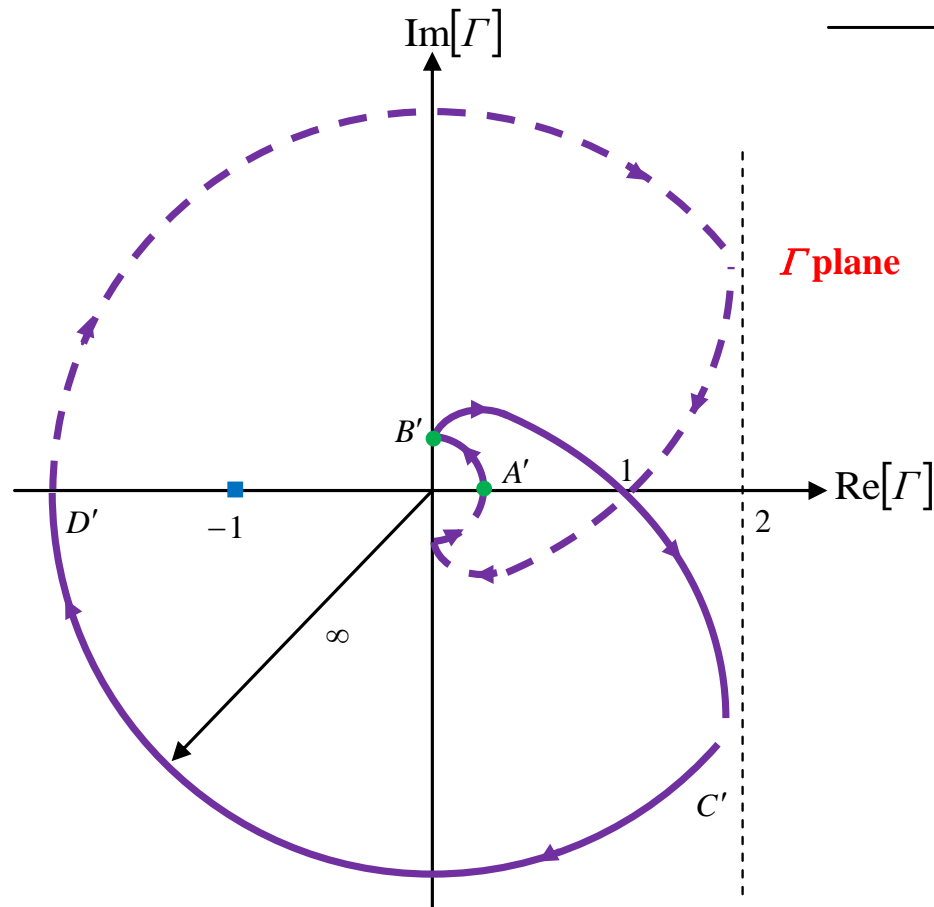
$$D \rightarrow D' \quad \theta = 0 \quad \Gamma = R e^{j\pi}$$



# Nyquist Stability Criterion

Solution:

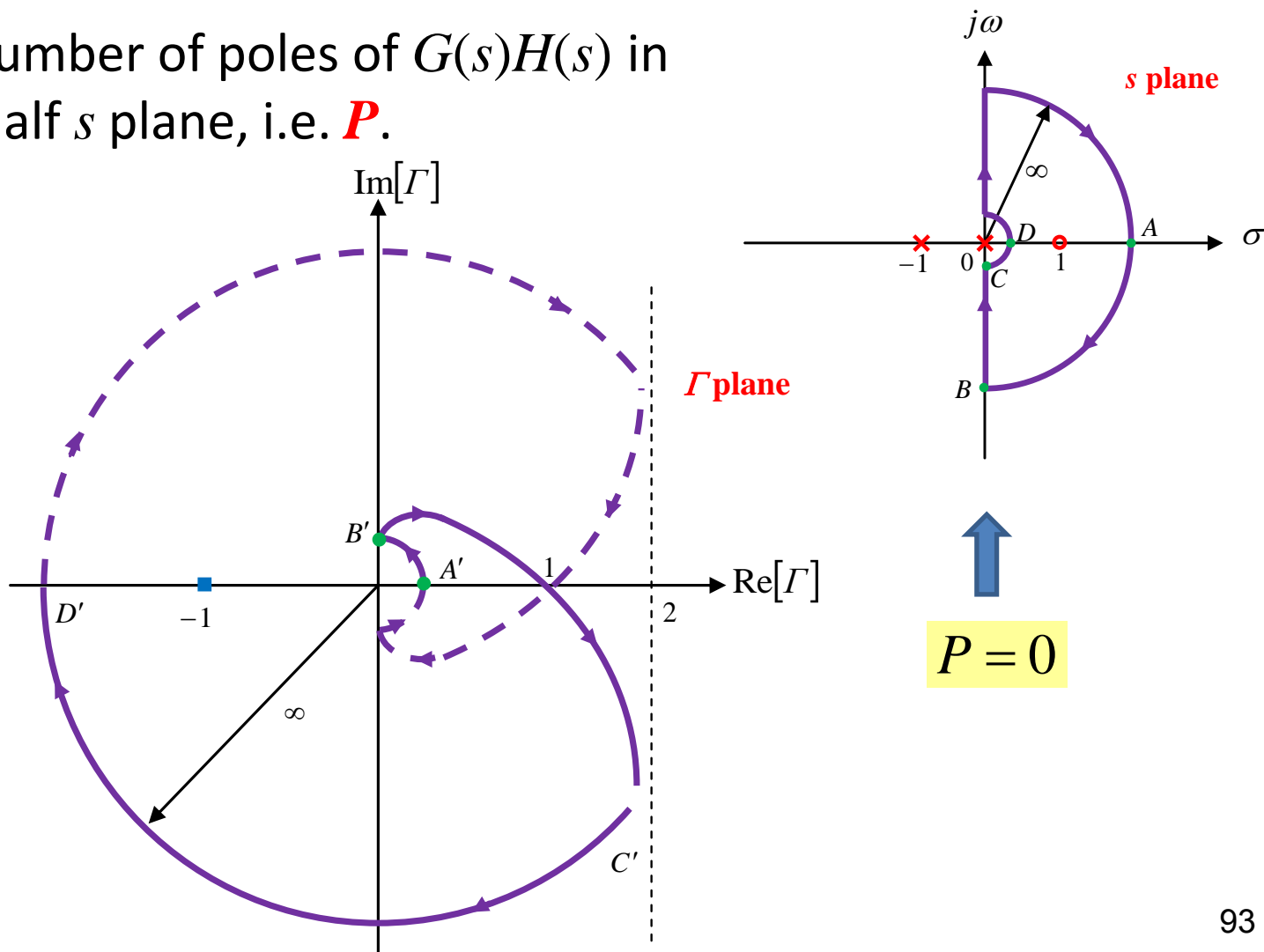
3. **Map** the contour in  $s$  plane into  $\Gamma(s)=G(s)H(s)$ .



# Nyquist Stability Criterion

**Solution:**

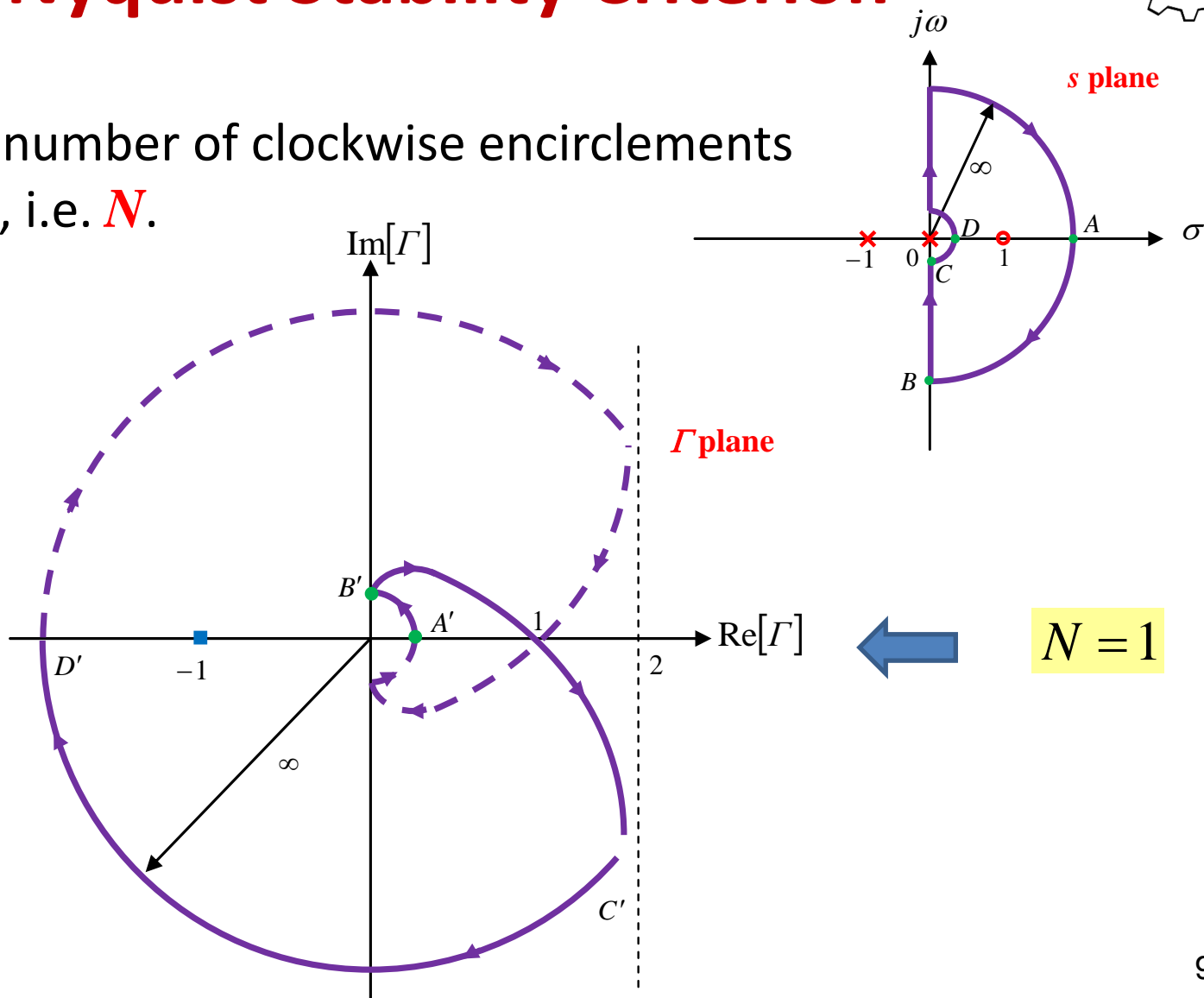
4. Find the number of poles of  $G(s)H(s)$  in the right-half  $s$  plane, i.e.  $P$ .



# Nyquist Stability Criterion

**Solution:**

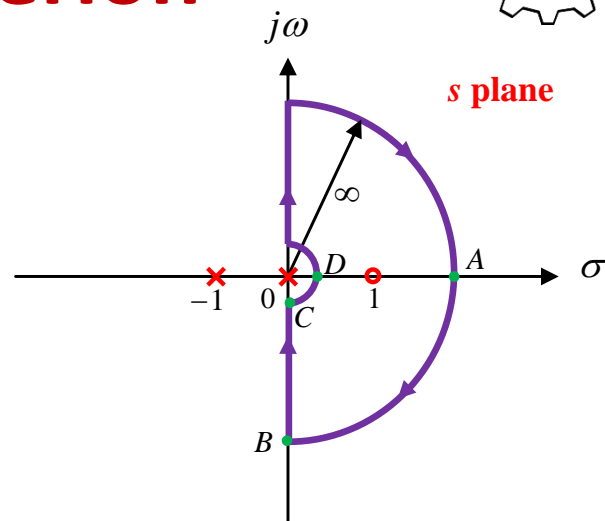
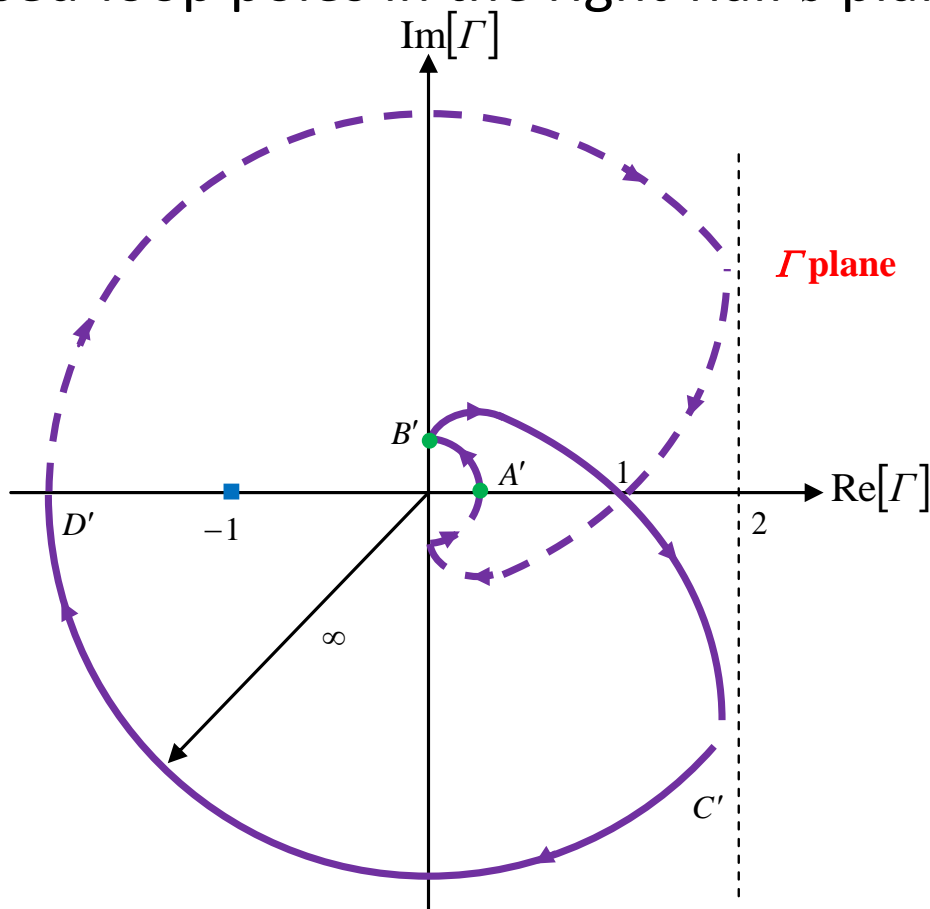
- Count the number of clockwise encirclements of  $-1$  point, i.e.  $N$ .



# Nyquist Stability Criterion

**Solution:**

6. Find  $Z = N + P$  which is the number of closed-loop poles in the right-half  $s$  plane.

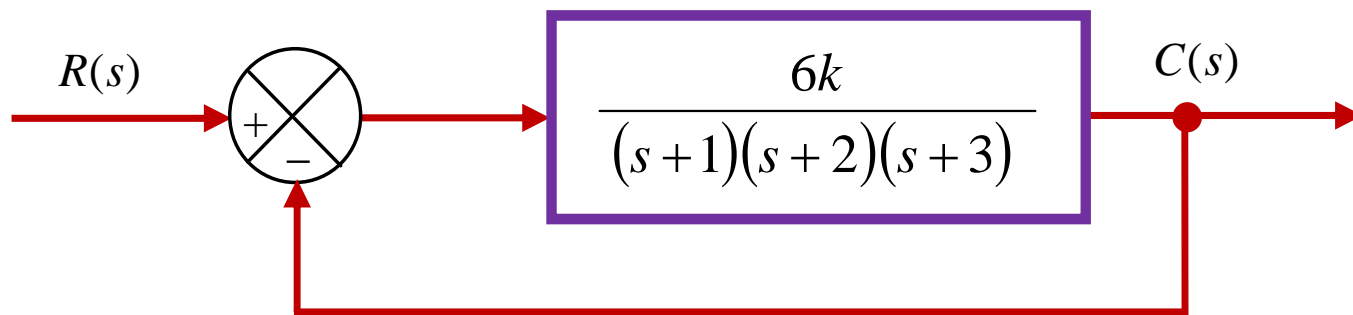


$$Z = 1$$

The system is  
**unstable.**

# Nyquist Stability Criterion

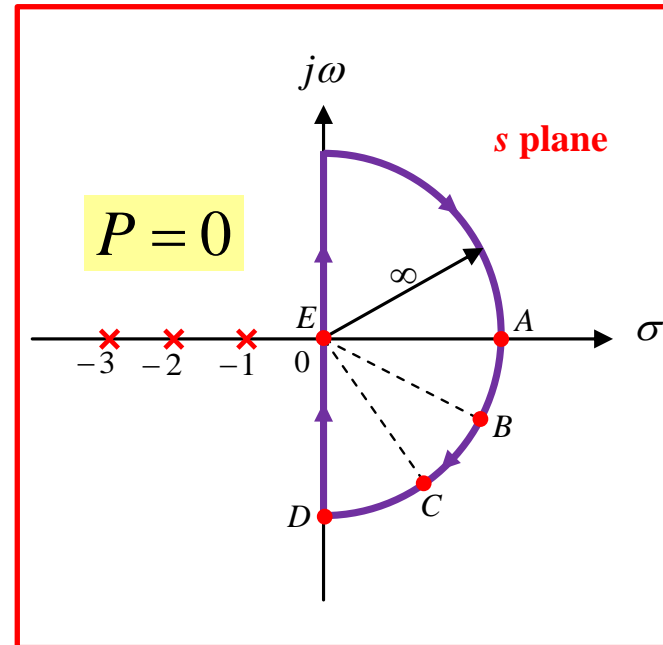
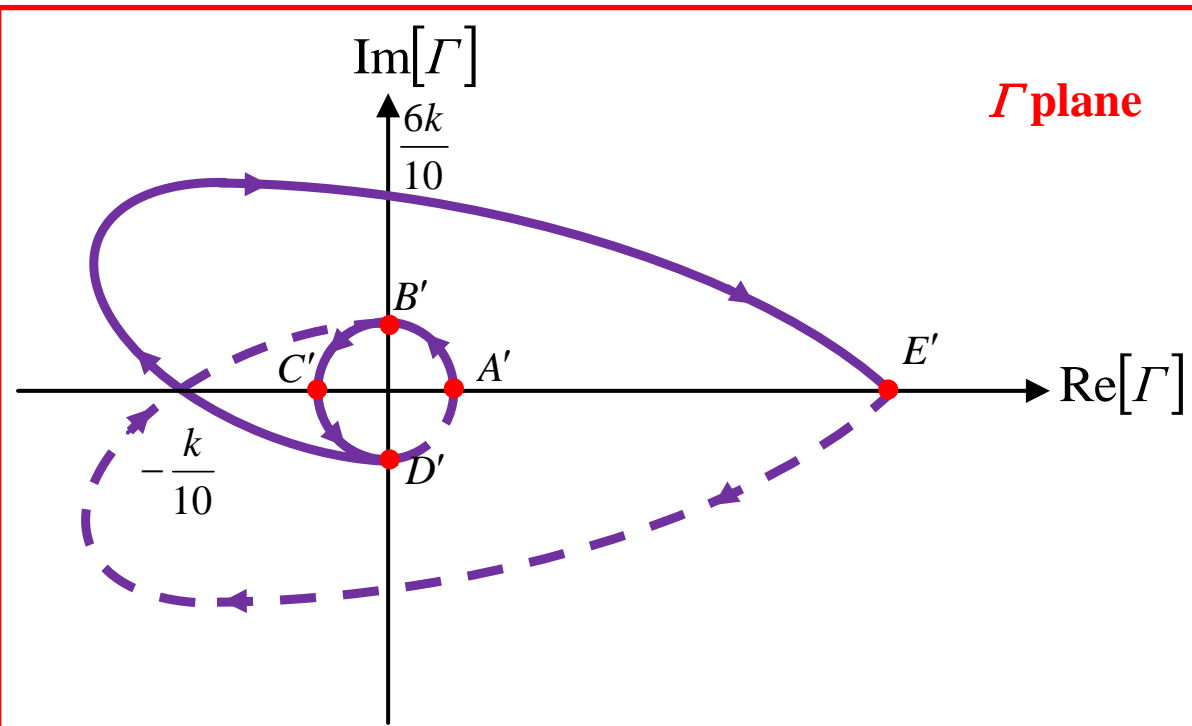
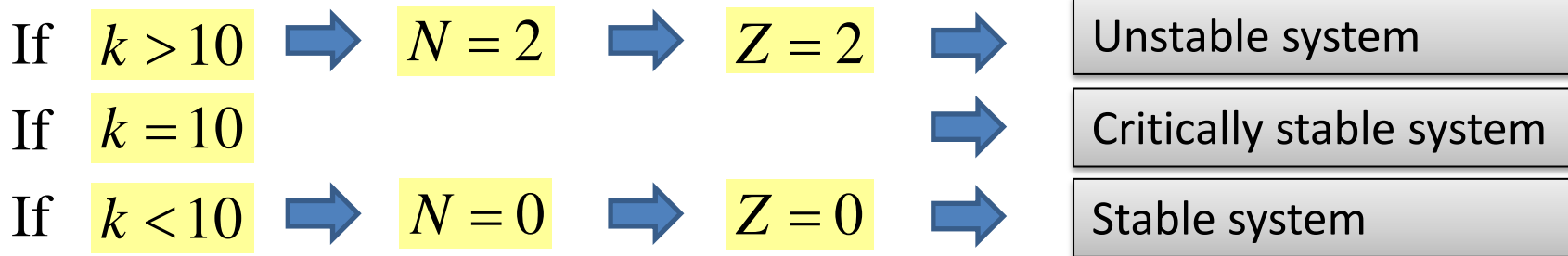
- Example:** Using Nyquist stability criterion find the range of positive  $k$  in which the following system is stable





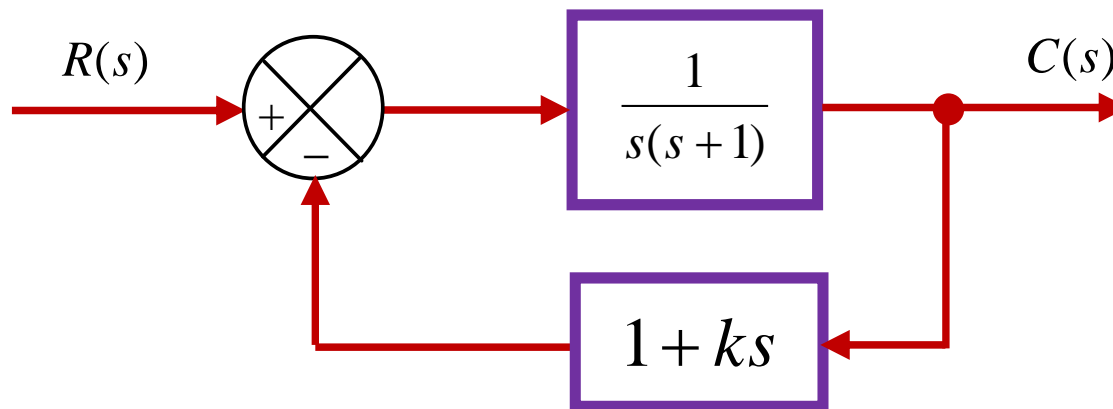
# Nyquist Stability Criterion

**Solution:**



# Nyquist Stability Criterion

- Example:** Using Nyquist stability criterion find the range of positive  $k$  in which the following system is stable



# Nyquist Stability Criterion

- Solution:** The characteristic equation is expressed as

$$\Delta(s) = 1 + \frac{1 + ks}{s(s+1)} = 0$$



$$\frac{s^2 + s + 1 + ks}{s(s+1)} = 0$$



Divide by the parts without  $k$

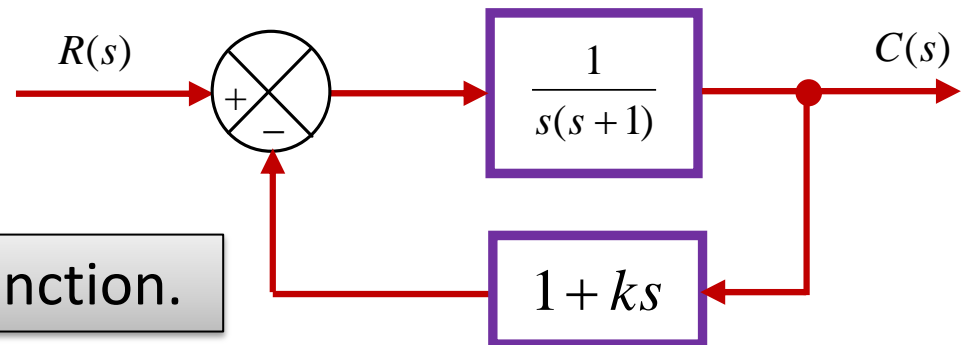
$$s^2 + s + 1 + ks = 0$$



$$1 + \frac{ks}{s^2 + s + 1} = 0$$



$$G(s)H(s) = \frac{ks}{s^2 + s + 1}$$



It is the virtual loop transfer function.



# Nyquist Stability Criterion

## Important note:

- To investigate the stability of system with a variable, e.g.  $k$ , using Nyquist stability criterion, the variable should be as a gain in the loop transfer function.

$$G(s)H(s) = k \frac{N(s)}{D(s)}$$

- If it is not the case, the **virtual** loop transfer function should be formed.



# Phase Margin & Gain Margin

## 1. Gain Margin (GM):

- Assume  $\omega_p$  is the frequency in which  $\angle GH(j\omega_p) = -180$

$\omega_p$  is called phase crossover frequency.

- The gain margin is obtained as

$$GM = \frac{1}{|GH(j\omega_p)|}$$

- Or in the case of dB it is

$$GM_{dB} = -|GH(j\omega_p)|_{dB}$$



# Phase Margin & Gain Margin

## 2. Phase Margin (PM):

- Assume  $\omega_g$  is the frequency in which  $|GH(j\omega_g)| = 1$  or  $|GH(j\omega_g)|_{dB} = 0$

$\omega_g$  is called gain crossover frequency.

- The phase margin is obtained as  $PM = 180 + \angle GH(j\omega_g)$

Phase and gain margins are useful in **minimum-phase** systems.



# Phase Margin & Gain Margin

In a **minimum-phase** system to have **stability** both **phase margin** and **gain margin in dB** should be **positive**. i.e.

$$PM = 180 + \angle GH(j\omega_g) > 0 \quad \Rightarrow \quad -180 < \angle GH(j\omega_g) < 0$$

and

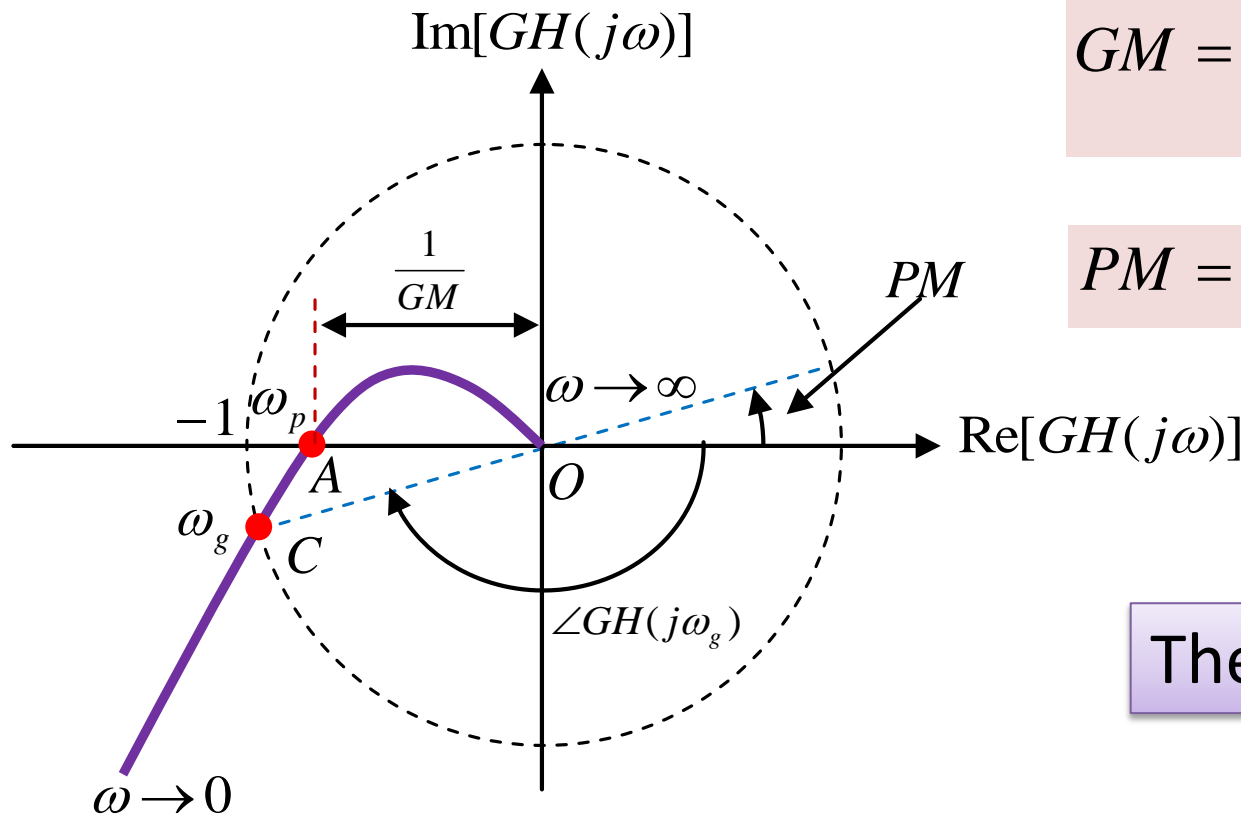
$$GM_{dB} = -|GH(j\omega_p)|_{dB} > 0 \quad \Rightarrow \quad GM = |GH(j\omega_p)| < 1$$

Note that phase and gain margins **cannot** be used for **stability** analysis in **non-minimum-phase** systems.



# Phase Margin & Gain Margin in Polar Diagram

Consider a minimum-phase system with the following polar diagram



$$GM = \frac{1}{|OA|} > 1$$

$$GM_{dB} > 0$$

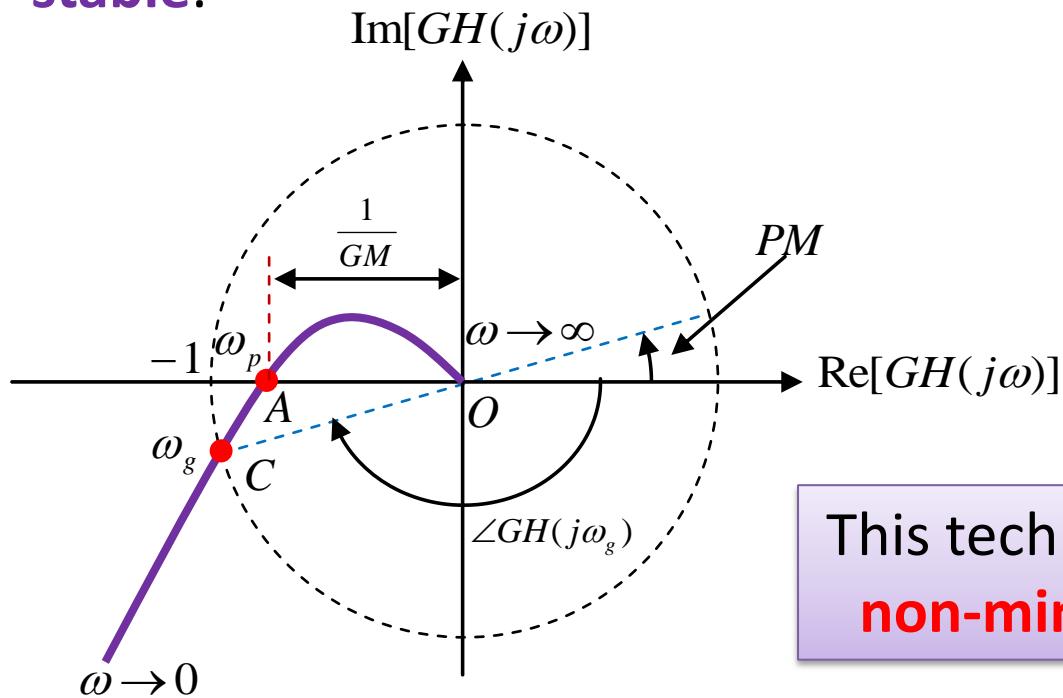
$$PM = 180 + \angle GH(j\omega_g) > 0$$

The system is stable.



# Phase Margin & Gain Margin in Polar Diagram

In polar diagram of **minimum-phase** systems, moving from zero frequency to infinity frequency, if point **-1** is located on the left side of the trajectory (from zero to infinity frequency), the system is **stable**.



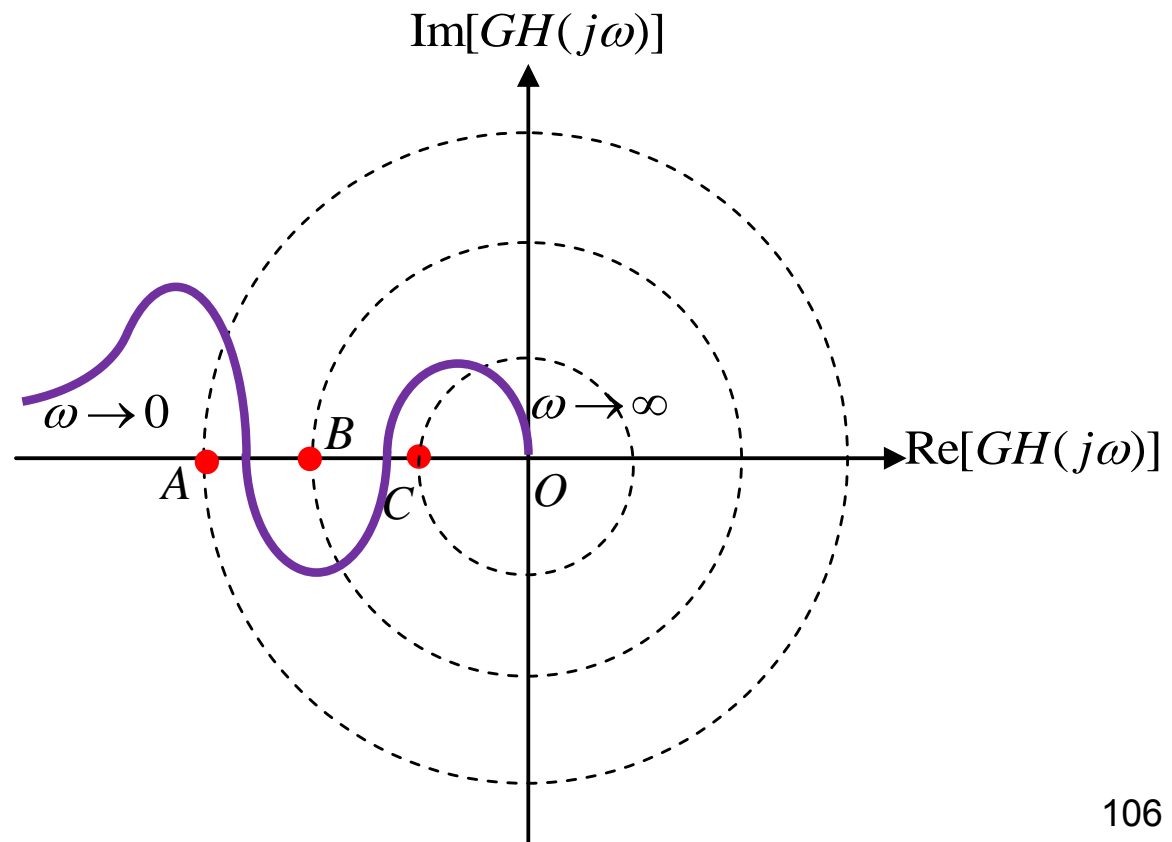
This technique **cannot** be used in **non-minimum-phase** systems.

# Phase Margin & Gain Margin in Polar Diagram



**Example:** Consider the following polar diagram of a minimum-phase system. Discuss on the stability if

- 1) Point -1 is at point A
- 2) Point -1 is at point B
- 3) Point -1 is at point C

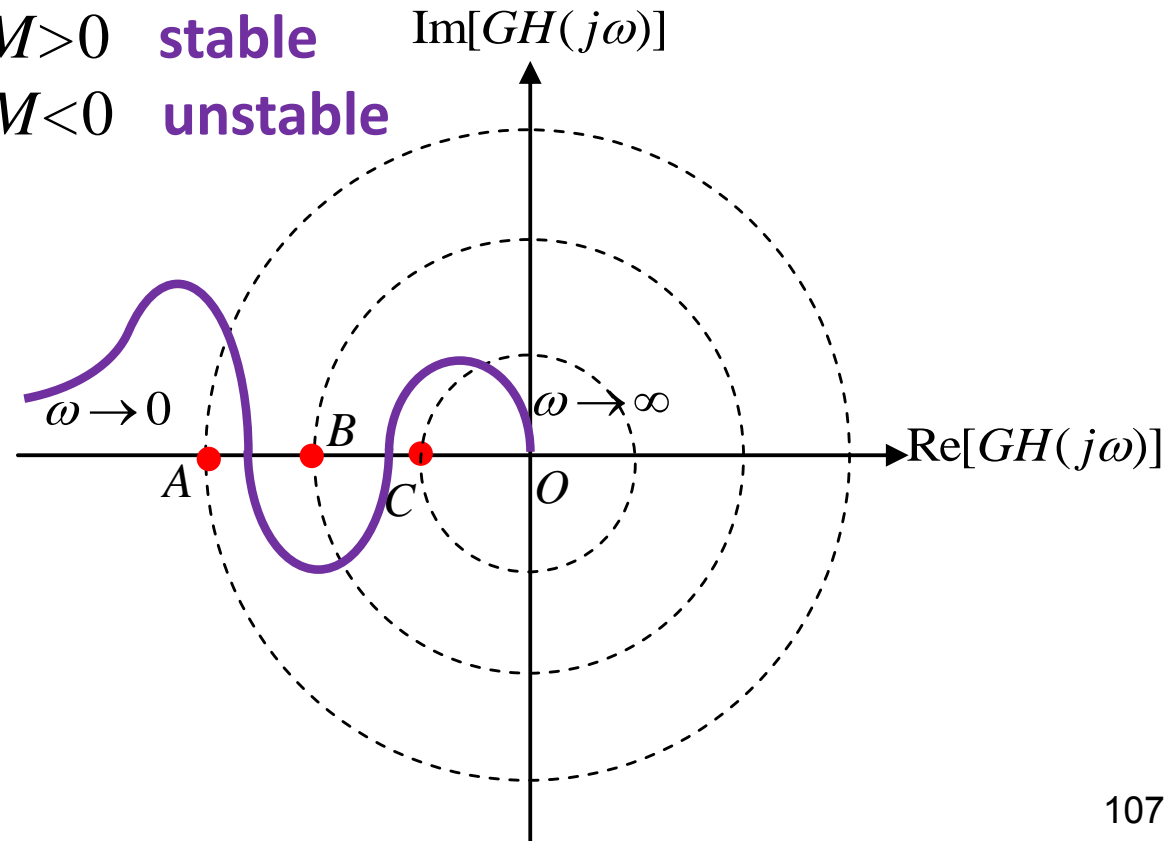


# Phase Margin & Gain Margin in Polar Diagram



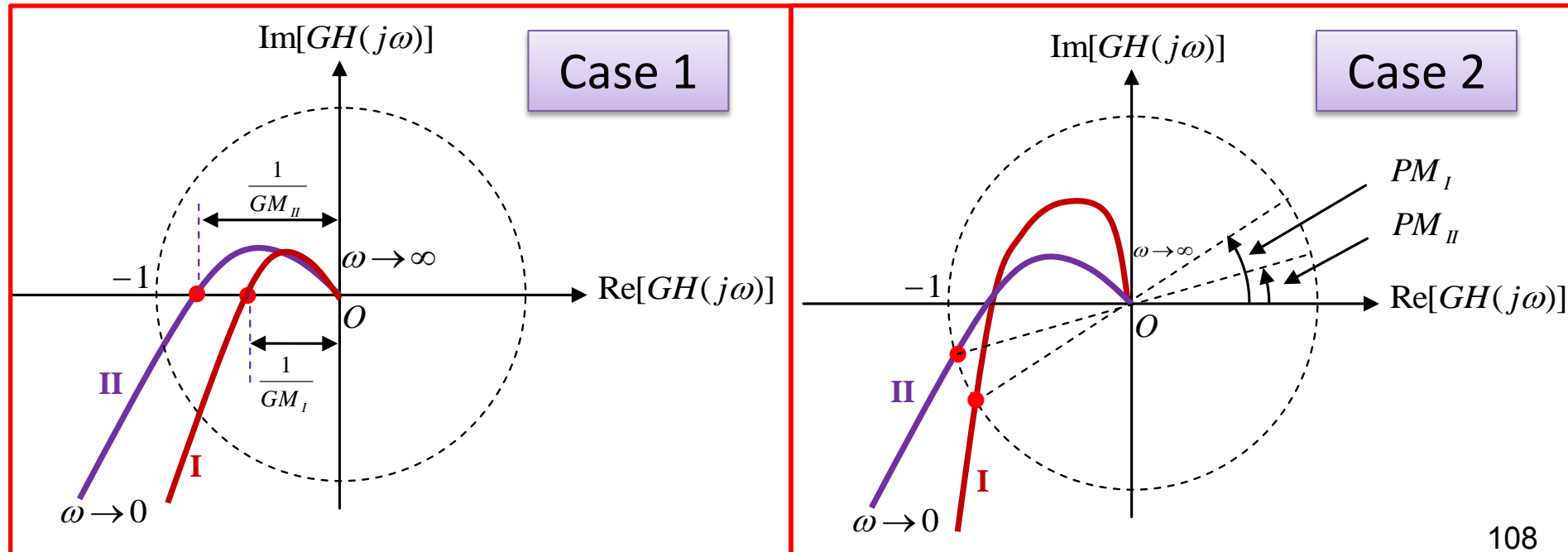
## Solution:

1.  $A=-1$      $PM<0$     **unstable**
2.  $B=-1$      $PM>0$  &  $GM>0$     **stable**
3.  $C=-1$      $PM<0$  &  $GM<0$     **unstable**



# Relative Stability using Phase Margin & Gain Margin

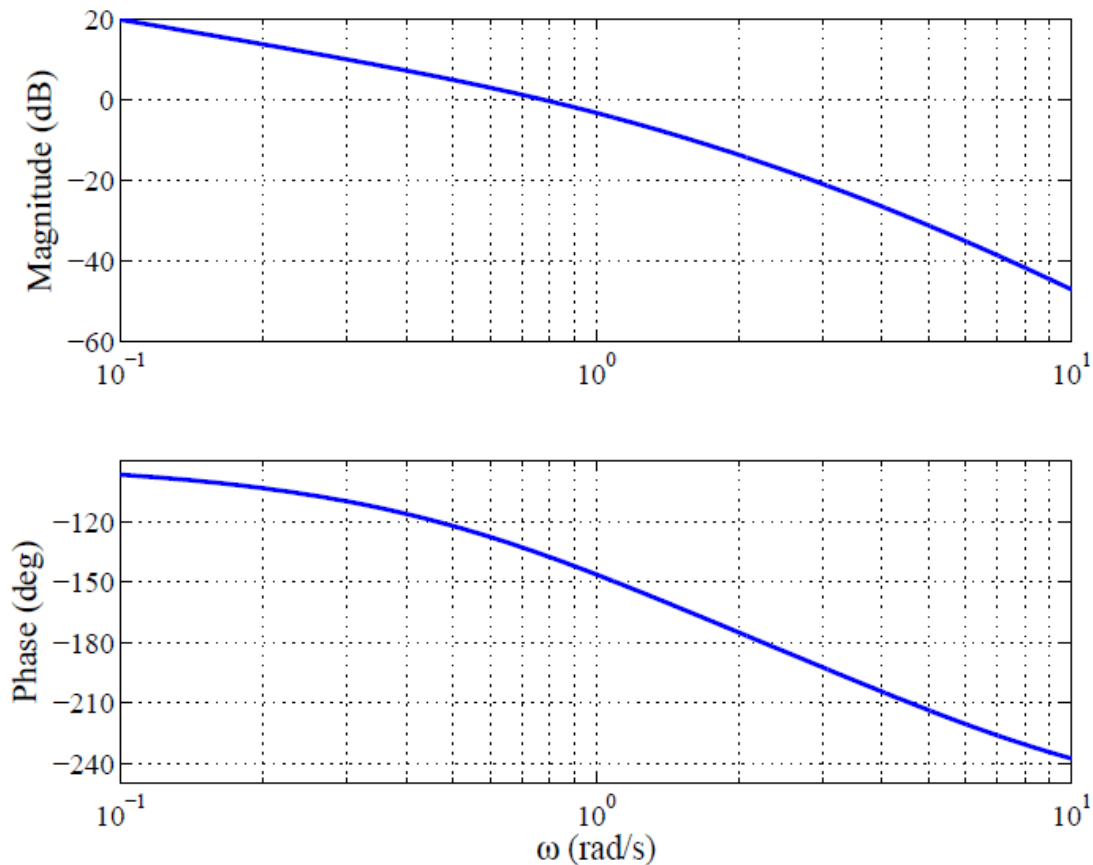
- Comparing two **stable** minimum-phase systems, the one having **higher gain margin** or in the case of **equal gain margins**, the one having **higher phase margin** is **more stable**.
- In the following examples system *I* is more stable.



# Phase Margin & Gain Margin in Bode Diagrams



**Example:** Calculate the gain and phase margins from the following Bode diagrams

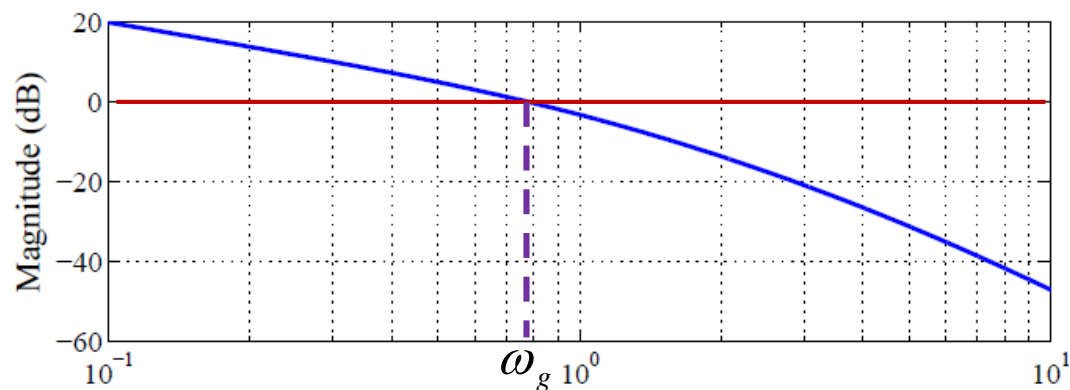


# Phase Margin & Gain Margin in Bode Diagrams

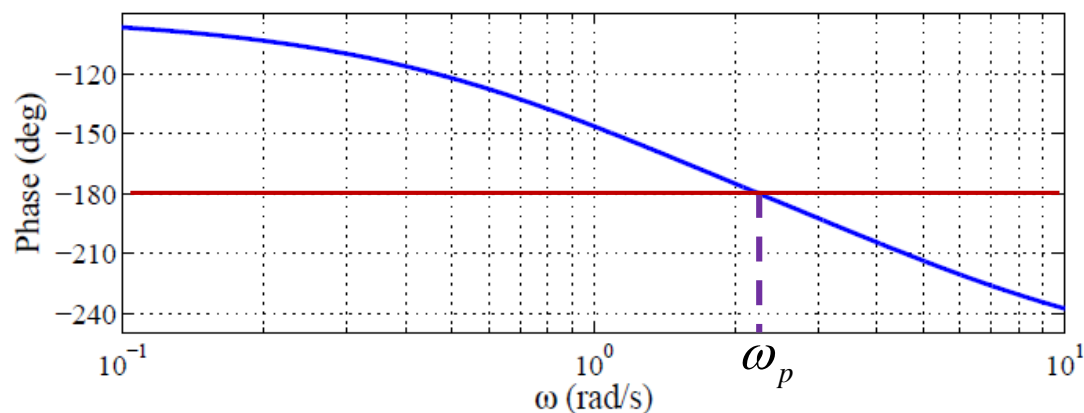


**Solution:** Find the phase and gain crossover frequency ( $\omega_p$  and  $\omega_g$ )

$$\omega_g = 0.78 \text{ rad/s}$$



$$\omega_p = 2.2 \text{ rad/s}$$



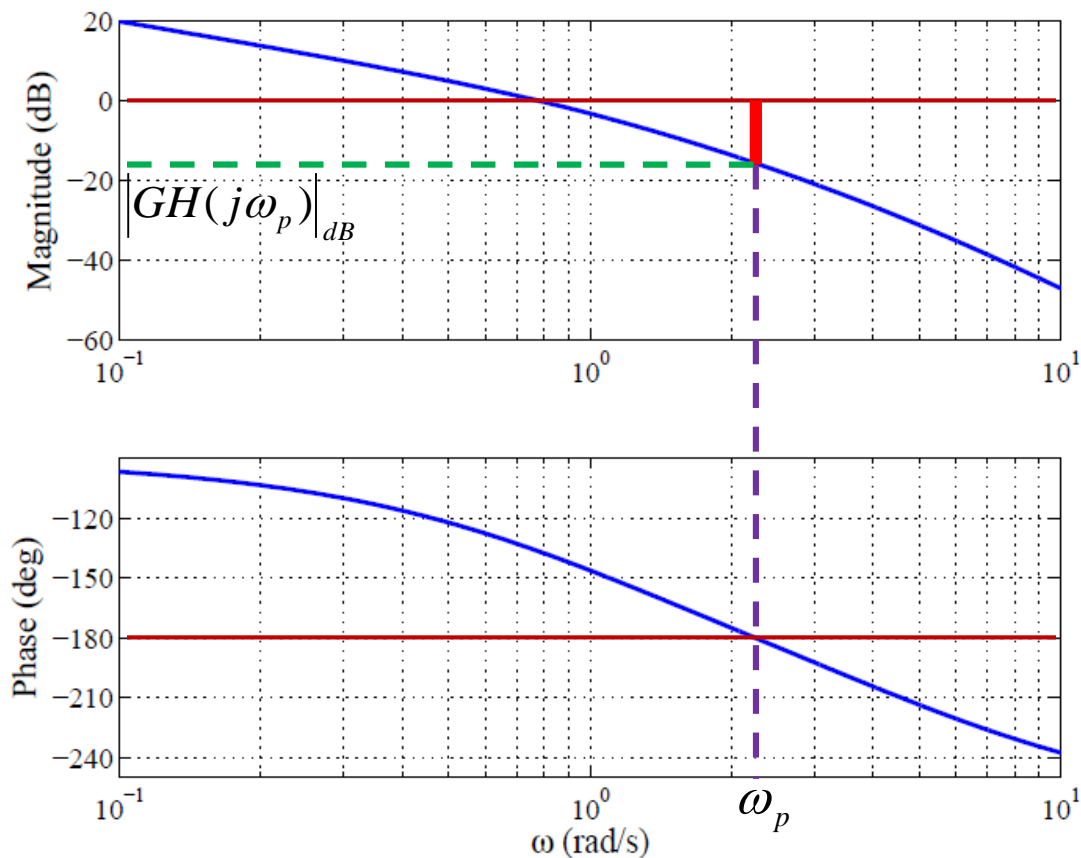
# Phase Margin & Gain Margin in Bode Diagrams



**Solution:** Find the gain margin

$$\omega_p = 2.2 \text{ rad/s}$$

$$\begin{aligned} GM_{dB} &= -|GH(j\omega_p)|_{dB} \\ &= -(-16) = 16 \text{ dB} \end{aligned}$$



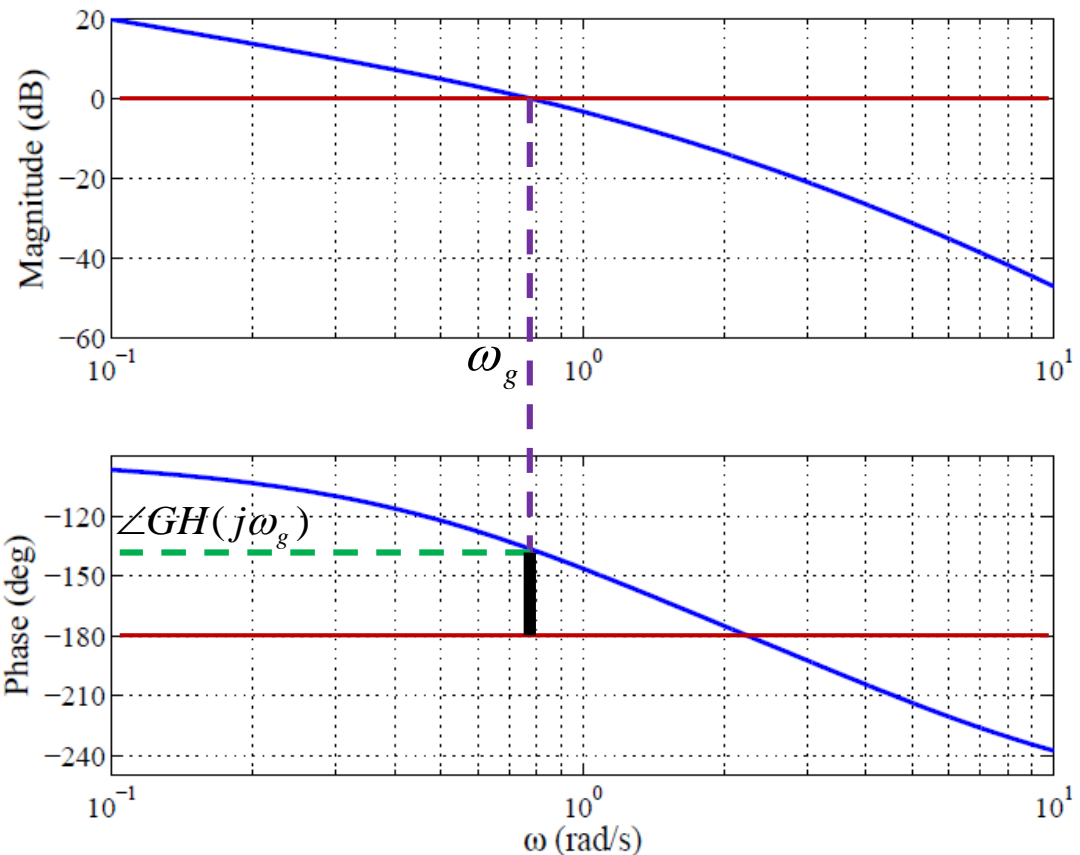
# Phase Margin & Gain Margin in Bode Diagrams



**Solution:** Find the phase margin

$$\omega_g = 0.78 \text{ rad/s}$$

$$\begin{aligned} PM &= 180 + \angle GH(j\omega_g) \\ &= 180 - 137 \\ &= 43^\circ \end{aligned}$$





# A Few Points on Phase Margin & Gain Margin



1. **Gain margin** of **first- and second-order** systems is **infinity** since Bode phase diagram never reaches -180 degrees.
2. **Non-minimum-phase** system with negative phase margin and/or negative gain margin MAY be stable.
3. In **minimum-phase** systems with **several** phase and/or gain margins, only one positive phase margin and one positive gain margin leads to stability.
4. In practice for **good stability**  $PM > 45$  degrees and  $GM > 6$  dB.